



Federal Program Compliance Division

Statewide Virtual Training – Spring 2023

**Title IV, Part A:
Student Support and Academic Enrichment**

**LEA Compliance Report Training (2022-2023)
PR3107 Schedule**

June 13, 2023


**LaNetra Guess
Title IV, Part A Program Director
Private Nonprofit (PNP) Ombudsman**

This presentation is intended solely to provide general information and guidance to Texas ESCs, LEAs, participating Private Schools, and stakeholders and reflects the Texas Education Agency's current understanding of statute and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of these programs. This presentation does not constitute legal advice, and entities are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.

***Title IV, Part A
LEA Compliance Report PR3107*
2022-2023***

****2022-2023 Expenditures and Use of Funds data section moved from PR3107 to the
TIVA Special Data Collection Smartsheet WorkApp***


Organization Name: _____ Region: _____ CDN: Vendor ID: **GO**

eGrants 

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Critical Events

Critical Events Within Next 30 Days – Note: Applications and Reports must be submitted by 5:00 PM Central Time on the date specified.

Events noted with  are due within 5 days.

[TEA System Messages](#)



Grant Program	Event	Grant Description	Date
No Critical Events are scheduled for the next 30 days.			

Grant Opportunities

[Grant Overview](#), [Contacts](#), [Calendar of Events](#), [Program Guidelines](#)

Grant Resources

Table of Contents

Description	Required
 General Information	
GS2110 - Contact Information	*
 Compliance Report	
PR1000 - Title I, Part A	*
PR3000 - Title II, Part A	*
PR3002 - Title III, Part A - ELA	*
PR3107 - Title IV, Part A	*

 **View Errors**

 **No Attachments**

 **View/Print**

Grant Resources

Table of Contents

Description	Required
General Information	
GS2110 - Contact Information	*
Compliance Report	
PR1000 - Title I, Part A	*
PR3000 - Title II, Part A	*
PR3002 - Title III, Part A - ELA	*
PR3107 - Title IV, Part A	*

PR3107 – Title IV, Part A Compliance Report*

- **Part 1** – Funding Transferability
- **Part 2** – Section 5211 Rural Education Achievement Program (REAP)
- **Part 3** – Program Compliance Self-Check
- **Part 4** – Additional Information (optional)

*LEAs will no longer report expenditures in the Title IV, Part A PR3107 Compliance Report Schedule.

For 2022-2023, end-of-year expenditures by service/content areas will be reported in the *Title IV, Part A LEA Special Data Collection Smartsheet WorkApp*.

PR3107 – Title IV, Part A Compliance Report

Part 1: Funding Transferability

Program Description
PR3107 – Title IV, Part A

Part 1: Funding Transferability

A. Participation

1. Did the LEA participate in the Funding Transferability program with Title IV, Part A funds? Yes No

B. Percentage of Title IV, Part A Funding Redirected under Funding Transferability

Fund Source	Alternative Uses of Funding						
	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title V, Part B
Title IV, Part A	%	%	%	%	%	%	%

C. Amount of Title IV, Part A Funding Redirected under Funding Transferability That Was Expended for the Following Activities

Fund Source	Activity Expenditure						
	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title V, Part B
Title IV, Part A							

PR3107 – Title IV, Part A Compliance Report

Part 2: Rural Education Achievement Program (REAP)

Part 2: Section 5211 – Rural Education Achievement Program (REAP)

A. Participation

1. Did LEA participate in REAP with Title IV, Part A funds? Select No if the LEA is not eligible for REAP? Yes No

B. Percentage Of Title IV, Part A Funding Redirected under REAP

Fund Source	Alternative Uses of Funding				
	Title I, Part A	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part B
Title IV, Part A	%	%	%	%	%

C. Amount of Title IV, Part A Funding Redirected under REAP That Was Expended for the Following Activities

Fund Source	Alternative Uses of Funding				
	Title I, Part A	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part B
Title IV, Part A					



PR3107 – Title IV, Part A Compliance Report (CR)

**2022-2023 Expenditures and Use of Funds data section moved from PR3107 to the TIVA Special Data Collection Smartsheet WorkApp*

Program Description PR3107 – Title IV, Part A		
Part 3: Expenditures and Use of Funds		
Expenditures and Use of Funds		
Service	Description	Expenditures
1. Administration	Administration	
2. Activities to support well-rounded education opportunities		\$
3. Activities to support safe and healthy students	udent	\$
4. Activities to support effective technology	the e ency of all stud	
Total Expenditures (Line 2-5)		
5. Technology Infrastructure	Purchasing devices, equipment and software applications in order to address readiness shortfalls (Portion of line 4 expenditure used for technology infrastructure)	\$

[*ESSA Title IV, Part A LEA Special Data Collection for Public Reporting Handbook](#)

PR3107 – Title IV, Part A Compliance Report

Part 3: Program Compliance Self-Check Items

- Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.
- The compliance items listed are a selection of program compliance requirements for compliance reporting purposes and not an all-inclusive listing of all the requirements for a particular program. For a complete listing of all the program compliance requirements, please see the [Program-Specific and ESSA Provisions and Assurances](#). Additional guidance concerning program requirements can be found in the Title IV, Part A Program Guide.

PR3107 – Title IV, Part A Compliance Report Program Compliance Self-Check Items



The following Title IV, Part A compliance items (3) have been selected for inclusion in the ESSA Consolidated Compliance Report – PR3107

Part 3: Program Compliance Self-Check

1. Timely and Meaningful Consultation
2. Program Description
3. Evaluation of Program Effectiveness

PR3107 – Title IV, Part A Compliance Report

Part 3.1: Consultation

Program Compliance Self-Check

1. The LEA engaged in a timely and meaningful consultation with a broad range of stakeholders as a part of their process in determining the targeted areas of improvement related to student's access to effective program activities. [ESSA, Title IV, Part A, Section 4106(c) (1-2)]

a. In Compliance

If in compliance, the LEA assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Dated agendas and/or ongoing meeting notes of stakeholder consultation sessions, focus groups, advisory committees, and/or district improvement team meetings; *and*
- Participant rosters/sign-in sheets of stakeholder consultation meetings that include all Title IV, Part A required stakeholders.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

b. Not In Compliance

If not in compliance, use the space below to describe how the LEA plans to meet compliance the following year.

PR3107 – Title IV, Part A Compliance Report (draft)

Part 3.2: Program Description

2. The LEA has a description of programs and activities funded with Title IV, Part A to support the program objectives and intended outcomes tied to a well-rounded education, safe and healthy students, and the effective use of technology. [Section 4106(e)(1)(A-D)]

a. In Compliance

If in compliance, the LEA assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Relevant pages of the most current LEA's District Improvement Plan (DIP) or other official auditable documentation providing a detailed description on how Title IV, Part A funds will be used for activities supporting one of the content areas (well-rounded education, safe and healthy students, or the effective use of technology).

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

b. Not In Compliance

If not in compliance, use the space below to describe how the LEA plans to meet compliance the following year.

PR3107 – Title IV, Part A Compliance Report (draft)

Part 3.3: Evaluation of Program Effectiveness

3. The LEA periodically evaluated the effectiveness of the Title IV, Part A programs and/or activities based on program objectives and intended outcomes. [Section 4106(e)(1)(E)]

In Compliance

If in compliance, the LEA assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Relevant pages of the most current District Improvement Plan (DIP) or other official auditable documentation that provide a description which includes:
 - 1) LEA's Title IV, Part A evaluation process;
 - 2) How LEA will periodically evaluate effectiveness;
 - 3) Title IV, Part A program objective(s); **and**
 - 4) Title IV, Part A intended outcome(s) of funded program(s) and/or activities.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

Not In Compliance

If not in compliance, use the space below to describe how the LEA plans to meet compliance the following year.

PR3107 – Title IV, Part A Compliance Report

Part 4: Additional Information (optional)

Part 4: Additional Information (optional)

Additional Information

Support for Assistance

Compliance Report Web Resources

- Schedule Mock-up
- Program Compliance Self-Check Guide
- Instructions Document
- Instructions Video

2022-2023 Compliance Report Calendar

Report	Open Date	Due Date
Gun Free Schools Reports	May 3, 2023	June 29, 2023
ESSA Consolidated Compliance Report	July 29, 2023	September 30, 2023
Rural and Low-Income Schools Report	July 29, 2023	September 30, 2023

TEA Webpage – PR3107 Compliance Report Resources

(samples)

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ESSA Consolidated Compliance Reports Resources

All compliance reports are available on eGrants via TEAL.

To view your LEA compliance reports, log into [TEAL](#) and select eGrants as the application. Once in eGrants, click on Compliance Reports on the menu bar.

- [What happens if LEA reports Not in Compliance?](#)

Mock-ups, Instructions, and Videos

Compliance Report	Mock-ups	Instruction Documents	Videos
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Title IV, Part A LEA Special Data Collection (SDC)

***2022-2023 Expenditures and Use of Funds data section moved from PR3107 to the TIVA Special Data Collection Smartsheet WorkApp**

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Title IV, Part A: Student Support and Academic Enrichment

The Elementary and Secondary Education Act of 1965, as reauthorized by the Every Student Succeeds Act (ESSA) of 2015, established Title IV, Part A, Subpart 1, the Student Support and Academic Enrichment Grant Program (SSAE). The overarching goal of Title IV, Part A, Subpart 1, is to increase the capacity of state education agencies, local educational agencies (LEAs), campuses, and communities to meet the following three goals:

1. Provide all students access to a well-rounded education
2. Improve school conditions for student learning (safe and healthy students)
3. Improve the use of technology to improve the academic outcomes and digital literacy of students

LEA Special Data Collection for Public Reporting

As per the Elementary and Secondary Education Act (ESEA), as amended by the Every Student Succeeds Act (ESSA), Title IV, Part A, Subpart 1, sections 4104(a)(2) and 4106(e)(1)(E), TEA is required to collect and publicly report how all LEA Title IV, Part A, Subpart 1 funds are being expended per content areas by Federal Fiscal Year (FFY) and the degree to which progress has been made toward meeting program objectives and intended outcomes.

All LEAs that applied for Title IV, Part A, Subpart 1 program funds are required to report the information requested. LEAs can access the following resources related to the LEA Special Data Collection.

Federal Fiscal Year 2022 Resources:

[Handbook \(1/5/2023\)](#) | [LEA Reporting Worksheet \(1/5/2023\)](#)

Coming Soon: Program Objectives and Intended Outcomes Voiced-Over Presentation (Phase-In Reporting Due March 17, 2023) | Training Slides

[*ESSA Title IV, Part A LEA Special Data Collection for Public Reporting Handbook](#)



***2022-2023 Expenditures and Use of Funds** data section moved from PR3107 to the **TIVA Special Data Collection Smartsheet WorkApp**

LEA Special Data Collection for Public Reporting

As per the Elementary and Secondary Education Act (ESEA), as amended by the Every Student Succeeds Act (ESSA), Title IV, Part A, Subpart 1, sections 4104(a)(2) and 4106(e)(1)(E), TEA is required to collect and publicly report how all LEA Title IV, Part A, Subpart 1 funds are being expended per content areas by Federal Fiscal Year (FFY) and the degree to which progress has been made toward meeting program objectives and intended outcomes.

All LEAs that applied for Title IV, Part A, Subpart 1 program funds are required to report the information requested. LEAs can access the following resources related to the LEA Special Data Collection.

Federal Fiscal Year 2022 Resources:

[Handbook \(1/5/2023\)](#) | [LEA Reporting Worksheet \(1/5/2023\)](#)

Coming Soon: Program Objectives and Intended Outcomes Voiced-Over Presentation (Phase-In Reporting Due March 17, 2023) | Training Slides

Resource Documents*

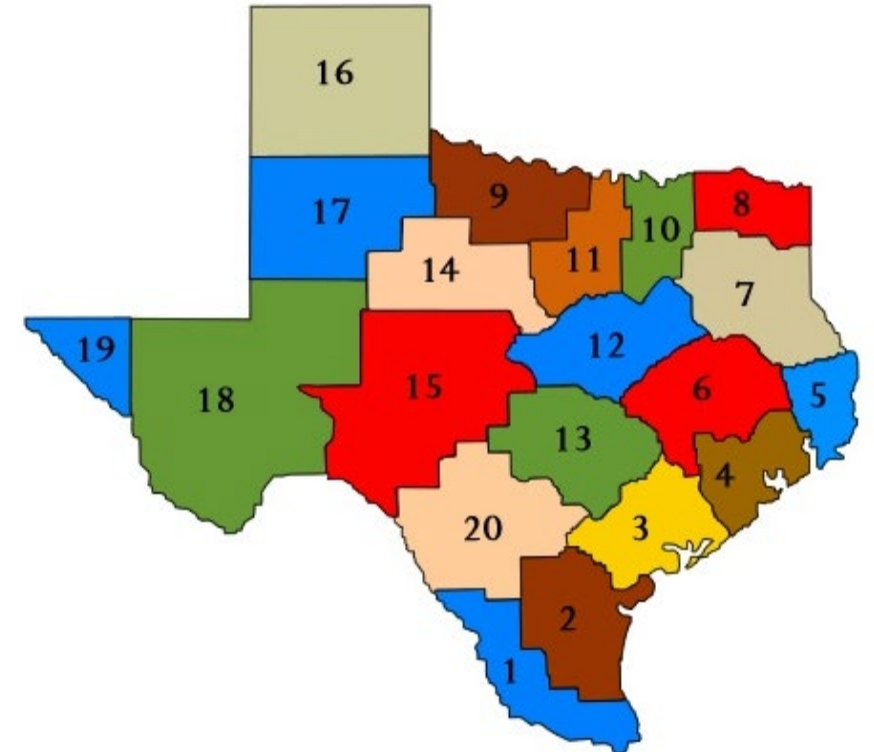
- [ESSA Title IV, Part A LEA Special Data Collection for Public Reporting Handbook](#)
- [LEA Reporting Worksheet](#)

Searching for Answers to Title IV, Part A Questions?

- [Federal Program Compliance](#)
- [Title IV, Part A](#)
- [Title IV, Part A Program Webinar](#)
- [Title IV, Part A, Subpart 1 Program Guide](#)
- [Title IV, Part A Frequently Asked Questions](#)
- [Title IV, Part A Use of Funds Criteria](#)
- [ESSA Consolidated Plan Application Program Guidelines](#)
- [Program-Specific ESSA Provisions and Assurances](#)
- [Collaborative Comprehensive Needs Assessment \(CCNA\) Toolkit](#)
 - Title IV, Part A required stakeholders and comprehensive needs assessment requirements, resources, and LEA templates

Education Service Center ESSA Basic Services Initiative

- **Title IV, Part A Training**
 - 3 Content Areas
 - Comprehensive Needs Assessment
 - Private School Equitable Services
- **Title IV, Part A Technical Assistance and Support**
 - 3 Content Areas
 - Comprehensive Needs Assessment
 - Use of Funds
 - Compliance Requirements
 - Private School Equitable Services
 - ESSA Consolidated Grant Application
 - LEA ESSA Consolidated Compliance Reports
 - Gun-Free Schools Reports
 - Random Validations
 - Persistently Dangerous Schools
- **Title IV, Part A Information Dissemination**



Statewide Virtual Training Sessions – Spring 2023

- 1. The Price is Right: Aligning Title IV, Part A Program Funds to District Needs**
Federal Program Compliance Division
 - **June 13: 9:00 a.m. – 10:15 a.m.**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>

- 2. Title IV, Part A LEA Compliance Report and Self-Check**
Federal Program Compliance Division
 - **June 13: 10:30 a.m. – 11:45 a.m.**
 - <https://tea.texas.gov/finance-and-grants/grants/essa-program/statewide-training-series>

- 3. LEA ESSA Consolidated Grant Application**
Grants Administration Division
 - **June 6: 9:00 a.m. – 10:00 a.m.**
 - <https://tea.texas.gov/finance-and-grants/grants/grants-administration/grant-resources>

TEA Contact Information



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Thank You