

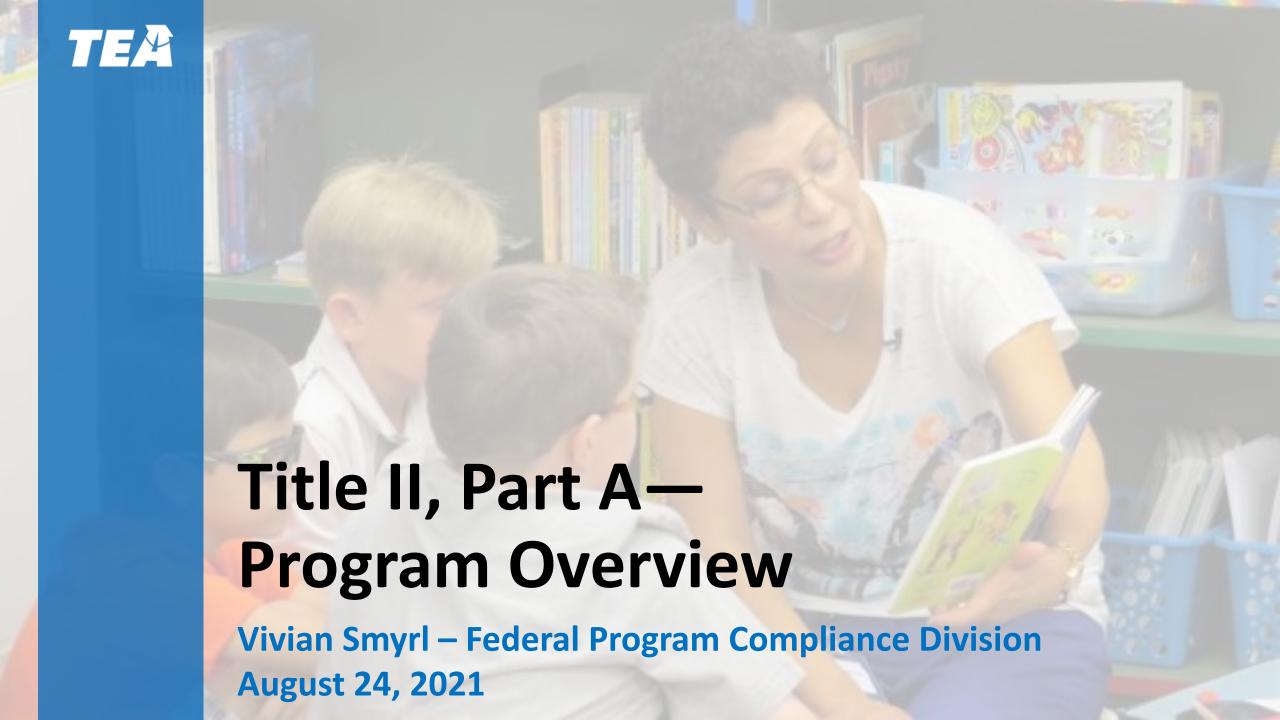
Department of Grant Compliance and Administration Statewide Training Series

THANK YOU FOR JOINING US...THE TRAINING WILL BEGIN AT 10:00 AM.

Title II, Part A—Program Overview

Federal Program Compliance Division

August 24, 2021





Participants will gain a better understanding of...



- ✓ Resources available;
- ✓ Program purpose;
- ✓ Focus areas;
- ✓ Allowable activities; and
- ✓ Program compliance requirements of the ESSA Title II, Part A program.



Resources Available...



- ✓ Program-Specific Provisions and Assurances;
- ✓ Title II, Part A Program Guide;

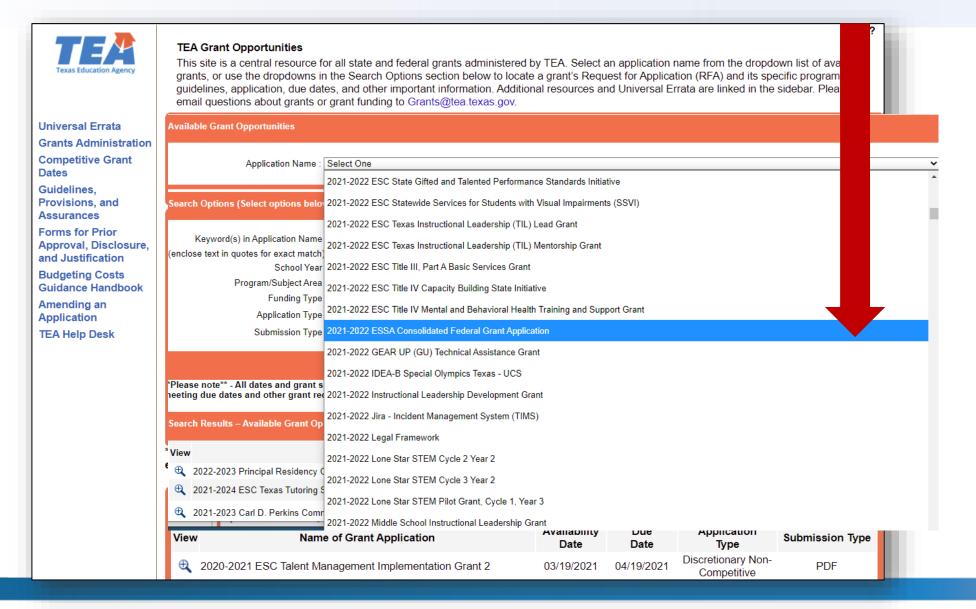


TEA From the Grant Opportunities Tab





TEA Select Name of Grant Application





Scroll to "Application and Support Information" Section

Application and Support Information

Please note - This is ONLY a viewing copy of the application. If instruction above indicate that the application must be submitted electronically, the application will not be accepted by TEA via any other means.

Errata 1

General and Fiscal Guidelines

General Provisions and Assurances

Lobbying Certification

Debarment and Suspension Certification

ESSA Program Guidelines

ESSA Program Specific



Sample Application

Schedule SC5000 Guidance

Eligibility List for Section 5211--REAP

Title I, Part A - Campus Listing by LEA

Title I, Part A - Campus Listing by Region



ESSA Program-Specific Provisions and Assurances

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PROGRAM-SPECIFIC AND ESSA PROVISIONS AND ASSURANCES

2020-2021 EVERY STUDENT SUCCEEDS ACT (ESSA)
CONSOLIDATED FEDERAL GRANT APPLICATION

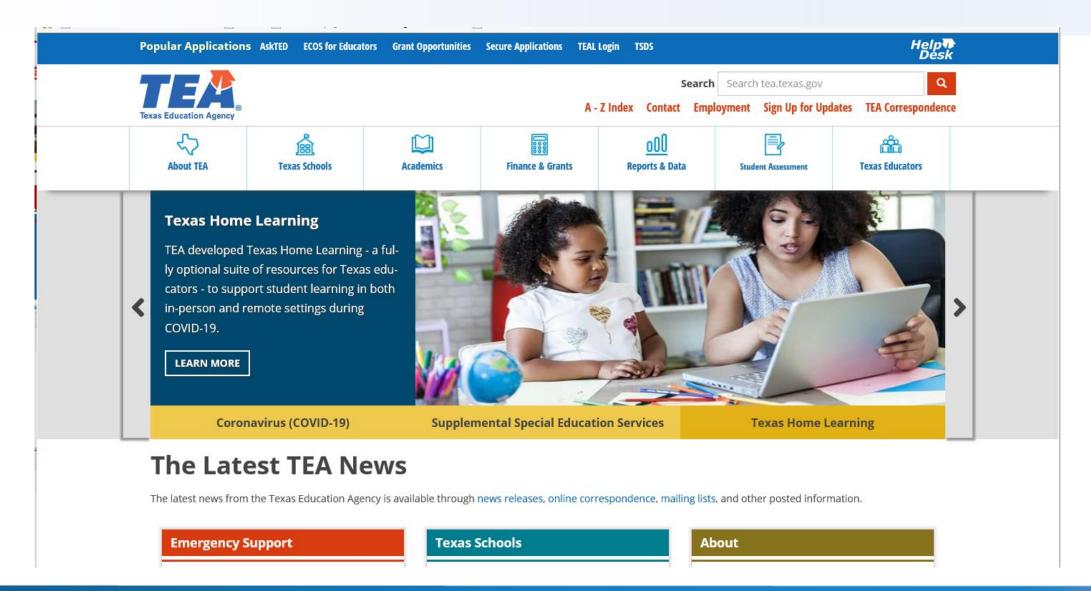
AUTHORIZED BY THE ELEMENTARY AND SECONDARY EDUCATION ACT OF 1965 (ESEA), AS AMENDED BY EVERY STUDENT SUCCEEDS ACT (ESSA)

Department of Grant Compliance and Administration Special Populations Division 1701 North Congress Avenue Austin, Texas 78701

SAS# ESSAAA21 2020-2021 ESSA Consolidated Federal Grant Application

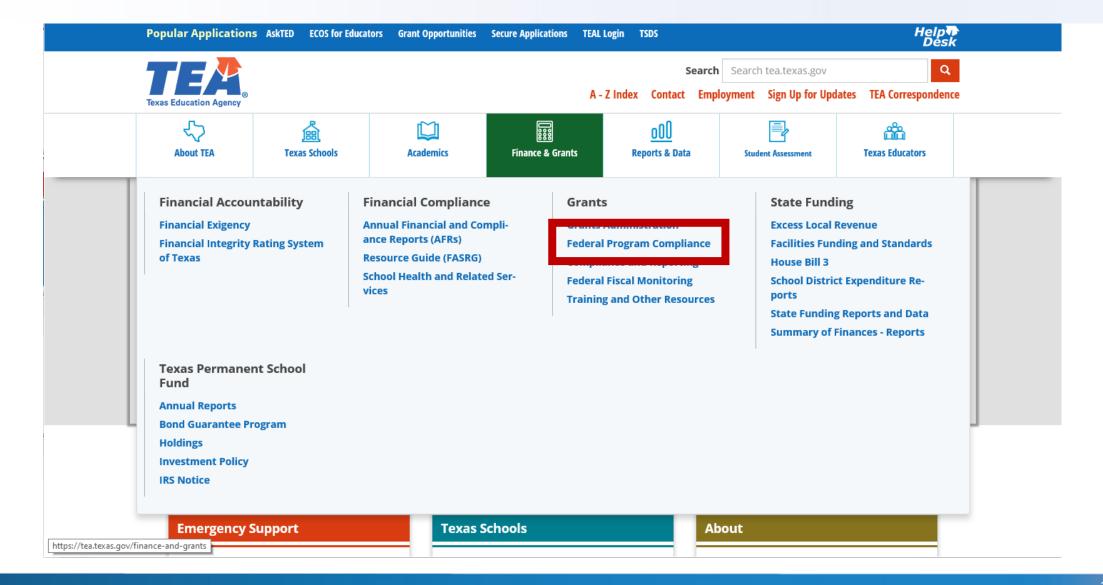


TEA Accessing Division Resources





TEA Division Resources from Main Page







About TEA



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Academics







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Home / Finance & Grants / Grants / ESSA Program Compliance

ESSA Program Compliance

TEA's ESSA program compliance functions are provided primarily by the Federal Program Compliance Division (FPC), which administers most programs of the Every Student Succeeds Act (ESSA). FPC is a division of the Department of Grant Compliance and Administration (GCA).

COVID-19 and Federal Grant Funds

TEA's Coronavirus (COVID-19) Support and Guidance page includes links the following FAQs, which include information related to federal funding and COVID-19:

CARES Act Equitable Services FAQ: Provide informations about equitable services for students and teachers in private non-profit (PNP) schools.

Federal Funding and Grants FAQ: Contains information on new flexibility/waivers received from USDE and includes a small section on CARES Act funding questions.

COVID-19 and CARES Act Funding FAQ: Provides important information about potential COVID-19 related costs that may be eligible for reimbursement with the CARES Act LEA grant and how to be properly documenting those costs now to be prepared for when the grant is available.

ESSA Program Compliance

The links below lead to information for each of the programs that FPC administers.

Grants

Grants Administration

ESSA Program Compliance

Compliance and Reporting

Federal Fiscal Monitoring

Training and Other Resources

Related Content

Department of Grant Compliance and Admin

Every Student Succeeds Act (ESSA) Statute

ESSA Ed-Flex

ESSA Random Validation Monitoring

ESSA State Plan

Recommended Use of ESSA Funds - TEA Stra orities



Federal Program Compliance

The links below lead to information for each of the programs that the Federal Program Compliance Division administers.

Title I, Part A-Improving Basic Programs

Title I, Part C-Education of Migratory Children

Title I, Part D—Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth

Title II, Part A—Supporting Effective Instruction

Title IV, Part A—Student Support and Academic Enrichment

Title V, Part A—Funding Transferability

Title V, Part B-Rural Education Initiative, or REAP / Subpart 2 - Rural and Low-Income School Program

Title VIII—ESSA Unsafe School Choice Option

ESSA Private School Equitable Services

The following ESSA-related programs are administered in other divisions (click on each of the programs listed for program-specific information).

Title III, Part A—English Language Acquisition, Language Enhancement, and Academic Achievement Act

Teacher Equity Plans - Texas Equity Toolkit



ESSA State Plan

Recommended Use of ESSA s - TEA Strategic Priorities

Supplement, Not Supplant book (Version 4.0, posted 09/05/2019)

Division Resources

<u>ESSA Compliance Reports - Resources</u> Updated 07/30/2020

<u>Program Webinars and Program Guides</u> Updated 05/22/2020

Frequently Asked Questions (FAQ) Documents by Program

Title I, Part A Updated 02/26/2021

Title I, Part C Updated 02/26/2021

Title I Part D Undated 02/26/2021

Title II, Part A Updated 02/26/2021

Title IV, Part A Updated 02/26/2021

ESSA Private School Equitable Services Updated 02/26/2021



Federal Program Compliance

The links below lead to information for each of the programs that the Federal Program Compliance Division administers.

Title I, Part A-Improving Basic Programs

Title I, Part C-Education of Migratory Children

Title I, Part D—Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth

Title II, Part A—Supporting Effective Instruction

Title IV, Part A—Student Support and Academic Enrichment

Title V, Part A—Funding Transferability

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Title I, Part A Updated 02/26/2021

Title I, Part C Updated 02/26/2021

Title I, Part D Updated 02/26/2021

Title II, Part A Updated 02/26/2021

Title IV, Part A Updated 02/26/2021

ESSA Private School Equitable Services Updated 02/26/2021



Federal Program Compliance Division Resources

(Click on the Icons Below to Access/Download the Resources Available)

ESSA Program	Program ide	Program Webinar
Title I, Part A – Improving Basic Programs		
	Published 20	Published 4/28/2020
Title I, Part C – Education of Migratory Children		
	Published 5	Published 4/28/2020
Title I, Part D – Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth	Published	Published 5/22/2020
Title II, Part A – Supporting Effective Instruction	Published 5/4/2020	Published 4/28/2020
Title IV, Part A – Student Support and Academic Enrichment	Published 5/15/2020	Published 4/28/2020



Posted on the TEA Title II, Part A Web Page

Program Guide Page References

TITLE II, PART A—
SUPPORTING EFFECTIVE
INSTRUCTION PROGRAM GUIDE

The Purpose of Title II, Part A

- ✓ Increase Student Achievement
- ✓ Improve Educator Quality and Effectiveness
- ✓ **Increase** the Number of Effective Educators
- Provide Low-Income and Minority Students Greater Acces
 Effective Educators

TEA

Educator(s) = teachers, principals, and other school leaders



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The Purpose of Title II, Part A

- ✓ Increase Student Achievement
- ✓ Improve Educator Quality and Effectiveness
- ✓ Increase the Number of Effective Educators
- ✓ Provide Low-Income and Minority Students Greater Access to Effective Educators

Educator(s) = teachers, principals, and other school leaders



Intent of Funding

To support educators in their work to...

- ✓ improve the overall quality of instruction and
- ensure equity of educational opportunity for all students



Supplement, Not Supplant

Title II, Part A "Funds made available under this title shall be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities authorized under this title."

[ESSA Section 2301]



Supplement, Not Supplant

Title II, Part A In order to be supplemental...

Program activities must enhance, expand, or extend required activities.



Presumptions of Supplanting

- An auditor will presume that supplanting occurs if the federal funds—
 - Provide services required by State or local law;
 - Provide same services as those provided in prior school year with State or local funds;
 - Provide same services in Federal and non-Federal programs.



Rebutting the Presumption of Supplanting

- The LEA is responsible for providing documentation to rebut a presumption of supplanting.
 - Documentation of rescission of funds or budget reduction.
 - Documentation that prior fund source is no longer available.



Traditional SNS Questions to Ask When Planning

Is the activity/service required by state or local law?

Did the LEA provide this activity/service in the prior year?

- What funds did the LEA use to provide the activity/service?
- Is the activity/service provided with federal funds to some recipients and with state/local funds to other recipients?



Title II, Part A – Areas of Focus

- Recruit, Support, and Retain Effective Teachers and Principals
- 2. Professional Development/Growth
- 3. Other Evidence-Based Activities



1. Recruit, Support and Retain Effective Teachers and Principals

- ✓ Educator Induction and Mentorship Programs
- ✓ Teacher Leadership
- ✓ School Principal Support
- Educator Cultural Competence
- ✓ Recruiting Qualified Individuals from Other Fields
- ✓ Improving School Working Conditions
- ✓ Recruiting, Hiring, and Retaining Effective
 - Teachers in High-Need Schools



Recruiting, Hiring, and Retaining Effective Teachers in High-Need Schools

High-Need Schools Defined

"High-need schools are low-income schools with high percentages of ineffective teachers and high percentages of students who do not meet the challenging State academic standards."

•



Recruiting, Hiring, and Retaining Effective Teachers in High-Need Schools

High-Need Schools Allowable Activities

- ✓ Assistance screening candidates
- Differential and incentive pay
- Advancement opportunities
 - Leadership
 - Multiple career paths
 - Pay differentiation
- ✓ Induction and mentoring programs
- ✓ Performance evaluation training
- ✓ Auditing the quality of evaluation and support systems
- Equity-based activities
 - Incentives
 - Job-embedded opportunities
 - Teacher time banks



ESSA Definition of Professional Development (condensed)



Activities that are...

- ✓ Sustained,
- ✓ Intensive,
- ✓ Collaborative,
- ✓ Job-Embedded,
- ✓ Data-Driven,
- ✓ Personalized or based on information from an evaluation and support system, and
- ✓ Classroom-focused

Rather than...

- ✓ Professional development that stands alone and
- ✓ Does not connect to a larger school-wide or individualized plan



2. Professional Development/ Growth

- Assessments and Data Analysis
- ✓ Career Readiness Education
- ✓ Child Sexual Abuse Prevention
- ✓ Early Childhood Instruction
- ✓ Effectively Teaching Children with Disabilities
- Effectively Teaching English Learners



2. Professional Development/ Growth

- Evaluation and Support Systems
- ✓ Evidence-Based Professional Development
- ✓ Identification and Support of Gifted Students
- ✓ School Library Programs
- ✓ Supporting Students Affected by Trauma and/or Mental Illness
- ✓ STEM-focused Professional Development



2. Professional Development/ Growth

- ✓ Wide range of allowable PD topics
- ✓ Critical LEA determinations:
 - Activity must be supplemental

 (i.e., not required by state/local law.

 Refer back to 3 presumptions of supplanting)
 - Activity must meet the statutory PD definition



What is Evidence-Based?

3. Other Evidence-Based Activities



3. Other Evidence-Based Activities

What is Evidence-Based?

- i. demonstrates a statistically significant effect on improving student outcomes or other *relevant* outcomes based on –
- (I) *strong evidence* from at least one well-designed and well-implemented experimental study; or
- (II) moderate evidence from at least one welldesigned and well-implemented quasi-experimental study; or
- (III) promising evidence from at least one well-designed and well-implemented correlational study with statistical controls for selection bias; or



What is Evidence-Based? – continued

3. Other Evidence-Based Activities

• (ii) demonstrates a rationale based on high-quality research findings or positive evaluation that such activity, strategy, or intervention is likely to improve student outcomes or other relevant outcomes; and

 (iii) includes ongoing efforts to examine the effects of such activity, strategy, or intervention.



3. Other Evidence-Based Activities

As part of the planning process,

- Document why the LEA expects the activity to have a positive effect.
- Consider whether the LEA can replicate the results of the study.
- Consider whether the LEA implementing the activity in appropriate context.





Reducing Class Size

Is class-size reduction a Title II, Part A allowable use of funds and under what conditions?

It is allowable. **However**, the district must have evidence from research that shows that the class-size reduction for the grade, subject and number of students in question is actually effective.

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Title II, Part A – Areas of Focus and Allowable Activities

- Recruit, Support and Retain Effective Teachers and Principals
- 2. Professional Development/Growth
- 3. Other Evidence-Based Activities



Title II, Part A

Use of Funds

1. Supplement, Not Supplant

2. Activities and/or Resources

- a. Comprehensive Needs Assessment (highly recommended!)
- b. District Improvement Plan
 - i. Alignment with challenging State academic standards
 - ii. Meaningful consultation and coordination
- c. Reasonable
- d. Necessary
- e. Allocable
- f. Allowable under Title II, Part A

3. EDGAR Requirements

4. LEA Policies and Procedures



■ 1. Alignment of Activities to the Challenging State Academic Standards

Title II, Part A program activities are required to be aligned to the challenging State academic standards.

Establishing Program Requirement Compliance

- Include a statement in the District Improvement Plan that describes how the LEA will ensure that the activities to be carried out under Title II, Part A will be aligned with the challenging State academic standards.
- Retain activity documentation.



2. Meaningful Consultation



- Planning
- Implementation
- Evaluation



Meaningful Consultation

Required Stakeholders



- ✓ Teachers,
- Principals,
- ✓ Other School Leaders,
- ✓ Paraprofessionals (including organizations representing such individuals),

- Specialized Instructional Support Personnel,
- Charter School Leaders (in an LEA that has charter schools),
- Parents,
- ✓ Community Partners, AND
- Other Organizations or Partners



Other School Leaders [Section 8101(44)]

The term "school leader" means a principal, assistant principal, or other individual who is:

- an employee or officer of an elementary school or secondary school, local educational agency, or other entity operating an elementary school or secondary school; AND
- 2. responsible for the daily instructional leadership and managerial operations in the elementary school or secondary school building.

For example, LEA central office staff meet the first part of the definition, but may not meet the second part. As a result, they are not identified as a 'school leader'.



Meaningful Consultation

Required Stakeholders



- ✓ Teachers,
- Principals,
- Other School Leaders,
- Paraprofessionals (including organizations representing such individuals),

- Specialized Instructional Support Personnel,
- Charter School Leaders (in an LEA that has charter schools),
- Parents,
- ✓ Community Partners, AND
- Other Organizations or Partners



Specialized Instructional Support Personnel [Section 8101(47)(A)(i)]

✓ School Counselors

√ School Nurses

✓ School Social Workers

✓ Speech Language Pathologist

✓ School Psychologists

✓ School Librarians

✓ Other qualified professional personnel involved in providing assessment; diagnosis; counseling; educational, therapeutic, and other necessary services (including related services) as part of a comprehensive program to meet student needs

Meaningful Consultation

Requirements



- ✓ Seek Advice for Improvement of Activities
- ✓ Use Data and Ongoing Consultation to Update and Improve Activities



Meaningful Consultation Guiding Questions



Are stakeholders involved in the planning processes on an ongoing basis?



Meaningful Consultation Guiding Questions



Are the activities associated with the meaningful consultation of stakeholders held at a variety of times to ensure attendance and engagement?



Meaningful Consultation Guiding Questions



Are the planning activities part of a process rather than an event?



Meaningful Consultation

Recommended Strategies



- Seek out diverse perspectives within stakeholder groups
- ✓ Make stakeholders aware of past and current uses of Title II, Part A funds
- ✓ Include analysis of effectiveness of current and proposed activities



3. Coordination with other federal, state, and local programs, and with other related strategies, programs, and activities being conducted in the community.



Benefits:

- Increase program effectiveness
- Eliminate duplication
- Reduce fragmentation of instructional program



4. Prioritization of Funds.



- To schools identified for school improvement by TEA
- To schools that have the highest percentage of low-income children
- ✓ Include a statement in District plan that describes how the LEA prioritized its Title II, Part A funds.

18-19



5. System of Professional Growth and Improvement



- Such as
 - induction for teachers, principals, or other school leaders;
 - opportunities to build capacity of teachers;
 - opportunities to develop meaningful teacher leadership.
- ✓ Include a statement in District or Campus plan that describes the system of professional growth and improvement.



6. Equitable Services to Private Non-Profit Schools



As specified in ESSA, Section 8501



6. Equitable Services to Private Non-Profit Schools



Federal Program Compliance

The links below lead to information for each of the programs that the Federal Program Compliance Division administers

Title I, Part A-Improving Basic Programs

Title I, Part C-Education of Migratory Children

Title I, Part D—Prevention and Intervention for Delinquent, Neglected, and At-Risk Youth

Title II, Part A—Supporting Effective Instruction

Title IV, Part A—Student Support and Academic Enrichment

Title V, Part A—Funding Transferability

Title V, Part B-Rural Education Initiative, or REAP / Subpart 2 - Rural and Low-Income School Program

Title VIII—ESSA Unsafe School Choice Option

ESSA Private School Equitable Services

The following ESSA-related programs are administered in other divisions (click on each of the programs listed for program-specific information).

ESSA State Plan

Recommended Use of ESSA Funds - TEA Strategic Priorities

Supplement, Not Supplant Handbook (Version 4.0, posted 09/05/2019)

Division Resources

ESSA Compliance Reports - Resources Updated 07/30/2020

Program Webinars and Program Guides Updated 05/22/2020

Frequently Asked Questions (FAQ) Documents by Program

Title I, Part A Updated 02/26/2021



2021-2022 Program Compliance Monitoring



Process for 2021-22 being finalized



2021-2022 Program Compliance Monitoring

- Random Validations
 - Process for 2021-22 being finalized
- ✓ Compliance Reports
 - ESSA Consolidated Compliance Report
 - Program Implementation questions



Program Implementation Questions for 2021-22

Program Implementation Questions for 2021-2022

YES, NO, or Not Applicable

- "Yes" means the LEA is in compliance and has all the documentation readily available. Any or all the documentation listed may be requested during a validation or an audit.
- "No" means the LEA is not in compliance. The LEA will have space to explain.
- "N/A" is only an option for some questions.

Program Implementation Question	All Required for Documentation for Compliance	
Program Coordination/Integration		
The Title II, Part A activities carried out by the LEA were aligned with the challenging State academic standards.	 Relevant pages of the District Improvement Plan Activity documents for Title II, Part A activities 	
[Section 2102(b)(2)(A)]		

Program Implementation Question	All Required for Documentation for Compliance
Program Coordination/Integration	
2. The LEA meaningfully consulted with teachers, principals, other school leaders, paraprofessionals (including organizations representing such individuals), specialized instructional support personnel, charter school leaders (in an LEA that has charter schools) parents, community partners, and other organizations or partners with relevant and demonstrated expertise in programs and activities designed to meet the purpose of Title II, Part A.	 Relevant pages of the District Improvement Plan Stakeholder meeting agendas and minutes, sign-in sheets, materials used during consultation events (including program evaluation data), and copies of relevant surveys and their composite results
[Section 2102(b)(3)(A)]	

Program Implementation Question	All Required for Documentation for Compliance
Program Coordination/Integration	
3. The LEA sought advice from the individuals and organizations described in number 2 above regarding how best to improve the LEA's activities to meet the purpose of Title II, Part A. [Section 2102(b)(3)(B)]	 Relevant pages of the District Improvement Plan Stakeholder meeting agendas and minutes, sign-in sheets, materials used during consultation events (including program evaluation data), and copies of relevant surveys and their composite results

Program Implementation Question

All Required for Documentation for Compliance

Program Coordination/Integration

 The LEA used data and ongoing consultation to continually update and improve Title II, Part A activities.

[Section 2102(b)(2)(D)]

This documentation collectively should demonstrate that consultation occurred throughout the school year. For example, a minimum would be consultation for the beginning of the school year, one meeting or survey to ascertain program status or progress mid-year, and one meeting at the end of the year to evaluate the effectiveness of the Title II, Part A activities that were conducted. The documentation should show that this information is used to improve the program.

- Calendar of scheduled consultation meetings
- Agendas and minutes (dated)
- Given the increased use of virtual meeting environments, participant rosters that include the meeting title, meeting date, and stakeholder names and roles would be acceptable substitutes for the more traditional sign-in sheets. This eliminates the need of a participant signature.
- If used, copy of handouts provided during consultation events
- If used, copy of survey and its composite results

Program Implementation Question	All Required for Documentation for Compliance
Program Coordination/Integration	
 The LEA coordinated Title II, Part A, professional development activities with professional development activities provided through other federal, state, and local programs. [Section 2102(b)(2)(F)] 	 Official promotional materials showing coordination through other federal, state and local programs for applicable activities Relevant pages of the District Improvement Plan Coordination meeting agendas and minutes, sign-in sheets, materials used during coordination events, and/or surveys and their results Documentation showing use of funds in coordination through other federal, state and local programs

Program Implementation Question	All Required for Documentation for Compliance
Program Coordination/Integration	
 The LEA coordinated activities under Title II, Part A with other related strategies, programs, and activities being conducted in the community. [Section 2102(b)(3)(C)] 	 Official promotional materials showing coordination between the LEA and community partners for applicable activities Documentation showing use of funds in coordination with applicable community activities
	Only one reason for N/A is acceptable: • Title II, Part A activities pursued by the LEA did not exist within the community, making coordination impossible.

Program Implementation Question	All Required for Documentation for Compliance
Program Coordination/Integration	
7. The LEA prioritized funds to schools served by the agency under Title I, Section 1111(d) and that have the highest percentage of children counted under Title I, Section 1124(c). [Section 2102(b)(2)(C)]	 Documentation that shows Title II, Part A activities and their costs for each campus within the LEA, demonstrating prioritization to schools served by the LEA under Title I, Section 1111(d) and that have the highest percentage of children counted under Title I, Section 1124(c) Only one reason for N/A is acceptable: The LEA has documentation to show that they were able to provide the Title II, Part A activities at the Title I, Part A school(s) as part of their Title I, Part A program and/or other state/local programs and thus the LEA chose to use the Title II, Part A funds at their non-Title I, Part A campus(es)

	Program Implementation Question	All Required for Documentation for Compliance
Pro	ogram Coordination/Integration	
8.	The LEA has a system of professional growth and improvement, such as induction for teachers, principals, or other school leaders and opportunities for building the capacity of teachers and opportunities to develop meaningful teacher leadership.	 Relevant pages of the District Improvement Plan LEA professional development plan
[Se	ection 2102(b)(2)(B)]	



✓ Compliance Reports

- Question not included in compliance report for 2020-2021, but requirements still exist.
- LEAs will respond to all Program Implementation questions asked (likely for 2021-22).

Random Validations

 TEA will focus on one or two requirements when requesting documentation from the LEA in 2021-22.

Contact Information

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ESSASupport@TEA.Texas.gov





TEA



