

What happens when LEA reports on Program Self-Check program requirements?

Programs Included on ESSA Consolidated Compliance Reports

- Title I, Part A
- Title I, Part C (Migrant Education Program)
- Title I, Part D (Subpart 1 and Subpart 2)
- Title II, Part A
- Title III, Part A (EL and Immigrant)
- Title IV, Part A
- Unsafe School Choice
- Private Non-Profit Equitable Services

Purpose of ESSA Consolidated Compliance Reports

- Data collection tool on data reported to USDE
 - Program participation
 - Expenditure Data
- Program Self-Check
 - LEAs self-reports compliance on program requirements
 - In Compliance
 - Lists Strongest Recommended Documentation
 - Not In Compliance
 - LEA describes compliance plan for the following program year
 - Not applicable (only available for applicable requirements)

What is meant by **In Compliance**?

- Reports lists “Strongest Documentation Recommended”
- LEA may have other documentation that potentially might show compliance
 - The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

Example of In Compliance

1. The LEA Title I, Part A Program Plan has been developed with timely and meaningful consultation with teachers, principals, other school leaders, paraprofessionals, specialized instructional support personnel, charter school leaders (in an LEA that has charter schools), administrators (including administrators of programs in other parts of Title I), other appropriate school personnel, and with parents of children in schools served under Title I, Part A.
[Section 1112(a)(1)(A)]

- a. In Compliance

If in compliance, the LEA assures that it has documentation showing compliance readily available upon request. Strongest documentation recommended:

- Copy of the LEA's Title I, Part A Program Plan (the requirements related to the LEA Title I, Part A Program Plan can be included in the District Improvement Plan or other LEA official plans) AND
- Meeting agendas, meeting minutes or notes, and documentation of attendance (i.e., sign-in sheets, electronic attendance rosters documenting timely and meaningful stakeholder consultation in the development of the LEA Title I, Part A Program Plan AND
- Any other consultation documentation (i.e., surveys, correspondence) used to consult with the required stakeholder groups, if applicable.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

What is meant by **Not In Compliance**?

- LEA is not meeting program requirement in the current reporting year
- LEA describes the plan to meet compliance the following year

Example of Not In Compliance

b. Not in Compliance

If not in compliance, use the space below to describe how the LEA plans to meet compliance the following year.

ESSA Consolidated Compliance Reports

What happens if LEA reports Not In Compliance?

July to
September

LEA reports "Not
in Compliance"
for a program(s)

End of
September

Compliance
Reports due on
eGrants

November

TEA will identify LEAs that reported "Not in Compliance" in one or more programs

TEA will identify LEAs that had late or no submission

January

TEA posts report on FPC ESSA LEA Compliance Report Self-Check WorkApp listing LEAs "Not in Compliance" by program by ESC. Reports available to ESCs only.

February

TEA sends email to LEAs reporting "Not In Compliance" to ensure they are on path of compliance

Spring

Provide data to Federal Compliance Officer as part of Risk Assessment

July to
September

LEA submits ESSA Consolidated Compliance Reports for next year.

What is meant by **Not Applicable**?

- Only displays on report when a requirement may have circumstance that is not applicable

Example of Not Applicable?

c. Not Applicable

If not applicable, the LEA assures that the following reason applies. No other reason is acceptable.

- The LEA only operates Targeted Assistance Program campuses.

Compliance Reports Timeline

