

## Item 6:

# Update on Divisions of Educator Leadership and Quality Department

### UPDATE AND DISCUSSION

**SUMMARY:** This item provides the State Board for Educator Certification (SBEC) an opportunity to discuss updates provided by the Division of Educator Certification; Educator Standards, Testing, and Preparation; Educator Investigations; and Legal Services for the Fiscal Year (FY) 2018-2019.

**BACKGROUND INFORMATION:** The SBEC receives updates from the Division of Educator Certification; Educator Standards, Testing, and Preparation; Educator Investigations; and SBEC Enforcement in their Board packets that are mailed to them prior to each meeting. This item will allow staff to update the Board on issues relating to certification, testing, preparation, and applicant and certified educator investigations opened and completed FY 2018-2019.

**Staff Members Responsible:**

Marilyn Cook, Director, Educator Certification

Grace Wu, Director, Educator Standards, Testing, and Preparation

David Rodriguez, Director, Educator Investigations

Laura Moriaty, Director, SBEC Enforcement

**Attachments:**

- I. Update on Educator Certification
- II. Update on Educator Standards, Testing, and Preparation
- III. Update on Educator Investigations
- IV. Update on Legal Case Docket

**ATTACHMENT I****Educator Leadership and Quality  
Division of Educator Certification**

<b>Certification Statistics for Fiscal Year 2019 (September 1, 2018 - August 31, 2019)</b>						
<b>Total Certificates Issued/Renewed/Reviewed</b>	<b><u>Quarter</u> <u>1</u></b>	<b><u>Quarter</u> <u>2</u></b>	<b><u>Quarter</u> <u>3</u></b>	<b><u>Quarter</u> <u>4</u></b>	<b><u>FY19</u> <u>Total</u></b>	<b><u>FY18</u> <u>Total</u></b>
<b>Standard Certificates</b>	15,566	15,578	20,142		<b>51,286</b>	<b>67,748</b>
<b>Renewed Certificates</b>	30,222	34,377	40,523		<b>105,122</b>	<b>123,153</b>
<b>Temporary Credentials</b>	7,341	2,510	936		<b>10,787</b>	<b>18,094</b>
<b>Review of Credentials</b>	2,401	1,164	2,162		<b>5,727</b>	<b>5,647</b>
<b>One-Year Certificates</b>	1,088	1,106	1,814		<b>4,008</b>	<b>8,044</b>
<b>OOS/OOC Standard Certificates</b>	824	1,335	1,898		<b>4,057</b>	<b>4,353</b>
<b>FY 2019 YTD</b>	<b>57,442</b>	<b>56,070</b>	<b>67,475</b>		<b>180,987</b>	<b>227,039</b>

## ATTACHMENT II

**Educator Leadership and Quality  
Division of Educator Standards, Testing, and Preparation**

<b>Testing Statistics for FY 2019 (September 1, 2018 - August 31, 2019)</b>					
	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 Total</u></b>
<b>Total Pearson Tests Administered</b>	20,810	30,943	46,442		98,195
<b>Number of Five-Time Test Limit Waivers Approved</b>	44	32	99		175
<b>Number of Pre-Admission Content Test Approvals</b>	112	142	296		550
<b>Number of Pilot Principal as Instructional Leader Tests Administered</b>	0	352	0		352
<b>Educator Preparation Statistics for FY 2019 (September 1, 2018 - August 31, 2019)</b>					
	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 Total</u></b>
<b>Certificate Inventory Updates</b>	88	22	22		132
<b>Preparation Route Certificate Updates</b>	50	119	2		171
<b>Out of State/Country Applications</b>	1	0	1		2
<b>Legal Authority Change Requests</b>	19	15	11		45
<b>New EPP Workshops</b>	0	1	0		1
<b>New EPP Applications</b>	0	0	0		0
<b>Applications Approved for Existing EPPs</b>	2	5	5		12
<b>EPP Training Workshops</b>	2	3	4		9
<b>Clinical Teaching Exception Requests</b>	0	0	0		0
<b>Certificate Deactivation Requests</b>	203	133	117		453
<b>ECOS Entity Access Requests</b>	50	40	9		99
<b>Five-Year Reviews Processed</b>	2	4	15		21
<b>Principal as Instructional Leader Reviews Processed</b>	52	5	7		64

## ATTACHMENT III

Educator Leadership and Quality  
Division of Educator Investigations

FY 2019 (September 1, 2018 – August 31, 2019)					
<b>INVESTIGATIONS PENDING</b>					<b>1,255</b>
<b>INVESTIGATIONS OPENED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
<b>Total Opened:</b>	313	329	451		1,093
<b>Percent of investigations opened on Sexual Misconduct, Violence (In School &amp; Non School), Sexual Harassment, or Inappropriate Relationship with a Student / Minor:</b>	56%	66%	65%		63%
<b>Opened by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
1 - Sexual Misconduct	10	20	13		43
2.1 - Violence In School	44	75	78		197
2.2 - Violence Non-School	35	26	36		97
3 - Drugs	80	58	88		226
4 - Sexual Harassment	0	0	0		0
5 - Official Misconduct	7	1	9		17
6 - Fraud	12	12	15		39
7 - Burglary	6	2	6		14
8 - Theft	11	5	8		24
9 - Hazing	0	0	0		0
10 - Inapp. Relation w Student/Minor	85	97	168		350
11 - Miscellaneous	23	33	28		84
12 – Supt. Failure to Report (249.14)	0	0	2		2
<b>Opened by Referral Source:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
Applicant for certification	25	10	11		46
Superintendent report and CPS report	160	198	290		648
Subsequent criminal history hit	119	116	146		381
Other (NASDTEC, complaint, etc)	9	5	4		18

<b>FY 2019 (September 1, 2018 – August 31, 2019)</b>					
<b>INVESTIGATIONS COMPLETED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
<b>Number of Investigations Completed:</b>	346	371	293		1010
<b>Percent of Investigations:</b>					
Resulting in a Sanction or Denial of Certificate	47.3%	37.4%	45.1%		43.0%
Sent to SBEC Legal Services	15.4%	24.1%	22.7%		20.8%
Resulting in Admin Closure or Issuance of Certificate	37.3%	38.5%	32.2%		36.2%
<b>Average Number of Days to Complete Cases:</b>					<b>81</b>

## ATTACHMENT IV

Educator Leadership and Quality  
SBEC Enforcement

FY 2019 (September 1, 2018 – August 31, 2019)						
<b>CASES PENDING as of May 31, 2019</b>					268	
<b>LEGAL CASES OPENED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Number of Cases Opened:	164	167	100		431	479
Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:	15%	30%	40%		27%	37%
<b>Opened by Category:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Referred from Investigations Categories 1-12	47	85	66		198	346
13 – Contract	117	82	34		233	131
14 – Testing	0	0	0		0	2
<b>LEGAL CASES CLOSED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Number of Cases Closed:	124	151	119		394	491
<b>Number of Cases Closed by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
1 - Sexual Misconduct	8	4	3		15	33
2 - Violence	18	24	36		78	81
3 - Drugs	22	29	14		65	97
4 – Sexual Harassment	0	0	0		0	3
5 – Off Mis	0	0	0		0	2
6 - Fraud	4	1	2		7	18
7 - Burglary	0	0	0		0	4
8 - Theft	6	5	3		14	28
10 - Inapp. Rel. w Student/Minor	14	21	17		52	71
11 - Miscellaneous	5	3	4		12	34
12 – Failure to Report	1	0	0		1	2
13 - Contract	46	64	65		175	111
14 - Testing	0	0	0		0	3

<b>Number of Cases Closed by Disposition:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Administrative Closure	13	9	17		39	24
Administrative Denial	0	0	0		0	2
No Action Taken	1	2	0		3	3
Non-Jurisdiction	3	2	1		6	35
Non-Inscribed Reprimand	0	0	0		0	1
Inscribed Reprimand	3	1	3		7	18
Probated Suspension	1	0	0		1	0
Suspension	58	76	72		206	201
Rescind	1	0	0		1	3
Revocation	16	27	6		49	155
Voluntary Surrender	13	9	12		34	49
Voluntary Withdrawal	0	0	0		0	0
Permanent Revocation (new FY 19)	15	25	8		48	