

## Item 5:

# Update on Divisions of Educator Leadership and Quality Department

### UPDATE AND DISCUSSION

**SUMMARY:** This item provides the State Board for Educator Certification (SBEC) an opportunity to discuss updates provided by the Division of Educator Certification; Educator Standards, Testing, and Preparation; Educator Investigations; and Legal Services for the Fiscal Year (FY) 2018-2019.

**BACKGROUND INFORMATION:** The SBEC receives updates from the Division of Educator Certification; Educator Standards, Testing, and Preparation; Educator Investigations; and Legal Services in their Board packets that are mailed to them prior to each meeting. This item will allow staff to update the Board on issues relating to certification, testing, preparation, and applicant and certified educator investigations opened and completed FY 2018-2019.

**Staff Members Responsible:**

Marilyn Cook, Director, Educator Certification

Grace Wu, Director, Educator Standards, Testing, and Preparation

Doug Phillips, Director, Educator Investigations

Laura Moriaty, Director, Legal Services for Educator Leadership and Quality

**Attachments:**

- I. Update on Educator Certification
- II. Update on Educator Standards, Testing, and Preparation
- III. Update on Educator Investigations
- IV. Update on Legal Case Docket

## ATTACHMENT I

Educator Leadership and Quality  
Division of Educator Certification

Certification Statistics for Fiscal Year 2019 (September 1, 2018 - August 31, 2019)						
Total Certificates Issued/Renewed/Reviewed	<u>Quarter</u> <u>1</u>	<u>Quarter</u> <u>2</u>	<u>Quarter</u> <u>3</u>	<u>Quarter</u> <u>4</u>	<u>FY19</u> <u>Total</u>	<u>FY18</u> <u>Total</u>
<b>Standard Certificates</b>	<b>15,566</b>					<b>67,748</b>
<b>Renewed Certificates</b>	<b>30,222</b>					<b>123,153</b>
<b>Temporary Credentials</b>	<b>7,341</b>					<b>18,094</b>
<b>Review of Credentials</b>	<b>2,401</b>					<b>5,647</b>
<b>One-Year Certificates</b>	<b>1,088</b>					<b>8,044</b>
<b>OOS/OOC Standard Certificates</b>	<b>824</b>					<b>4,353</b>
<b>FY 2019 YTD</b>	<b>57,442</b>					<b>227,039</b>

## ATTACHMENT II

**Educator Leadership and Quality  
Division of Educator Standards, Testing and Preparation**

<b>Testing Statistics for FY 2019 (September 1, 2018 - August 31, 2019)</b>					
	<b>Q1</b>	<b>Q2</b>	<b>Q3</b>	<b>Q4</b>	<b>FY19 Total</b>
<b>Total Pearson Tests Administered</b>	32,219				32,219
<b>Number of Five-Time Test Limit Waivers Approved</b>	50				50
<b>Number of Pre-Admission Content Test Approvals</b>	139				139
<b>Number of Pilot Principal as Instructional Leader Tests Administered</b>	352				352
<b>Educator Preparation Statistics for FY 2019 (September 1, 2018 - August 31, 2019)</b>					
	<b>Q1</b>	<b>Q2</b>	<b>Q3</b>	<b>Q4</b>	<b>FY19 Total</b>
<b>Certificate Inventory Updates</b>	88				88
<b>Preparation Route Certificate Updates</b>	50				50
<b>Out of State/Country Applications</b>	1				1
<b>Legal Authority Change Requests</b>	19				19
<b>New EPP Workshops</b>	0				0
<b>New EPP Applications</b>	0				0
<b>Applications Approved for Existing EPPs</b>	2				2
<b>EPP Training Workshops</b>	2				2
<b>Clinical Teaching Exception Requests</b>	0				0
<b>Certificate Deactivation Requests</b>	203				203
<b>ECOS Entity Access Requests</b>	50				50
<b>Five-Year Reviews Processed</b>	2				2
<b>Principal as Instructional Leader Reviews Processed</b>	52				52

## ATTACHMENT III

Educator Leadership and Quality  
Division of Educator Investigations

FY 2019 (through November 30, 2018)					
<b>INVESTIGATIONS PENDING as of November 30, 2018</b>					<b>1,093</b>
<b>INVESTIGATIONS OPENED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
Number Opened:	313				313
Percent of investigations opened on Sexual Misconduct, Violence (In School and Non School), Sexual Harassment, or Inappropriate Relationship with a Student / Minor:	56%				56%
<b>Opened by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
1 - Sexual Misconduct	10				10
2.1 - Violence In School	44				44
2.2 - Violence Non-School	35				35
3 - Drugs	80				80
4 - Sexual Harassment	0				0
5 - Official Misconduct	7				7
6 - Fraud	12				12
7 - Burglary	6				6
8 - Theft	11				11
9 - Hazing	0				0
10 - Inapp. Relation w Student/Minor	85				85
11 - Miscellaneous	23				23
12 – Supt. Failure to Report (249.14)	0				0
<b>Opened by Referral Source:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY 19</u></b> <b><u>YTD</u></b>
Applicant for certification	25				25
Superintendent report	160				160
Subsequent criminal history hit	119				119
Other (NASDTEC, complaint, etc)	9				9

FY 2019 (through November 30, 2018)					
INVESTIGATIONS COMPLETED	<u>Q1</u>	<u>Q2</u>	<u>Q3</u>	<u>Q4</u>	<u>FY 19</u> <u>YTD</u>
<b>Number of Investigations Completed:</b>	346				346
<b>Percent of Investigations:</b>					
Resulting in a Sanction or Denial of Certificate	47.3%				47.3%
Sent to SBEC Legal Services	15.4%				15.4%
Resulting in Admin Closure or Issuance of Certificate	37.3%				37.3%
<b>Average Number of Days to Complete Cases:</b>					<b>106</b>

## ATTACHMENT IV

Educator Leadership and Quality  
Legal Services

FY 2019 (September 1, 2018 – August 31, 2019)						
<b>CASES PENDING as of November 30, 2017</b>						213
<b>LEGAL CASES OPENED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Number of Cases Opened:	164				164	479
Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:	15%				15%	37%
<b>Opened by Category:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Referred from Investigations Categories 1-12	47				47	346
13 – Contract	117				117	131
14 – Testing	0				0	2
<b>LEGAL CASES CLOSED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Number of Cases Closed:	124				124	491
<b>Number of Cases Closed by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
1 - Sexual Misconduct	8				8	33
2 - Violence	18				18	81
3 - Drugs	22				22	97
4 – Sexual Harassment	0				0	3
5 – Off Mis	0				0	2
6 - Fraud	4				4	18
7 - Burglary	0				0	4
8 - Theft	6				6	28
10 - Inapp. Rel. w Student/Minor	14				14	71
11 - Miscellaneous	5				5	34
12 – Failure to Report	1				1	2
13 - Contract	46				46	111
14 - Testing	0				0	3

<b>Number of Cases Closed by Disposition:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY19 YTD</u></b>	<b><u>FY18 Total</u></b>
Administrative Closure	13				13	24
Administrative Denial	0				0	2
No Action Taken	1				1	3
Non-Jurisdiction	3				3	35
Non-Inscribed Reprimand	0				0	1
Inscribed Reprimand	3				3	18
Probated Suspension	1				1	0
Suspension	58				58	201
Rescind	1				1	3
Revocation	16				16	155
Voluntary Surrender	13				13	49
Voluntary Withdrawal	0				0	0
Permanent Revocation (new FY 18)	15				15	