

2012 AYP Federal Cap Process

**Performance Reporting Division
Texas Education Agency
May 24, 2012**

2012 AYP Federal Cap Process

Today's Agenda

- Overview of 2012 AYP
- 2012 AYP Timeline
- 2012 Federal Cap on Alternate Assessments
 - *How the Federal Cap Works*



Overview of 2012 AYP

2012 AYP: Standards & Targets

- Reading/English Language Arts (STAAR & TAKS)
 - Performance: **87%** Proficiency Rate
 - Participation: 95% Rate
- Mathematics (STAAR & TAKS)
 - Performance: **83%** Proficiency Rate
 - Participation: 95% Rate
- Other Indicator
 - Secondary Schools:
75% Graduation Rate (for every student group)
 - Elementary/Middle/Junior High Schools:
90% Attendance Rate (All Students only)

2012 AYP: Proficiency Rate

- **AYP Proficiency Rate:**

**Students who Met the Passing Standard
(subject to the 1% and 2% caps)**

Total Number of Students Tested

- Performance Rate is compared to the 2012 AYP Targets of:
 - 87% in Reading/English Language Arts and
 - 83% in Mathematics

2012 AYP: Assessment and Performance Standards

Enrolled Grades	Assessment	Performance Standard Planned for AYP Calculations
Grade 3-8	STAAR (English & Spanish) reading and mathematics*	Bridged to TAKS <i>Met Standard</i>
	STAAR Modified reading and mathematics	Bridged to TAKS-Modified <i>Met Standard</i>
	STAAR Alternate reading and mathematics	Bridged to TAKS-Alt <i>Met Standard</i>
	STAAR EOC English I reading and Algebra I*	Bridged to TAKS <i>Met Standard</i> for grade 9 reading and mathematics
	STAAR Modified EOC English I reading and Algebra I	No Standard Available – performance results not included in AYP. Students counted as participants only.
	STAAR EOC English II reading, Geometry and Algebra II*	STAAR <i>Phase-in Standard</i> **
	STAAR Modified EOC English II reading and Geometry	Not operational / results not included in AYP

* Includes linguistically accommodated assessments, where applicable

** A small number of students in middle school grades enrolled in high school courses are required to meet the STAAR phase-in standard to satisfy their End-of-Course testing requirements for graduation.

2012 AYP: Assessment and Performance Standards

Enrolled Grades	Assessment	Performance Standard Planned for AYP Calculations
Grade 10	TAKS and TAKS (Accommodated) ELA and mathematics*	TAKS <i>Met Standard</i>
	TAKS-Modified ELA and mathematics*	TAKS-Modified <i>Met Standard</i>
	STAAR Alternate EOC English I and Algebra I	Bridged to TAKS-Alt <i>Met Standard</i> for grade 9 reading and mathematics
	STAAR Alternate EOC English II and Geometry	Bridged to TAKS-Alt <i>Met Standard</i> for grade 10 reading and mathematics

* Includes linguistically accommodated assessments, where applicable

2012 AYP: Performance Safe Harbor

- **AYP Performance Improvement/Safe Harbor**
 - Meet the performance requirement:

<p>2012 AYP Proficiency Rate:</p> <p>Students who Met the Passing Standard (subject to the 1% and 2% caps)</p> <hr style="width: 80%; margin: 0 auto;"/> <p>Total Number of Students Tested</p>	MINUS	<p>2011 AYP Proficiency Rate:</p> <p>Students who Met the Passing Standard (subject to the 1% and 2% caps)</p> <hr style="width: 80%; margin: 0 auto;"/> <p>Total Number of Students Tested</p>	=	CHANGE
				<p>Must meet the 10 percent decrease from the prior year in percentage of students counted as not proficient.</p>

- **AND must meet the Other Indicator requirement**

2012 AYP: Alternatives to the Standards

- Performance: 10% decrease in percent not passing *plus*
 - 1) met the absolute standard for the Other Indicator, or
 - 2) achieve the required improvement on the Other Indicator
- Participation: Two-year average rate of 95%
- Other Indicator
 - Elementary/Middle/Junior High Schools:
 - Increase in Attendance Rate from previous year.

2012 AYP: Graduation Rate

- Other Indicator

Secondary Schools: Graduation Rate

Beginning with 2012 AYP, student groups will be evaluated. The minimum size requirement is the same as in past years: 50 / 10.0% / 200.

The graduation rate calculations include five alternatives for districts and campuses to meet the required goal and targets pending USDE approval:

- 4-year longitudinal Annual Graduation Rate Goal of 90.0%.
- 5-year longitudinal Annual Graduation Rate Target of 80.0%.

2012 AYP: Graduation Rate Alternatives

- 4-year Annual Graduation Rate Target of 75.0%
- 4-year Graduation Rate Alternatives:
 - **Safe Harbor Target**
A 10.0 percent decrease in difference between the prior year 4-year Graduation Rate and the 90.0 percent statewide goal
 - **Improvement Target**
A 1.0 percent increase from the prior year 4-year Graduation Rate

2012 AYP: PK/K Campuses

Pairing Requests for PK/K Campuses

- Beginning in 2011, the pairing application has been expanded to meet the U.S. Department of Education (USDE) requirement to implement pairing assignments for PK/K campuses for federal accountability AYP purposes only.
- The pairing process for PK/K campuses in AYP was similar to the AYP pairing of campuses for results in past years.
- For PK/K campuses, if the information was not provided through the TEASE pairing application, campus pairing decisions were assigned by TEA.



2012 AYP Timeline

2012 AYP Timeline

Tuesday May 22, 2012	Campus Priority List for the 2% Federal Cap available online (TEASE)
June	Expected USDE approval of requested amendments to the 2012 Texas AYP Workbook Texas has tentative approval of 2012 calculation.
June	2012 AYP Guide released
Tuesday July 10, 2012	Deadline for Campus Priority List for the 2% Federal Cap
July 31, 2012	TEASE release of Preliminary 2012 AYP Data Tables <i>without</i> AYP/SIP labels for all districts and campuses

2012 AYP Timeline

Wednesday August 8, 2012	Public release of Preliminary 2012 AYP/SIP statuses for all districts and campuses
Sept. 7, 2012	Appeals and Federal Cap Exceptions Deadline
December	Final 2012 AYP Status released Preview of NCLB School Report Card data (Part I only)
January, 2013	Public release of the 2011-12 NCLB Report Card



How the Federal Cap Works

What is the Federal Cap?

- Federal regulations require limits on the number of proficient results that can be included in the 2012 AYP performance calculations.
- The federal 1% and 2% caps only apply to the number of proficient results that can be counted in the AYP performance calculations.
- The federal cap does not affect the AYP participation calculations. Federal regulations do not limit the number of students that may be tested on either the TAKS-M, STAAR Modified, or STAAR Alternate.

Overview of 1% Cap

Students are selected randomly from STAAR Alternate results.

STAAR Alternate results processed for the cap include:

- Meet Standard on STAAR Alternate (only).

Overview of 1% Cap

Exceptions to the 1% cap will be applied to school districts prior to the Preliminary AYP Release in August 2012.

- registered with the TEA Special Education Residential Facilities Tracking System (RF Tracker) for school year 2011-12.
- included in the 2011-12 Directory for Services for the Deaf in Texas, Regional Day School Programs for the Deaf (RDSPD).

Other Circumstance Exceptions to the 1% Cap are allowable and may be submitted as a regular appeal through the AYP Appeal online system.

Overview of 2% Cap

TAKS-M and STAAR Modified proficient results are selected and processed for the cap from results that:

- Meet Standard on TAKS-M and STAAR Modified (only).

Overview of 2% Cap

The 2% Federal Cap process includes:

Step 1) TEA prioritizes campuses **by grades served and proportion of students with disabilities enrolled**. School districts have the opportunity to review and/or modify the campus rankings.

Step 2) Student results are selected in order to **maximize the number of campuses that Meet AYP** beginning with the campuses assigned the highest priority.

Overview of the Federal Cap Process

- Set Federal Cap limits by *subject* based on Participation
- 1% Cap: select students randomly from proficient STAAR Alternate results
- 2% Cap:
 - Request Campus Rankings
 - Develop AYP Outcome Scenarios for TAKS-M and STAAR Modified by subject:
 - all TAKS-M and STAAR Modified passing results set to non-proficient (*failing*)
 - all TAKS-M and STAAR Modified passing student remain proficient (*passing*)
 - In campus ranking order, select students
 - Compare to the district limit
 - Compare to the statewide limits and apply Statewide Recapture if needed

Set the Federal Cap Limits

- Assume there are **2,000 students enrolled** in a school district. Approximately 50% of district enrollment is in grades 3 – 8 and 10.
- Of the **total 2,000 students enrolled** in a school district, assume approximately 1,030 will be enrolled in grades evaluated for AYP, grades 3 – 8 and 10.
- The school district tests all enrolled students in various subjects in Spring of each year. **AYP data tables** report the number of **students enrolled on the day of testing for the Reading and Mathematics test subjects in grades 3 – 8 and 10.**

Set the Federal Cap Limits

Students **participating in Reading and Mathematics** tests will be reported on AYP.

TEXAS EDUCATION AGENCY		Page 2 of 2
Adequate Yearly Progress District Data Table		
AYP Results		
District Name:	SOMEWHERE ISD	
	All Students	
Participation: Reading/ELA		
2011-12 Assessments		
Number Participating		
Total Students	1,000	
Participation Rate		
2010-11 Assessments		
Number Participating		
Total Students		
Participation Rate		
Average Two-Year Participation Rate		
Participation: Mathematics		
2011-12 Assessments		
Number Participating		
Total Students	1,030	

Set the Federal Cap Limits

The AYP Federal Caps on Proficient results are:

District Participation Denominator x .01 = STAAR Alternate Limit

District Participation Denominator x .02 = TAKS-M & STAAR Modified Limit

For the **Reading** Performance results,

School District SOMEWHERE ISD will have the following caps:

1% Federal Cap Limit = 1,000 x .01 = 10.0 = 10

2% Federal Cap Limit = 1,000 x .02 = 20.0 = 20

The **overall 3%** cap limit for Reading is the 1% limit plus the 2% limit, or $10 + 20 = 30$.

Set the Federal Cap Limits

For the **Mathematics** Performance results,
School District SOMEWHERE ISD will have the following caps:

$$1\% \text{ Federal Cap Limit} = 1,030 \times .01 = \mathbf{10.3}$$

$$2\% \text{ Federal Cap Limit} = 1,030 \times .02 = \mathbf{20.6}$$

Federal Cap Rounding Rules

The federal cap limit is rounded up to the next whole number for any decimal value.

$$1\% \text{ Federal Cap Limit} = \mathbf{11}$$

$$2\% \text{ Federal Cap Limit} = \mathbf{21}$$

The **overall 3%** cap limit = $11 + 21 = \mathbf{32}$.

AYP Source Data Table

The **AYP Source Data Table** will continue to be provided to school districts to show AYP performance results **without the application of the federal 1% and 2% caps.**

FYI: The AYP full academic year (**accountability subset**) definition requires that AYP Performance results include students enrolled in Fall 2011 and tested in Spring 2012 in the same school district. For this reason, **fewer than 1,000 students are included in the AYP performance results.**

AYP Source Data Table

AYP Source Data Table: AYP Performance results are reported **without the application** of the federal caps for Reading and Mathematics.

Page 1 of 2

T E X A S E D U C A T I O N A G E N C Y
 Adequate Yearly Progress District Data Table
 2012 AYP Source Data Table
 (Does not apply the federal caps)

District Name: SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	818	229	152
Number Tested	940	260	170
% Met Standard	87%	88%	89%
2010-11 Assessments			
Met Standard	807	214	149
Number Tested	955	261	177
% Met Standard	85%	82%	84%
Change 2011 to 2012	2	6	5
Performance: Mathematics			
2011-12 Assessments			
Met Standard	744	209	155
Number Tested	948	258	168
% Met Standard	78%	81%	92%

Federal Cap Example

Assume the following test takers for the district:

Reading Assessments Grades 3 – 8 & 10

District Name: SOMEWHERE ISD							
		Non-Special Ed Stds		Special Education Students			
District Summary		All	TAKS / STAAR	TAKS / STAAR	TAKS-Accom	TAKS- & STAAR Modified	STAAR Alternate
Tests Taken		1,000	703	92	80	73	52
Percent of Total			70%	9%	8%	7%	5%
Campus List							
001	The High School	150	40	45	50	10	5
041	Our Middle School	450	356	19	15	30	30
103	My Elementary	200	153	13	7	25	2
105	North Elementary	200	154	15	8	8	15

Federal Cap Example

TAKS-M, STAAR Modified, and STAAR Alternate results **exceed the federal limit.**

Reading Performance:

1% Federal Cap Limit = 10

2% Federal Cap Limit = 20

ISD		TAKS- & STAAR Modified	STAAR Alternate
Number Proficient		24	14
Tests Taken		73	52
Campus List			
001	The High School	Number Proficient	5
		Tests Taken	10
041	Our Middle School	Number Proficient	2
		Tests Taken	30
103	My Elementary	Number Proficient	15
		Tests Taken	25
105	North Elementary	Number Proficient	2
		Tests Taken	8

Federal Cap Example

For proficient results to remain within the federal cap limits, **4 students taking TAKS-M or STAAR Modified and 4 students taking STAAR Alternate** must be reclassified to non-proficient for AYP purposes only.

Actual Assessment Results

District Name: SOMEWHERE ISD		TAKS- & STAAR Modified	STAAR Alternate
District Summary	Number Proficient	24	14
	Tests Taken	73	52

After Reclassification to Non-proficient:

District Name: SOMEWHERE ISD		TAKS- & STAAR Modified	STAAR Alternate
District Summary	Number Proficient	20	10
	Tests Taken	73	52

1% Federal Cap Example

How do we select proficient results from STAAR Alternate?

- **1% cap on STAAR Alternate Assessment Results**

By Random Assignment

Students are randomly selected up to the federal cap limit.

1% Federal Cap Example

Select 10 students randomly from the available pool:

Original STAAR Alternate Assessment Results			
District Name: SOMEWHERE ISD		STAAR Alternate	
District Summary	Number Proficient	14	
	Tests Taken	52	
Proficient Results			
	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>
1	Student A	105	Y
2	Student B	001	Y
3	Student C	105	Y
4	Student D	041	Y
5	Student E	001	Y
6	Student F	105	Y
7	Student G	001	Y
8	Student H	041	Y
9	Student I	041	Y
10	Student J	105	Y
11	Student K	103	Y
12	Student L	001	Y
13	Student M	103	Y
14	Student N	105	Y

1% Federal Cap Example

Select 10 students randomly from STAAR Alternate proficient results, and **use for campus, district, and state AYP calculations only.**

AYP Reading Results for STAAR Alternate After Reclassified to non-proficient:

District Name: SOMEWHERE ISD		TAKS- & STAAR Modified	STAAR Alternate
District Summary	Number Proficient	20	10
	Tests Taken	73	52

2% Federal Cap Example

How do we select proficient results from TAKS-M and STAAR Modified?

■ 2% cap on TAKS-M and STAAR Modified Assessment Results

Combination Method

Step 1: TEA prioritizes campuses **by grades served and proportion of students with disabilities enrolled**. School districts have the opportunity to review and/or modify the campus rankings.

Step 2: Student results are selected in order to **maximize the number of** campuses that Meet AYP beginning with the campuses assigned the highest priority.

Campus Ranking

2% Cap: TEA prioritizes campuses, school districts have the opportunity to review and/or modify.

May 22, 2012

The Texas Education Agency Security Environment **(TEASE) Accountability Website provides the prioritized list of campuses** for each school district.

Deadline: Tuesday, July 10, 2012

Districts may modify the sorting order and change the ranking of the campuses using any method they choose, and must either **provide a final campus ranking to TEA or allow the default ranking to be used.**

Campus Ranking

2% Cap: TEA prioritizes campuses.

TEA provides a list of campus rankings that districts may accept as the default campus ranking.

Default Campus Ranking lists are based on Fall 2011 PEIMS information for each campus. The lists are sorted by:

1st Sort: School Type (Secondary, Both, Middle, Elem.)

2nd Sort: Highest Grade Served on the Campus

3rd Sort: Percent of Students served by Special Education
of Total Enrolled on the campus

These values are reported on the campus AEIS reports.

Campus Ranking

2% Cap: TEA provides a list of campus rankings based on **2011 Fall PEIMS data (reported on 2012 AEIS Reports).**

TEXAS EDUCATION AGENCY	
Academic Excellence Indicator System	
2011-12 Campus Profile	
District Name: SOMEWHERE ISD	Section II - Page 4
Campus Name: The High School	Total Students: 517
Campus #: 000000001	Grade Span: 09 - 12
	School Type: Secondary
ACTUAL OPERATING EXPENDITURE INFORMATION	
PROGRAM INFORMATION	
Student Enrollment by Program:	-----Campus-----
	Count Percent
Bilingual/ESL Education	
Career & Technology Education	
Gifted & Talented Education	
Special Education	26 5.0%

1st Sort Order

2nd Sort Order

3rd Sort Order

Campus Ranking

2% Cap: TEA provides a list of campus rankings to school districts via TEASE.

SAMPLE

2012 FEDERAL CAP CAMPUS PRIORITY LIST SCHOOL DISTRICT: <i>Somewhere ISD</i> RANKING ENTRY PAGE						
Default Campus Ranking	New Ranking	Campus Number	Campus Name	Campus Type	Highest Grade Enrolled 2011-12	Campus Pct Special Education Students Enrolled 2011-12
1		001	The High School	Secondary	12	5.0
2		041	Our Middle School	Middle	08	20.0
3		103	My Elementary	Elementary	05	10.0
4		105	North Elementary	Elementary	04	25.0

Campus Ranking

2% Cap: School districts have the **opportunity to review and/or modify their ranking to TEA.**

SAMPLE

2012 FEDERAL CAP CAMPUS PRIORITY LIST						
SCHOOL DISTRICT: <i>Somewhere ISD</i>						
REVIEW OF MODIFIED CAMPUS RANKING						
District Modified Ranking	TEA Default Campus Ranking	Campus Number	Campus Name	Campus Type	Highest Grade Enrolled 2011-12	Campus Pct Special Education Students Enrolled 2011-12
1	1	001	The High School	Secondary	12	5.0
2	2	041	Our Middle School	Middle	08	20.0
3	4	105	North Elementary	Elementary	04	25.0
4	3	103	My Elementary	Elementary	05	10.0



2% Federal Cap Example

2% Cap: *Reminders before Selecting Students.*

- ✓ *Step 1)* TEA prioritizes campuses **by grades served and proportion of students with disabilities enrolled**. School districts have the opportunity to review and/or modify the campus rankings.
- ✓ *Step 2)* Student results are selected in order to **maximize the number of campuses that Meet AYP** beginning with the campuses assigned the highest priority.

The TEASE Accountability application opened May 22, 2012.

2% Federal Cap Example

2% Cap: *Reminders before Selecting Students:*

2012 AYP Calculation Criteria

- District and/or campus performance results for each student group are evaluated and all AYP calculation rules are applied.
 1. the application of the accountability subset,
 2. minimum size criteria for each student group,
 3. the ability for those student groups that do not meet the AYP performance standard to meet Performance Safe Harbor,
 4. Determination of the Other Measure (graduation or attendance rate) outcome.

Note that the graduation rate is evaluated for every student group for 2012 AYP.

2% Federal Cap Example

2% Cap: *Reminder before Selecting Students:*

Determining Number of Students Needed for 2012 AYP

- For districts and/or campuses that may use Performance Safe Harbor or Performance that meets standard, the **final number of students needed** for the subject to meet AYP is the **smallest** of:
 - the number needed to meet the standard, **OR**
 - the number needed to meet the Performance Safe Harbor

2% Federal Cap Example

2% Cap: *Reminder before Selecting Students:*

Determining Number of Students Needed for 2012 AYP

For example, a campus may need:

- a) **Five** TAKS-M or STAAR Modified passers to be considered Proficient in order to meet the AYP Proficient Rate (AYP Target of 87% in Reading).
 - b) **Two** TAKS-M or STAAR Modified passers to be considered Proficient in order to meet the Performance Safe Harbor calculation.
- Only **two** students are selected for this campus - the smallest of the number needed to Meet AYP.

How the Federal Cap Works

2% Cap:

Stages of Student Selection

- I. Students are selected beginning with the first campus in the campus ranking to the extent needed for the **campus** to Meet AYP.
- II. If additional students can be included under the federal cap, students are selected to the extent needed for the **district** to Meet AYP.
- III. If additional students can be included under the federal cap, students are **selected randomly** up to the federal cap limit.

How the Federal Cap Works (cont.)

2% Cap: Steps to select proficient results from the pool of TAKS-M and STAAR Modified proficient Reading tests:

Stage I

1. Begin with the campus ranked with the highest priority.
2. Determine the number and type of students needed to Meet AYP in Reading.
3. Select proficient results for only the number needed to Meet AYP in Reading for AYP Data Table results.
4. Continue with the campus ranked 2nd in priority, etc., until all campuses are completed.

How the Federal Cap Works (cont.)

2% Cap: Steps to select proficient results from the pool of TAKS-M and STAAR Modified proficient Reading tests:

Stage II

5. Determine the number and type of students needed for the district to Meet AYP in Reading.
6. Select proficient results for only the number needed to Meet AYP in Reading for AYP Data Table results

Stage III

Select the remaining students randomly up to the federal cap limit.

How the Federal Cap Works (cont.)

2% Cap, Selection Step 1:

Begin with the **campus ranked with the highest priority**, in this example, The High School.

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T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

THE HIGH SCHOOL (000000001) SOMEWHERE ISD

	All Students	Special Education
Performance: Reading/ELA		
2011-12 Assessments		
Met Standard	61	54
Number Tested	105	92
% Met Standard	58%	59%

How the Federal Cap Works (cont.)

2% Cap, Selection Step 2: Determine the number and type of students needed to Meet AYP.

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T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

THE HIGH SCHOOL (000000001) SOMEWHERE ISD

	All Students	Special Education
Performance: Reading/ELA		
2011-12 Assessments		
Met Standard	61	54
Number Tested	105	92
% Met Standard	58%	59%
2010-11 Assessments		
Met Standard	68	38
Number Tested	117	68
% Met Standard	58%	56%
Change 2011 to 2012	0	3
Improvement Required	4	4

Number of Students Needed for Reading:

1 Special Education student
4 Total students

How the Federal Cap Works (cont.)

2% Cap, Selection Step 2: Determine the number and type of students needed to Meet AYP for all Student Groups.

Page 1 of 2

T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

THE HIGH SCHOOL (000000001) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	61	55	54
Number Tested	105	103	92
% Met Standard	58%	53%	59%
2010-11 Assessments			
Met Standard	68	53	38
Number Tested	117	102	68
% Met Standard	58%	52%	56%
Change 2011 to 2012	0	1	3
Improvement Required	4	5	4

Number of Students Needed for Reading:

4 Economically Disadvantaged students
 1 Special Education student
 4 Total students

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

Select only students needed to Meet AYP in Reading from the available pool of TAKS-M and STAAR Modified proficient results:

Original TAKS-M & STAAR Modified Assessment Results				
District Name: SOMEWHERE		TAKS-M & STAAR Modified		
ISD				
District Summary	Proficient Tests Taken	24 73		
Proficient Results		Other Criteria		
<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1 Student A	001	Y	Yes	Asian
2 Student B	001	Y	Yes	African American
3 Student C	001	Y	Yes	White
4 Student D	001	Y	Yes	American Indian
5 Student E	001	Y	No	Hispanic
6 Student F	041	Y	.	.
7 Student G	041	Y	.	.
8 Student H	105	Y	.	.
9 Student I	105	Y	.	.
10 Student J	103	Y	.	.
...
24 Student X	103	Y	.	.

Sorted by Priority Campus

and student criteria that help Meet AYP

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

Select only **students needed to Meet AYP** in Reading:

	Proficient Results		<u>Other Criteria</u>		
	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.

Only 4 students are selected for Campus 001, ...

... and selected students contribute to other AYP student groups.

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

Select only students needed to Meet AYP in Reading:

Proficient Results

Other Criteria

	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105			
9	Student I	105			

Some students will not be selected and may be listed as “Exceeders” on AYP Student Lists

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

Select only students needed to Meet AYP in Reading, and update AYP Data Table results.

THE HIGH SCHOOL (000000001) SOMEWHERE ISD			
	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	65	59	58
Number Tested	105	103	92
% Met Standard	62%	57%	63%
2010-11 Assessments			
Met Standard	68	53	38
Number Tested	117	102	68
% Met Standard	58%	52%	56%
Change 2011 to 2012	4	5	7
Improvement Required	4	5	4

Meets AYP in Reading

How the Federal Cap Works (cont.)

2% Cap, Proceed with the next campus, Selection Step 1:
The campus ranked 2nd in priority in this example is Our Middle School.

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TEXAS EDUCATION AGENCY
Adequate Yearly Progress Campus Data Table
AYP Results

OUR MIDDLE SCHOOL (000000041) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	390	121	77
Number Tested	438	138	89
% Met Standard	89%	88%	87%

How the Federal Cap Works (cont.)

2% Cap, Selection Step 2:

Determine the number of students needed to Meet AYP in Reading.

Our Middle School, already **Meets AYP in Reading**.

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T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

OUR MIDDLE SCHOOL (000000041) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	390	121	77
Number Tested	438	138	89
% Met Standard	89%	88%	87%

Meets AYP in Reading

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

Select only students needed to Meet AYP, however, **no students are needed** from Our Middle School.

Proficient Results			<u>Other Criteria</u>		
	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9					

No students selected from this campus, and students may be listed as “Exceeders” on AYP Student Lists

How the Federal Cap Works (cont.)

2% Cap, Proceed with the next campus, Selection Step 1:
The campus ranked 3rd in priority in this example is North Elementary.

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T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

NORTH ELEMENTARY (000000105) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	95	61	28
Number Tested	175	119	42
% Met Standard	54%	51%	67%

How the Federal Cap Works (cont.)

2% Cap, Selection Step 2:

Determine the number and type of students needed to Meet AYP in Reading for North Elementary.

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T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

NORTH ELEMENTARY (000000105) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	95	61	28
Number Tested	175	119	42
% Met Standard	54%	51%	67%
	68	53	32
	117	102	51
	58%	52%	63%
	-4	-1	4
	4	5	

Number of Students Needed for Reading:
7 Economically Disadvantaged students
13 Total students

This campus needs 13 students in order to Meet AYP in Reading...

How the Federal Cap Works (cont.)

2% Cap, Selection Step 3: Select only students needed to Meet AYP in Reading:

Proficient Results			<u>Other Criteria</u>		
	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	White
5	Student E	001	Y	Yes	White
6	Student F	001	Y	Yes	White
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9	Student I	105	Y	.	.
10	Student J	103	Y	.	.
	⋮	⋮	⋮	⋮	⋮
24	Student X	103	Y	.	.

Only 2 students are included in the TAKS-M and STAAR Modified pool of proficient results...



How the Federal Cap Works (cont.)

2% Cap, Selection Step 3:

There are not enough students to Meet AYP in Reading; **no students are selected** from North Elementary.

	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9	Student I	105	Y	.	.
10	Student J	103	Y	.	.
	⋮				
24	Student X				

... therefore, no students selected from this campus, and students may be listed as “Exceeders” on AYP Student Lists

How the Federal Cap Works (cont.)

2% Cap, There are no students selected for North Elementary, therefore the campus Missed AYP.

Page 1 of 2

T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

NORTH ELEMENTARY (000000105) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	95	61	28
Number Tested	175	119	42
% Met Standard	54%	51%	67%

Missed AYP in Reading

How the Federal Cap Works (cont.)

2% Cap, Last Campus, Selection Step 1, 2 & 3:
Campus ranked **4th** in priority,
Meets AYP and **no students are needed.**

Page 1 of 2

T E X A S E D U C A T I O N A G E N C Y
Adequate Yearly Progress Campus Data Table
AYP Results

MY ELEMENTARY (000000103) SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA			
2011-12 Assessments			
Met Standard	160	141	37
Number Tested	180	161	42
% Met Standard	89%	88%	88%

Meets AYP in Reading

How the Federal Cap Works (cont.)

**2% Cap, Last Campus, Selection Step 1, 2 & 3:
No students selected.**

	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9	Student I	105	Y		
10	Student J	103	Y		
	⋮	⋮			
24	Student X	103			

No students selected from the last Campus listed in the Ranking

How the Federal Cap Works (cont.)

2% Cap, Step 5:

After all campuses are completed, **select remaining students to help the district Meet AYP** up to the federal cap.

Additional processing is conducted to ensure that:

- As many students available in the pool of TAKS-M and STAAR Modified results are selected in up to the federal cap limit.
- The number and type of students needed for the school district to Meet AYP in Reading are considered.
- Additional students selected will be counted proficient in campus, district, and state AYP Data Table results.

How the Federal Cap Works (cont.)

2% Cap, Step 5:

After all campuses are completed, select remaining students to **help the district Meet AYP** up to the federal cap.

	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	African American
3	Student C	001	Y	Yes	White
4	Student D	001	Y	Yes	American Indian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9	Student I	105	Y	.	.
10	Student J	103	Y	.	.

24	Student X	103	Y	.	.

How the Federal Cap Works (cont.)

2% Cap, Step 5: Determine the number and type of **students needed for the school district to Meet AYP** in Reading (*accounting for previously selected students*).

Page 1 of 2

TEXAS EDUCATION AGENCY
Adequate Yearly Progress District Data Table
AYP Results

District Name: SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA 2011-12 Assessments			
Met Standard	810	225	144
Number Tested	940	260	170
% Met Standard	86%	87%	85%

Number of Students Needed for Reading:

4 Special Education students


4 Total students

How the Federal Cap Works (cont.)

2% Cap, Step 6, Stage II of the selection process:
 Students are selected from the *unselected* students.

	<u>Student</u>	<u>Campus</u>	<u>Met Standard</u>	<u>Economically Disadvantaged</u>	<u>Ethnicity</u>
1	Student A	001	Y	Yes	Asian
2	Student B	001	Y	Yes	Asian
3	Student C	001	Y	Yes	Asian
4	Student D	001	Y	Yes	Asian
5	Student E	001	Y	No	Hispanic
6	Student F	041	Y	.	.
7	Student G	041	Y	.	.
8	Student H	105	Y	.	.
9	Student I	105	Y	.	.
10	Student J	103	Y	.	.
	⋮	⋮	⋮	⋮	⋮
24	Student X	103	Y	.	.

Select 4 additional students for the district to Meet AYP.



How the Federal Cap Works (cont.)

2% Cap, Step 6, Select only students needed for the district to Meet AYP in Reading, and update AYP Data Table results.

Page 1 of 2

TEXAS EDUCATION AGENCY
Adequate Yearly Progress District Data Table
AYP Results

District Name: SOMEWHERE ISD

	All Students	Econ. Disadv.	Special Education
Performance: Reading/ELA 2011-12 Assessments			
Met Standard	814	225	148
Number Tested	940	260	170
% Met Standard	87%	87%	87%

Meets AYP in Reading

How the Federal Cap Works (cont.)

2% Cap, Final Step, Stage III: Select up to the cap limit.
 Remaining students are selected randomly from the *unselected* students.

	<u>Student</u>	<u>Campus</u>	<u>Meets</u>	<u>Standards</u>	<u>AYP</u>	<u>Hispanic</u>	<u>American Ind</u>
1	Student A	001	Y	Y	Yes	.	.
2	Student B	001	Y	Y	Yes	.	.
3	Student C	001	Y	Y	Yes	.	.
4	Student D	001	Y	Y	Yes	.	.
5	Student E	001	Y	Y	No	.	Hispanic
6	Student F	041	Y	Y	.	.	.
7	Student G	041	Y	Y	.	.	.
8	Student H	105	Y	Y	.	.	.
9	Student I	105	Y	Y	.	.	.
10	Student J	103	Y	Y	.	.	.
...
24	Student X	103	Y	Y	.	.	.

Random selection of 12 more students from campuses that Meet AYP or will never Meet AYP.

How the Federal Cap Works (cont.)

2% Cap Completed:

A final summary of the proficient student results selected:

District Name: SOMEWHERE ISD

Summary of TAKS-M and STAAR Modified Students Selected from each Campus

001	The High School	4
041	Our Middle School	0
103	My Elementary	0
105	North Elementary	0
000	Somewhere ISD	4
	<i>Students selected randomly</i>	<u>12</u>
	2% Federal Cap Limit =	20

How the Federal Cap Works (cont.)

Campus Ranking and Scenario Results used for the 2% Federal Cap

TEXAS EDUCATION AGENCY					
SOMEWHERE ISD					
District Final Campus Ranking	District/Campus Number	District/Campus Name	Reading: If all TAKS-M and STAAR Modified "pool" assigned non-proficient (exceeders)	If missed, number of students needed for Reading to meet AYP (by student group)+	Reading: If all TAKS-M and STAAR Modified "pool" assigned proficient (passing)
-	999999	Somewhere ISD	Missed	4-0-0-0-0-4-0	Meets
1	999999001	The High School	Missed	4-0-0-0-4-1-0	Meets
2	999999041	Our Middle School	Meets	0-0-0-0-0-0-0	Meets
3	999999105	North Elementary	Missed	13-0-0-0-7-0-0	Missed
4	999999103	My Elementary	Meets	0-0-0-0-0-0-0	Meets

See ***Explanation of Campus Ranking and Scenario Results*** for clarification about these lists.

1% & 2% Federal Cap Example

2% Cap Final Results.

After the selection all student results in the 2% cap for TAKS-M and STAAR Modified (20 students), the **AYP campus, district, and state Data Tables are calculated using the reclassified results.**

AYP Reading Results for TAKS-M and STAAR Modified After Reclassified to Non-proficient:

District Name: SOMEWHERE ISD		TAKS- & STAAR Modified	STAAR Alternate
District Summary	Number Proficient	20	10
	Tests Taken	73	52

Processing Steps for 2% Federal Cap

1. Combine all assessments used for AYP by subject and calculate the 1% and 2% federal cap limits.
2. Determine the number of STAAR Alternate results that will be counted proficient for AYP.
3. Estimate Scenario #1: All TAKS-M and STAAR Modified results counted as failing.
4. Estimate Scenario # 2: All TAKS-M and STAAR Modified results counted as passing.
5. Identify the campuses that need TAKS-M and STAAR Modified proficient results in order to Meet AYP.

Additional Considerations

- Appeals to the campus ranking or 2012 federal cap process will not be considered.
- Districts cannot exceed the 1% cap for STAAR Alternate. However, if they do not fully use the 1% cap, then districts can exceed the 2% cap (up to 3%).
- School districts may not Meet AYP after the federal cap process since priority is given to the campuses meeting AYP.
- Campuses given a higher priority for the selection of students may require all available proficient student results.

Exceptions to the 1% Federal Cap

- Exceptions to the 1% cap on STAAR Alternate proficient results will be automatically applied for school districts registered with the TEA Special Education Residential Facilities Tracking System (RF Tracker). *Note: Student data reported through the RF Tracker system are not used to determine the extent of the school district's exception to the 1% cap.*
- Exceptions to the 1% cap for school districts with Regional Day School Programs for the Deaf will be automatically applied.
- Exceptions to the 1% cap for Other Circumstances will continue to be considered during the 2012 AYP Appeal period.
- Federal regulations do not permit exceptions to the 2% cap.

Accountability Resources

- For more information on the 1% and 2% federal cap, see the 2011 AYP Guide, at www.tea.state.tx.us/ayp, specifically:
 - Section III: Federal Cap on alternate assessments (pgs 40-47)
 - Appendix C: Sample District Federal Cap Calculations (pg 120), and
 - Appendix D: How to Calculate the 1% and 2% Federal Cap Limits (pgs 136-138).
- Email the Division of Performance Reporting at performance.reporting@tea.state.tx.us.
- Phone the Division of Performance Reporting at (512) 463-9704.