

STATE OF TEXAS §
COUNTY OF TRAVIS §

		Education Research Center (ERC) School District Consolidation
Division Number:	601	Program Name: Report
Org. Code:		Legal/Funding Authority: TEC 12.1013(e)
Speed Chart:		TGC 771
Payee Name:	The University of Texas at Dallas	ISAS Contract #: 3727
Payee ID:	3738738738	PO #: 36604

INTERAGENCY CONTRACT

Section 1.0 AGENCIES AND AUTHORITY:

This Interagency Contract (IAC) is entered into by and between the Texas Education Agency (Receiving Agency) and The University of Texas at Dallas (Performing Agency) pursuant to the authority granted and in compliance with the provisions of the Interagency Cooperation Act, Chapter 771, of the Texas Government Code which enables state agencies to contract with other state agencies for governmental functions and services.

Section 2.0 STATEMENT OF SERVICES TO BE PERFORMED:

Appendix One, Description of Services, attached hereto, is hereby incorporated by reference and made, therefore, a part of this IAC.

Section 3.0 TERM OF CONTRACT:

This IAC is to begin May 18, 2017 and shall terminate on August 31, 2017. Contract may be renewed for two (2) additional one-year terms thereafter by mutual agreement of the Agencies in the form of a written amendment.

Section 4.0 AMOUNT:

The total amount of this IAC shall not exceed \$39,999.96 for the term of the IAC. Appendix Two, Task by Budget, attached hereto, is hereby incorporated by reference and made, therefore, a part of this IAC.

Section 5.0 PAYMENT FOR SERVICES:

Texas Education Agency (TEA) shall pay Performing Agency according to the accepted cost proposal to provide the services and/or resources described in this IAC. TEA shall pay for services received from the appropriation item or account from which the TEA would ordinarily make expenditures for similar services or resources. Payments received by the Performing Agency shall be credited to its current appropriation item(s) or account(s) from which the expenditure for the services or resources were made.

Performing Agency shall bill the TEA monthly for services rendered in accordance with the provisions of the IAC. Performing Agency may submit invoices electronically to the following email address: TEAAccountsPayable@tea.texas.gov or the Performing Agency can direct invoices to:

Texas Education Agency
Attn: Accounting Department
1701 N. Congress Avenue, Suite 2-125
Austin, Texas 78701-1494

Section 6.0 CONTRACT MANAGEMENT:

6.1 Notices: Any notice relating to this IAC, which is required or permitted to be given under this IAC by one Agency to the other Agency shall be in writing and shall be addressed to the Receiving Agency at the email address specified below.

6.2 Points of Contact: Agencies shall direct all correspondence and notices related to the contract to:

TEA (Receiving Agency)	Name (Performing Agency)
Jennifer Broussard	Emily Lacy
Director	Associate Director
Research & Analysis	Office of Sponsored Projects
Texas Education Agency	The University of Texas at Dallas
Jennifer.Broussard@tea.texas.gov	osp@utdallas.edu
1701 N. Congress Avenue	800 W. Campbell Road, AD15
Austin, Texas 78701-1494	Richardson, Texas 75080

Section 7.0 CONTRACT AMENDMENT:

Any modifications, additions, or deletions, to the terms and conditions of this IAC, including the allocation of additional funds to the current list of proposed activities, or any extensions of the IAC shall be processed through a written amendment and executed by both Agencies.

Section 8.0 ENTIRE CONTRACT:

This contract together with the documents mentioned herein and which are incorporated herein by this reference, contains the entire agreement between the Agencies relating to the rights granted and the obligations assumed in it. Any oral representations or modifications concerning this contract shall be of no force or effect unless contained in a subsequent amendment executed by both Agencies.

8.1 Appendixes:

- a. Appendix One, Description of Services
- b. Appendix Two, Task by Budget
- c. Appendix Three, Task Activity Plan
- d. Appendix Four, Proposal for School Consolidation Report

8.2 Attachments:

Attached hereto and made a part hereof by reference are the documents indicated below with an "X" beside each:

- Terms & Conditions
- Special Provisions A – Program Specific
- Amended and Restated Memorandum of Understanding between The Texas Education Agency (TEA) and The University of Texas System (UTS) Regarding Copyrights in Works Created by UTS Component Institutions with TEA Funding

Section 9.0 CERTIFICATIONS:

RECEIVING AGENCY further certifies that it has the authority to receive the above services by authority granted in: Texas Government Code, Chapter 771, Interagency Cooperation Act

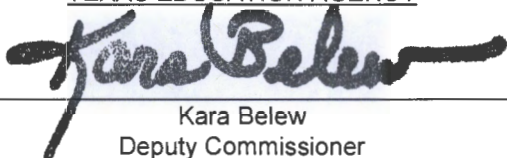
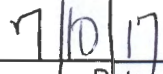
PERFORMING AGENCY further certifies that it has authority to perform the above services by authority granted in: Texas Government Code, Chapter 771, Interagency Cooperation Act.

Subject to approval, the authorized representatives of the undersigned Agencies bind themselves to the faithful performance of this IAC. It is mutually understood that this IAC will be effective on the date shown in Section 3.0 or upon signature of TEA whichever is later.

RECEIVING AGENCY

PERFORMING AGENCY

TEXAS EDUCATION AGENCY

By: 
Kara Belew
Deputy Commissioner
Finance Administration

Date

By: 
Emily Lacy
Associate Director, Office of Sponsored Projects
7/10/17
7/10/17
Date

Digitally signed by Emily Lacy
DN: postalCode=75080, ou=General, o=The
University of Texas at Dallas, street=800 West
Campbell Road, st=TX, |n=Richardson, c=US,
cn=Emily Lacy, email=el-162020@utdallas.edu
Date: 2017.07.10 10:29:28 -05'00'

Submit Electronic Copy to
TEAContracts@tea.texas.gov
Or by mail to:
Norma Barrera
Purchasing, Contracts and Agency Services
Texas Education Agency
1701 North Congress Avenue, Room 2-125
Austin, Texas 78701-1494

Appendix One

Description of Services

Incorporated herein is The Education Research Center (ERC) proposal titled School District Consolidation Advisory Report and Statement of Work submitted by the University of Texas at Dallas (Performing Agency) on May 18, 2017 (see Appendix Four).

1. PURPOSE AND OBJECTIVES OF THIS PROJECT:

1.1 PURPOSE OF THE RESEARCH STUDY

Pursuant to Texas Education Code (TEC), Section 12.1013(e), TEA directs the University of Texas at Dallas ERC to conduct a research study in accordance with all requirements stated herein. The ERC will be required to provide TEA with the deliverables as specified in Section 1.6.

1.2 BACKGROUND INFORMATION

Senate Bill (SB) 2 (83rd Texas Legislature, Regular Session) added Section 12.1013(a)-(e) to the TEC requiring the Commissioner of Education to select an ERC to produce a report that includes an analysis of school district consolidation. TEC Section 12.1013(e) includes the following requirements related to this project:

- (e) The report must also include an analysis of whether the performance of matched traditional campuses would likely improve if there were consolidation of school districts within the county in which the campuses are located. This subsection applies only to a county that includes at least seven school districts and a least 10 open-enrollment charter schools.

In response to this requirement, TEA published an initial report in 2014: <http://tea.texas.gov/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=25769815944&libID=25769815992>.

1.4 PROJECT DESCRIPTION

The project requires that the ERC perform rigorous analyses to determine if consolidation of school districts within Texas counties that include at least seven school districts and at least 10 open-enrollment charter schools would result in improved student performance at matched traditional campuses. Findings are to be supported by appropriate economic and impact analyses, disaggregated by county, and should be based on an analysis of actual expenditures in relation to achieved impact.

1.5 DATA

For this project, it is expected that the ERC will use data from the P-20/Workforce Data Repository. Should additional TEA data be needed, TEA will work with the ERC to provide the required data for this project. All additional TEA needed will be de-identified and added to the P-20/Workforce Data Repository by TEA as needed. At the end of the project and prior to the completion of the contract period, all additional data added to the P-20/Workforce Data Repository for the specific purpose of this project will be required to be destroyed by the ERC.

1.6 PROJECT DELIVERABLES

1.6.1 TEA requires the following deliverables:

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- 1.6.1.1** A final comprehensive report, responsive to all the objectives specified in TEC Section 12.1013(e) and described in Section 1.5, will be delivered to TEA no later than August 21, 2017 with an initial draft due no later than July 21, 2017. This report will be published and delivered to the 86th Texas Legislature.

TEA engages in extensive review of all documents that are to be published to ensure these documents meet TEA's expectations for quality and accuracy. The ERC should budget for and be prepared to be responsive to multiple rounds of feedback, while maintaining the integrity of all reported findings. A well-polished draft of the comprehensive report, which will be subject to review by and feedback from the TEA, will be due no later than July 21, 2017 to the due date of the final report. **It is expected that both the draft and final report be of a quality similar to that which would be submitted to a peer-reviewed scientific or educational journal. However, the report must be written for a general audience. Typically, the main body of the report must be tailored towards a general audience, while all details regarding analyses and other technical information must be located in the technical appendix(ces) for researchers.** As required by the general contract provisions UU provided in Section 2 of this document, payment for service(s) is contingent upon satisfactory completion of the deliverables which includes meeting the requirements outlined above regarding draft quality as well as alignment to the deliverable definitions described in Section 1.6.1.1 It is anticipated that the main body of the report should consist of 100 pages maximum, not including appendices. In general, all written reports must follow the American Psychological Association's (APA) formatting style. Published documents must also be compliant with all state and federal regulations for web accessibility (i.e., compliance with Section 508 of the Rehabilitation Act of 1973), and these regulations shall take precedence when differing from APA format (see <http://www.dir.texas.gov/management/accessibility/pages/overview.aspx>).

For each required report, the draft should come first as a SINGLE Microsoft Word document that can be edited using track changes. The final, publishable version of the report must come with both a Word version and PDF files of the full report and the executive summary as a standalone file. All PDFs must be web accessible.

1.6.2 Other required project deliverables include the following:

- 1.6.2.1 Monthly progress reports and invoices detailing the tasks accomplished during the prior month, including percentage of subtask completion, and subtask work planned for the next month, due by the 15th day of each month;
- 1.6.2.2 Submission of project updates as requested by TEA including interim report draft excerpts and interim analyses;
- 1.6.2.3 All data collected for the project including datasets used for analysis to be provided to TEA in a format designated by the agency;
- 1.6.2.4 Updated, detailed analysis plans, if requested, linking analysis to objectives, including all strategies for coding data and creating variables from the data, all planned statistical analysis methodology, and all variables to be used in the analysis. All to be submitted prior to analyzing data for any report specified in Section 1.6;
- 1.6.2.5 Ongoing copies of analysis code and output as requested by TEA;
- 1.6.2.6 Fifteen (15) bound, double-sided copies of published reports;
- 1.6.2.7 An electronic copy, both Word version and PDF, of the published report compliant with all state and federal regulations for web page accessibility (to be posted on TEA website); and

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- 1.6.2.8 On-site presentation of findings, if requested, prior to the submission of the published report. The presentation should be held no later than two weeks prior to the due date for the report to allow sufficient time afterwards for integration of TEA feedback into the report.

1.7 COLLABORATION

1.7.1 TEA expects the ERC to collaborate and work closely with the appropriate TEA staff for these projects. This includes the following expectations:

- 1.7.1.1 Ongoing and responsive communication regarding the projects;
- 1.7.1.2 Participation in project meetings as scheduled by the TEA project manager;
- 1.7.1.3 Continuous, uninterrupted workflow of the project and project management, including during summer months;
- 1.7.1.4 Adherence to contractual project task activity timelines and deliverable due dates, including drafts;
- 1.7.1.5 Timely notification of project-related issues especially that affect reporting timelines;
- 1.7.1.6 Notification of any staffing changes or additions, especially to key staff members, to be approved by TEA prior to acceptance of change;
- 1.7.1.7 High quality drafts of all submitted reports, evaluation plans, analysis plans, and instruments;
- 1.7.1.8 Timely responsiveness to all requests for feedback on evaluation plans, analysis plans, instruments, and reports;
- 1.7.1.9 Adherence to agency policy regarding invoicing;
- 1.7.1.10 Restriction of the request of and use of additional TEA/supplemental data to the contracted project only; and
- 1.7.1.11 Adherence to agency policy regarding the use of confidential data and data collection procedures.

1.7.2 The TEA contract project manager and program staff will assume the following roles and responsibilities:

- 1.7.2.1 Work with the ERC staff and any subcontractors as needed to provide information on changes in legislation, reporting needs, and any other activities that may affect the projects;
- 1.7.2.2 Work with the ERC to address unforeseen developments, identify problems, and propose solutions;
- 1.7.2.3 Coordinate collection of required supplemental data;
- 1.7.2.4 Provide other needed data and documentation deemed necessary such as program specific information;
- 1.7.2.5 Review and approve the ERC's evaluation and analysis plans, including any qualitative and quantitative methodologies and data analyses;
- 1.7.2.6 Monitor the ongoing work of the ERC as outlined in ERC's submitted monthly progress reports to ensure compliance with contract terms;
- 1.7.2.7 Approve monthly invoices;
- 1.7.2.8 Provide multiple rounds of feedback on all submitted reports;

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- 1.7.2.9 Coordinate on-site presentations of findings prior to the submission of major deliverables; and
- 1.7.2.10 Monitor proper transmission and destruction of data as appropriate.

**Appendix Two
Task by Budget**

<i>Cost Proposal/Budget by Project Task</i>	PAYROLL COSTS	CONTRACTOR TRAVEL EXPENSE	CONTRACTED SERVICES	MAINTENANCE AND OPERATIONS	INDIRECT COSTS	TOTAL
AMOUNT BUDGETED YEAR 1						
<i>(overall total)</i>	961.00	1,000.00	34,400.00	676.00	2,962.96	39,999.96
<i>(to be allocated)</i>	0.00	0.00	0.00	0.00	0.00	0.00
TASK 1: Project Management	961.00				76.88	1,037.88
TASK 2: Data Collection, including construction of campus-level measures of student performance and estimation of a hedonic wage index		1,000.00	5,500.00		520.00	7,020.00
TASK 3: Data Analysis, including Estimating Cost Functions and Conducting Simulations			15,000.00		1,200.00	16,200.00
TASK 4: Draft preparation, including review of recent literature and documentation regarding recent consolidations			10,000.00		800.00	10,800.00
TASK 6: Responding to Comments and Reporting			3,900.00	676.00	366.08	4,942.08
TOTALS	961.00	1,000.00	34,400.00	676.00	2,962.96	39,999.96

Appendix Three Task Activity Plan

Task/Subtask Activity Timeline	Completion date	Team Member Responsible
Task 1: An updated analysis to determine if consolidation of school districts within Texas counties that include at least seven school districts and at least 10 open-enrollment schools would result in improved student performance at matched traditional campuses. Findings will be supported by appropriate economic and impact analyses, disaggregated by county, and based on an analysis of actual expenditures in relation to achieved impact.	August 21, 2017	Lori Taylor
Subtask 1.1: Construct campus-level measures of student performance from student-level data	May 22, 2017	Lori Taylor
Subtask 1.2: Estimate hedonic wage model and use it to construct a campus-level salary index	May 22, 2017	Lori Taylor
Subtask 1.3: Estimate stochastic frontier cost function	May 31, 2017	Lori Taylor
Subtask 1.3.A: Assemble campus-level data on actual expenditures, completion rates and student demographics	May 22, 2017	Lori Taylor
Subtask 1.3.B: Merge publicly available data with campus-level aggregates from subtasks 1 & 2	May 23, 2017	Lori Taylor
Subtask 1.3.C: Identify appropriate specification and estimate cost function	May 31, 2017	Lori Taylor
Subtask 1.4: Simulate school district consolidation	May 31, 2017	Lori Taylor
Subtask 1.4.C: Disaggregate results by county	May 31, 2017	Lori Taylor
Subtask 1.5: Provide TEA with electronic and paper versions of final report	August 21, 2017	Lori Taylor

A. Definitions as used in these Contract Terms and Conditions:

1. *Contract, Interagency Contract, IAC* means the document entered into between TEA and Performing Agency including all of TEA's attachments, appendices, schedules, exhibits (including but not limited to the Terms and Conditions and Attachments), amendments and extensions of or to the Interagency Contract;
2. *TEA, Receiving Agency* means the Texas Education Agency;
3. *Performing Agency* means the party to this Contract or Contractor other than TEA;
4. *Project Manager/Administrator* means the respective person(s) representing TEA or Performing Agency as indicated by the Contract for the purposes of administering the Contract Project;
5. *Contract Project* means the purpose intended to be achieved through the Contract;
6. *Amendment* means a contract document used to formalize additions or changes to the Contract mutually agreed to by both Parties;
7. *Works* means all tangible or intangible material, products, ideas, documents or works of authorship prepared or created by Performing Agency for or on behalf of TEA at any time after the beginning date of the Contract. "Works" includes but is not limited to computer software, data, information, images, illustrations, designs, graphics, drawings, educational materials, assessment forms, testing materials, logos, trademarks, patentable materials, etc. "Works" does not include any pre-existing materials of Performing Agency, or any licensed third party materials provided by Performing Agency;
8. *Intellectual Property Rights* means the worldwide intangible legal rights or interests evidenced by or embodied in: (a) any idea, design, concept, method, process, technique, apparatus, invention, discovery, or improvement, including any patents, trade secrets, and know-how; (b) any work of authorship, including any copyrights, moral rights or neighboring rights; (c) any trademark, service mark, trade dress, trade name, or other indicia of source or origin; (d) domain name registrations; and (e) any other similar rights. The Intellectual Property Rights of a party include all worldwide intangible legal rights or interests that the party may have acquired by assignment or license with the right to grant sublicenses;
9. *TEA Confidential Information* means information that is confidential under the provisions of the Family Educational Rights and Privacy Act (FERPA), the Texas Public Information Act, or other applicable state or federal laws. Examples of TEA Confidential Information include: (a) personally identifiable student information; (b) social security numbers; (c) driver's license numbers; (d) criminal background checks; (e) e-mail address of a member of the public, unless the individual waives his or her right to e-mail confidentiality by affirmatively consenting to disclose the e-mail address or the individual seeks to contract or has a contract with TEA; (e) certain personnel information concerning a TEA employee including home address, home telephone number, emergency contact information, and family member information (if the employee elects in writing to keep this information confidential), personal medical information, and information reflecting personal financial decisions such as the employee's choice of insurance carrier or choice to contribute money to a 401(k); (f) biometric identifiers such as fingerprints; (g) information about security vulnerabilities in TEA systems; and (h) SAS data sets.

B. Funding Out Clause: This Contract is contingent upon the availability of funding. If funds become unavailable through lack of appropriations, legislative or executive budget cuts, amendment of the Appropriations Act, state agency consolidations, or any other disruptions of current appropriations, this Contract is void upon the insufficiency (in TEA's discretion) or unavailability of appropriated funds. In addition, this Contract may be terminated by TEA at any time for any reason upon notice to Performing Agency. Expenditures and/or activities for which Performing Agency may claim reimbursement shall not be accrued or claimed subsequent to receipt of such notice from TEA.

C. Indemnification: For public institutions of higher education (IHEs), and state agencies: Performing Agency, to the extent permitted by law, shall hold TEA harmless from and shall indemnify TEA against any and all claims, demands, and causes of action of whatever kind or nature asserted by any third party and occurring or in any way incident to, arising from, or in connection with, any acts of Performing Agency in performance of the Contract.

D. Assignments, Transfers, Subcontracting and Substitutions: Performing Agency shall not assign, transfer, subcontract or substitute any of its rights or responsibilities under this Contract without prior formal written amendment to this Contract properly executed by both TEA and Performing Agency. Substitutions are not permitted without written approval of the TEA Project Manager. Performing Agency shall also create and maintain a monitoring report to document that it is diligently monitoring and enforcing subcontractor compliance with the Contract. When requested by TEA, Performing Agency shall furnish TEA with satisfactory proof of its compliance with this provision.

E. Encumbrances/Obligations: All encumbrances, accounts payable, and expenditures shall occur on or between the beginning and ending dates of this Contract. All goods must have been received and all services rendered during the Contract period in order for Performing Agency to recover funds due. In no manner shall encumbrances be considered or reflected as accounts payable or as expenditures.

- F. Records Retention and the Right to Audit:** Performing Agency shall maintain its records and accounts in a manner which shall assure a full accounting for all funds received and expended by Performing Agency in connection with the Contract Project. These records and accounts shall be retained by Performing Agency and made available for programmatic or financial audit by TEA and by others authorized by law or regulation to make such an audit for a period of not less than seven (7) years from the date of completion of the Contract Project or the date of the receipt by TEA of Performing Agency's final claim for payment or final expenditure report in connection with this Contract, whichever is later. If an audit has been announced, the records shall be retained until such audit has been completed.

Pursuant to [Section 2262.154](#) of the Texas Government Code, the state auditor may conduct an audit or investigation of Performing Agency or any other entity or person receiving funds from the state directly under this Contract or indirectly through a subcontract under this Contract. The acceptance of funds by Performing Agency or any other entity or person directly under this Contract or indirectly through a subcontract under this Contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, Performing Agency or other entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. Performing Agency will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through Performing Agency and the requirement to cooperate is included in any subcontract it awards.

Performing Agency further agrees that acceptance of funds under this Contract acts as acceptance for TEA to conduct an audit or investigation in connection with those funds. Performing Agency, subcontractors, and any entities receiving funds through this Contract shall cooperate fully with TEA in the conduct of the audit or investigation, including providing all records pertaining to this Contract that are requested.

- G. Intellectual Property Ownership:** Performing Agency agrees that all Works are, upon creation, works made for hire and the sole property of TEA. If the Works are, under applicable law, not considered works made for hire, Performing Agency hereby assigns to TEA all worldwide ownership of all rights, including the Intellectual Property Rights, in the Works, without the necessity of any further consideration, and TEA can obtain and hold in its own name all such rights to the Works. Performing Agency agrees to maintain written agreements with all officers, directors, employees, agents, representatives and subcontractors engaged by Performing Agency for the Contract Project, granting Performing Agency rights sufficient to support the performance and grant of rights to TEA by Performing Agency. Copies of such agreements shall be provided to TEA promptly upon request.

Performing Agency warrants that (i) it has the authority to grant the rights herein granted, (ii) it has not assigned or transferred any right, title, or interest to the Works or Intellectual Property Rights that would conflict with its obligations under the Contract, and Performing Agency will not enter into any such agreements, and (iii) the Works will be original and will not infringe any intellectual property rights of any other person or entity. These warranties will survive the termination of the Contract. If any preexisting rights are embodied in the Works, Performing Agency grants to TEA the irrevocable, perpetual, non-exclusive, worldwide, royalty-free right and license to (i) use, execute, reproduce, display, perform, distribute copies of, and prepare derivative works based upon such preexisting rights and any derivative works thereof and (ii) authorize others to do any or all of the foregoing. Performing Agency agrees to notify TEA on delivery of the Works if they include any such preexisting rights. On request, Performing Agency will provide TEA with documentation indicating a third party's written approval for Performing Agency to use any preexisting rights that may be embodied or reflected in the Works.

Performing Agency agrees, at Performing Agency's expense, to indemnify, hold harmless and defend TEA and the State from claims involving infringement of third parties' licenses, trademarks, copyrights or patents.

For Colleges and Universities: The foregoing Intellectual Property Ownership provisions apply to any colleges and universities and their employees, agents, representatives, consultants, and subcontractors; provided, that for all Works created or conceived by colleges or universities under the Contract, they are granted a non-exclusive, non-transferable, royalty-free license to use the Works for their own academic and educational purposes only. Colleges and universities are prohibited, however, from advertising, offering for sale, selling, distributing, publicly displaying, publicly performing, or reproducing the Works, or making derivative works from the Works that are created or conceived under this Contract, without the express written permission of TEA's Office of Deputy Commissioner for Finance.

- H. Information Security Requirements, FERPA and Confidential Information:**

Access to TEA Confidential TEA Information

Performing Agency represents and warrants that it will take all necessary and appropriate action within its abilities to safeguard TEA Confidential Information and to protect it from unauthorized disclosure. Whenever

communications with Performing Agency necessitate the release of TEA Confidential Information, additional TEA Confidential forms will need to be signed by each individual who will require access to or may be exposed to that information. If Performing Agency discloses any TEA Confidential Information to a subcontractor or agent, Performing Agency will require the subcontractor or agent to comply with the same restrictions and obligations as are imposed on Performing Agency. Whenever communications with Performing Agency necessitate the release of TEA Confidential Information, additional TEA Confidential forms will need to be signed by each individual who will require access to or may be exposed to that information. Performing Agency shall access TEA's systems or TEA Confidential Information only for the purposes for which it is authorized. TEA reserves the right to review the Performing Agency's security policy to ensure that any data that is on the Performing Agency's servers is secure. Performing Agency shall cooperate fully by making resources, personnel, and systems access available to TEA and TEA's authorized representative(s).

Performing Agency shall ensure that any TEA Confidential Information in the custody of Performing Agency is properly sanitized or destroyed when the information is no longer required to be retained by TEA or Performing Agency in accordance with this Contract. Electronic media used for storing any TEA Confidential Information must be sanitized by clearing, purging or destroying in accordance with such standards established by the National Institute of Standards and Technology and the Center for Internet Security. These standards are also required if the Performing Agency is collecting, maintaining, or analyzing data gathered, collected, or provided under this Contract. Performing Agency must maintain a record documenting the removal and completion of all sanitization procedures with the following information:

1. Date and time of sanitization/destruction;
2. Description of the item(s) and serial number(s) if applicable;
3. Inventory number(s); and
4. Procedures and tools used for sanitization/destruction.

No later than 60 days from contract expiration or termination or as otherwise specified in this Contract, Performing Agency must complete the sanitization and destruction of the data and provide to TEA all sanitization documentation.

Access to Internal TEA Network and Systems

As a condition of gaining remote access to any internal TEA network and systems, Performing Agency must comply with TEA's policies and procedures. TEA's remote access request procedures will require Performing Agency to submit TEA Applicable Access Request forms for TEA's review and approval. Remote access technologies provided by Performing Agency must be approved by TEA's Information Security Officer. TEA, in its sole discretion, may deny network or system access to any individual that does not complete the required forms. Performing Agency must secure its own connected systems in a manner consistent with TEA's requirements. TEA reserves the right to audit the security measures in effect on Performing Agency's connected systems without prior warning. TEA also reserves the right to immediately terminate network and system connections not meeting such requirements.

Disclosure of Security Breach

Performing Agency shall provide notice to TEA's Project Manager and TEA's Information Security Officer as soon as possible following Performing Agency's discovery or reasonable belief that there has been unauthorized use, exposure, access, disclosure, compromise, modification, or loss of sensitive or TEA Confidential Information ("Security Incident"). Within 24 hours of the discovery or reasonable belief of a Security Incident, Performing Agency shall provide a written report to TEA's Information Security Officer detailing the circumstances of the incident which includes at a minimum:

1. Description of the nature of the Security Incident;
2. The type of TEA information involved;
3. Who may have obtained the information;
4. What steps Performing Agency has taken or will take to investigate the Security Incident;
5. What steps Performing Agency has taken or will take to mitigate any negative effect of the Security Incident; and
6. A point of contact for additional information.

Each day thereafter until the investigation is complete, Performing Agency shall provide TEA's Information Security Officer with a written report regarding the status of the investigation and the following additional information as it becomes available:

1. Who is known or suspected to have gained unauthorized access to TEA information;
2. Whether there is any knowledge if TEA information has been abused or compromised;
3. What additional steps Performing Agency has taken or will take to investigate the Security Incident;
4. What steps Performing Agency has taken or will take to mitigate any negative effect of the Security Incident; and
5. What corrective action Performing Agency has taken or will take to prevent future similar unauthorized

use or disclosure.

Performing Agency shall confer with TEA's Chief Information Security Officer regarding the proper course of the investigation and risk mitigation. TEA reserves the right to conduct an independent investigation of any Security Incident, and should TEA choose to do so, Performing Agency shall cooperate fully by making resources, personnel, and systems access available to TEA and TEA's authorized representative(s). Subject to review and approval of TEA's Information Security Officer, Performing Agency, at its own cost, shall provide notice that satisfies the requirements to individuals whose personal, confidential, or privileged data were compromised or likely compromised as a result of the Security Incident. If TEA, in its sole discretion, elects to send its own separate notice, then all costs associated with preparing and providing notice shall be reimbursed to TEA by Performing Agency. If Performing Agency does not reimburse such costs within 30 days of TEA's written request, then TEA shall have the right to collect such costs.

- I. **Refunds Due to TEA:** If TEA determines that TEA is due a refund of money paid to Performing Agency pursuant to this Contract, Performing Agency shall pay the money due to TEA within 30 days of Performing Agency's receipt of written notice that such money is due to TEA. If Performing Agency fails to make timely payment, TEA may obtain such money from Performing Agency by any means permitted by law.
- J. **Capital Outlay:** If Performing Agency purchases capital outlay (furniture and/or equipment) to accomplish the Contract Project, title will remain with Performing Agency for the period of the Contract. TEA reserves the right to transfer capital outlay items for Contract noncompliance during the Contract period or as needed after the ending date of the Contract. This provision applies to any and all furniture and/or equipment regardless of unit price and how the item is classified in Performing Agency's accounting record. This provision is applicable when federal funds are utilized for the Contract.
- K. **Governing Law, Venue, and Jurisdiction:** This Contract is governed by and construed under and in accordance with the laws of the State of Texas. Any and all obligations under this Contract are due in Travis County and venue is proper only in Travis County.
- L. **Point of Contact and Escalation:** All notices, reports and correspondence required by this Contract shall be in writing and delivered to the TEA Project Manager listed in 6.2 of this IAC. Within 30 days of execution of this Contract, the respective Parties will designate the next level of personnel within each organization to address conflicts or ambiguity that cannot be resolved at the Project Manager level.

TEA	CONTRACTOR
Jennifer Broussard	
Director, Research & Analysis	
Texas Education Agency	The University of Texas at Dallas
1701 N. Congress Ave	800 W. Campbell Road, AD15
Austin, Texas 78701	Richardson, TX 75080
Email: Jennifer.Broussard@tea.texas.gov	Email:

- M. **Federal Rules, Laws, and Regulations That Apply to all Federal Programs:** Performing Agency shall be subject to and shall abide by all federal laws, rules, and regulations, pertaining to the Contract Project, including, but not limited to:
 1. Family Educational Rights and Privacy Act of 1975, as amended, and the implementing regulations contained in 34 CFR, Part 99, if Contractor is an educational institution;
 2. General Education Provisions Act, as amended.

The **Code of Federal Regulations (CFR)** annual edition is the codification of the general and permanent rules published in the Federal Register by the departments and agencies of the Federal Government produced by the Office of the Federal Register (OFR) and the Government Publishing Office. Website: http://www.ecfr.gov/cgi-bin/text-idx?SID=6214841a79953f26c5c230d72d6b70a1&tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

- N. **Signature Authority, Final Expression, and Superseding Document:** Performing Agency certifies that the person signing this Contract has been properly delegated this authority. The Contract represents the final and complete expression of the terms of agreement between the parties. The Contract supersedes any previous understandings or negotiations between the parties. Any representations, oral statements, promises or warranties that differ from the Contract shall have no force or effect. The Contract may be modified, amended or extended only by formal written amendment properly executed by both TEA and Performing Agency.
- O. **Dispute Resolution:** The parties agree to use good-faith efforts to resolve questions, issues, or disputes of any nature that may arise under or by this Contract; provided, however nothing in this paragraph shall preclude either party from pursuing any remedies as may be available under Texas law.

- P. Compliance with Laws:** Performing Agency shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any court or administrative bodies or tribunals in any matter affecting Performing Agency's performance, including if applicable, prompt payment and licensing laws and regulations. For the entire duration of the Contract, Performing Agency shall maintain all required licenses, certifications, and any other documentation necessary to perform this Contract. When required or requested by the Agency, Performing Agency shall furnish TEA with satisfactory proof of its compliance with this provision.
- Q. Public Information:** The Parties acknowledge they are subject to the provisions of the [Texas Public Information Act](#).
- R. Gratuities:** By signing this Contract, Performing Agency represents and warrants that Performing Agency has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the project.
- S. Severability:** In the event that any provision of this Contract is later determined to be invalid, void, or unenforceable, the invalid provision will be deemed severable and stricken from the Contract as if it had never been incorporated herein. The remaining terms, provisions, covenants, and conditions of this Contract shall remain in full force and effect, and shall in no way be affected, impaired, or invalidated.
- T. Criminal Background Checks:** If during the term of this Contract, Performing Agency, and/or Performing Agency's staff, or subcontractor have access to Texas public school campuses, all Performing Agency and/or Performing Agency's staff must submit to a national criminal history record information review (includes fingerprinting) and meet all eligibility standards and criteria as set by TEA before serving in assignments on behalf of TEA. This requirement applies to all individuals who currently serve or will serve in TEA assignments that have the possibility of direct contact with students. Assignments are contingent upon meeting TEA eligibility standards. Performing Agency and/or any staff member of Performing Agency who may perform services under this Contract must complete this criminal history review before the beginning of an assignment. If said individuals have not completed this requirement or the review results in a determination that Performing Agency is not eligible for assignment, this Contract will be terminated effective immediately or the date of notice of non-eligibility, whichever is earliest.
- U. Assignment:** No assignment of this Contract or of any right accruing hereunder shall be made, in whole or part, by Performing Agency without prior consent of TEA.
- V. Electronic and Information Resources Accessibility Standards and Reporting:** State agencies shall procure products which comply with the State of Texas Accessibility requirements for Electronic Information Resources specified in [1 TAC Chapter 213](#) when such products are available in the commercial marketplace or when such products are developed in response to a procurement solicitation.

[Section 508 of the US Rehabilitation Act of 1973](#) has been revised and adopted. Therefore, all current and potential Contractors are hereby notified of the requirement. The current technical requirements for accessibility contained within this regulation form the basis for our Texas TAC rules on EIR Accessibility.

This refresh of 508 uses the [WCAG 2.0 AA Accessibility Guidelines](#) (also ISO/IEC standard 40500) as the new technical standard that Federal agencies are now required to meet when procuring products and services. With the adoption of 508 requirements being adopted, DIR will be modifying the TAC rules to synchronize with it.

Given this coming change, all Texas agencies and institutions of higher education have begun using or specifying WCAG 2.0 AA guidelines for the design of new websites or web applications. The rationale is twofold:

1. It could be technically difficult and expensive to bring these websites/applications to WCAG 2.0 AA later.
2. WCAG 2.0 AA is a superior, more flexible standard and is in use all over the world. If a website is compliant with WCAG 2.0 AA, it will, by default comply with our current TAC rules on EIR Accessibility.

Web development Contractors should already be familiar with designing to this standard, and their ability to meet these standards should be a strong consideration in the selection process.

The free online resources listed below are available to assist developers and content producers in transitioning to these guidelines.

[WCAG 2.0 at a glance](#)

[IBM Developer Guidelines Web Checklist](#)

[Webaim.org Accessibility Checklist](#)

Contractor must employ real users with disabilities for manual testing. Contract is required to provide a report that will include the results of auto-testing, screen-by-screen assessments, pass/fail status for each of the

identified compliance standards to be met and recommendations for how to repair the screens/pages that do not meet the standards. Remediation recommendations shall be provided to the code level. The report should include documentation of the experience of real users with disabilities and may recommend techniques for improving the usable accessibility of the application. Awarded Vendor shall validate, by title, if all accessibility requirements have been met.

All websites must follow Federal 508 accessibility requirements and Web Content Accessibility Guidelines (WCAG) 2.0 AA standards and be tested for accessibility before acceptance by TEA. For sites developed outside of TEA, the contractor must contract with a third party with expertise and a proven track record in accessibility testing. The third party must evaluate the site and produce a report that verifies the site is compliant to (WCAG) 2.0 AA.

- W. Excluded Parties List System:** The Texas Education Agency and the Performing Agency must adhere to the directions provided in the President's [Executive Order \(EO\) 13224](#), Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism. That Executive Order prohibits any transaction or dealing by United States persons, including but not limited to the making or receiving of any contribution of funds, goods, or services to or for the benefit of those persons listed in the General Services Administration's Excluded Parties List System (EPLS) which may be viewed on the System for Award Management (SAM) site at <http://www.sam.gov>.
- X. Social Security Numbers (SSNs) Withheld:** TEA will not provide SSNs to any Performing Agency under this Contract unless specifically specified as part of the Contract Project requirements. TEA, Performing Agency and its subcontractors, will not require or request school districts to provide SSNs under this Contract. Performing Agency agrees that in executing tasks on behalf of TEA, they will not use any student-identifying information in any way that violates the provisions of FERPA, and will destroy or return all student-identifying information to TEA within 30 days of project completion. An authorized officer of the Performing Agency must certify that ALL records have either been properly destroyed or returned to TEA in order to close out the Contract.
- Y. Nondisclosure and Press Releases:** All information gathered, produced, derived, obtained, analyzed, controlled or Accessed by Performing Agency in connection with this Contract shall be and remain confidential and shall not be released or disclosed by Performing Agency without the prior written consent of the TEA, which consent must specifically identify the confidential information to be disclosed by Performing Agency and the nature of the disclosure for which consent is sought. Performing Agency, its employees and subcontractor's, agree that in executing tasks on behalf of the TEA. Performing Agency also agrees not to disclose any information to which it is privy under this Contract without the prior consent of the agency. Performing Agency will not make any press releases, public statements, or advertisement referring to the Contract Project or the engagement of Performing Agency in connection with the Contract Project, or release any information in relation to the Contract Project for publication, advertisement or any other purpose without the prior written approval of TEA.
- Z. Independent Contractor:** Performing Agency or Performing Agency's employees, representatives, agents and any subcontractors shall serve as an independent contractor in providing the services under any purchase order resulting from this Contract. Performing Agency or Performing Agency's employees, representatives, agents and any subcontractors shall not be employees of TEA. Should Performing Agency subcontract any of the services required in this Contract, Performing Agency expressly understands and acknowledges that in entering into such subcontract(s), TEA is in no manner liable to any subcontractor(s) of Performing Agency. In no event shall this provision relieve bidder of the responsibility for ensuring that the services rendered under all subcontracts are rendered in compliance with this Contract.
- AA. Termination:** This Contract shall terminate upon full performance of all requirements contained in this Contract, unless otherwise extended or renewed as provided in accordance with the Contract terms and conditions.
1. **Termination for Convenience:** TEA may terminate this Contract at any time, in whole or in part, without penalty, by providing 15 calendar days' advance written notice to the Performing Agency. In the event of such a termination, the Performing Agency shall, unless otherwise mutually agreed upon in writing, cease all work immediately upon the effective date of termination. TEA shall be liable for reimbursing only those expenses incurred by the Performing Agency that are permitted, properly performed under this Contract and were incurred prior to the effective termination date.
 2. **Termination for Cause/Default:** If the Performing Agency fails to provide the goods or services contracted for according to the provisions of the Contract, or fails to comply with any of the terms or conditions of the Contract, TEA may, upon written notice of default to the Performing Agency, immediately terminate all or any part of the Contract. Termination is not an exclusive remedy, but will be in addition to any other rights and remedies provided in equity, by law or under the Contract.

TEA may exercise any other right, remedy or privilege which may be available to it under applicable law. The exercise of any of the foregoing remedies will not constitute a termination of the Contract unless TEA notifies the Performing Agency in writing prior to the exercise of such remedy.

The Performing Agency shall remain liable for all covenants and indemnities under the Contract. The Performing Agency shall be liable for all costs and expenses, including court costs, incurred by TEA with respect to the enforcement of any of the remedies listed herein.

3. **Termination Due to Changes in Law:** If federal or state laws or regulations or other federal or state requirements are amended or judicially interpreted so that either party cannot reasonably fulfill this Contract and if the parties cannot agree to an amendment that would enable substantial continuation of the Contract, the parties shall be discharged from any further obligations under this Contract.
4. **Rights upon Termination or Expiration of Contract:** In the event that the Contract is terminated for any reason, or upon its expiration, TEA shall retain ownership of all associated work products and documentation obtained from the Performing Agency under the Contract.
5. **Survival of Terms:** Termination of the Contract for any reason shall not release the Performing Agency from any liability or obligation set forth in the Contract that is expressly stated to survive any such termination or by its nature would be intended to be applicable following any such termination.

BB. Amendments: All amendments to this Contract will be in a manner as prescribed by the Agency Contracting Process and are, subject to Paragraph B of the Terms and Conditions and will be made on the AMENDMENT TO TEA INTERAGENCY CONTRACT form. All Amendments will be initiated by the TEA Purchasing and Contracts staff. An amendment to this Contract will become effective on the date of signature of TEA or the effective date shown on the amendment document whichever is first. All amendments must be signed by both parties.

1. The parties are permitted to re-budget among direct cost categories within the approved budget to meet unanticipated requirements and to make limited changes to the approved budget without the issuance of a written amendment as long as the total budget amount does not change. However, a revised budget document must be preapproved by the TEA Project Manager before the making the changes. Once approved, the documents must be submitted to the TEA Purchasing and Contracts office for incorporation into the Contract file. Failure to submit the budget documents will result in invoices being rejected or payment delayed.
2. Written amendments are required for the following Contract changes:
 - a. Any revision which would result in the need for additional funding;
 - b. Any revision to the scope of work, deliverables, or objectives of the Contract
 - c. A request to extend the period of the Contract;
 - d. Cumulative transfers among direct cost categories which exceed or are expected to exceed 25 percent of the current total approved budget category;
 - e. Any reduction of funds or reduction in the scope of work;
 - f. Whenever a line item within a class/object code is added;
 - g. An increase in the quantity of capital outlay item(s) requested; and
 - h. An increase or decrease in the number of positions charged to Contract.

CC. Payment: Payment for goods or services purchased with state-appropriated funds will be issued by electronic Direct Deposit from the State Treasury. Direct Deposit is the preferred method of payment. Invoices must be submitted to TEAAccountsPayable@tea.texas.gov and the TEA Project Manager. Any payment owed by TEA must be transmitted electronically to Contractor no later than 30 days after the later of:

1. Day on which TEA received the goods;
2. Date the performance of the service under the Contract is completed; or
3. Day on which TEA received the complete and correct invoice for goods or services.

Prior to authorizing payment to Performing Agency, TEA shall evaluate Performing Agency's performance using the performance standards set forth in all documents constituting this Contract. Performing Agency shall provide invoices to TEA for deliverables or services provided/performed. Invoices must be submitted not later than the 15th day of the month after the deliverables are completed. No payment whatsoever shall be made under this Contract without the prior submission of detailed, correct invoices. Subject to the foregoing, TEA must make all payments in accordance with the Texas Prompt Payment Act, [Texas Government Code Chapter 2251](#). Payments under this Contract are subject to the availability of appropriated funds. Performing Agency acknowledges and agrees that payments for services provided under this Contract are contingent upon TEA's receipt of funds appropriated by the Texas Legislature.

DD. Prohibition of text messaging and emailing while driving during official federal grant business: Federal grant recipients and their grant personnel are prohibited from texting messaging while driving a government owned vehicle or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or email while driving. Recipients must comply with these conditions under [Executive Order 13513](#), "Federal Leadership on Reducing Text Messaging While Driving," effective October 1, 2009.

EE. Applicable Law and Conforming Amendments: Performing Agency must comply with all laws, regulations, requirements and guidelines applicable to a Performing Agency providing services to the State of Texas as these laws, regulations, requirements and guidelines currently exist and as they are amended throughout the term of this Contract. TEA reserves the right, in its sole discretion, to unilaterally amend this Contract throughout its term to incorporate any modifications necessary for TEA or Performing Agency's compliance with all applicable State and federal laws, and regulations.

Special Provisions – A

Program Specific Provisions

- A. Contractor shall submit the monthly invoice electronically to the following email addresses: TEAAccountsPayable@tea.texas.gov and ProgramEvaluation@tea.texas.gov. Detailed project status reports coinciding with the subtasks as written in the Contract must accompany the monthly invoice submitted to TEA. The TEA Project Manager will approve invoices based upon project progress, task/subtask completion, reasonable use of project funds, and/or satisfactory performance of services as outlined in the project status report. **See Payment and Invoicing for detailed invoice requirements.**
- B. At the end of the project and prior to the completion of the Contract period, Performing Agency must provide TEA any datasets used for the purpose of filling this Contract, including all aggregate datasets or any derived datasets from the original data. Upon approval by TEA, Performing Agency and all subcontractors will destroy all additional data added to the P-20/Workforce Data Repository by TEA for the purposes of completing this specific project. Performing Agency will provide written certification to TEA verifying this destruction. TEA's final payment to Performing Agency may be conditioned on TEA's receipt of this written certification and the required datasets.
- C. After the completion of the Contract project and TEA's receipt of Performing Agency's final published report and all Contract deliverables, Performing Agency may publish derivative works of the final report for the purpose of presentations and/or publications, so long as Performing Agency does not seek to market, sell, or produce any derivative works for a fee or other compensation, and subject to Performing Agency's compliance with the following conditions:

Each derivative work that Performing Agency wishes to publish must be submitted to TEA for its review at least thirty (30) days before the proposed publication date. If TEA objects to publication of the submitted derivative work or imposes any conditions on publication, it will notify Performing Agency in writing within the thirty-day review period. The written notice will state TEA's basis or bases for objecting to or placing conditions on the publication of the submitted derivative work. If TEA provides Performing Agency with written notice of its objection and/or its conditions within the review period, then Performing Agency will be prohibited from publishing the submitted derivative work except to the extent and under the conditions stated in the notice. The written notice will be final, and Performing Agency will have no right to appeal, contest, or dispute in any way TEA's basis or bases for not granting permission or for imposing conditions on publication. If TEA does not provide Performing Agency with written notice within the review period, then TEA will be deemed to have given Performing Agency permission to publish the submitted work, subject to any appropriate designations showing TEA as the source and/or the owner of the final report or other Contract materials or deliverables used in creating the derivative work. For any publication of a derivative work, TEA will retain ownership of the final report and any other Contract materials or deliverables used in creating the derivative work but will release any claim to ownership of the published derivative work. This provision remains in effect for six months after the termination or expiration of the Contract. This provision does not preclude the creation or publication of derivative works after this provision expires.

Performing Agency will require each of its subcontractors to agree, in writing, to follow and comply with this provision.

Special Provisions – A

Program Specific Provisions

Payment and Invoicing:

- A. The definitions of the terms in the General Provisions are incorporated herein.
- B. All amendments to this written contract will be in a manner as prescribed by the Project Manager of TEA subject to Paragraph B of the General Provisions and will be made in writing through an Amendment to Texas Education Agency Standard Contract form supplied by TEA. Such amendment shall be mailed to the Texas Education Agency, ATTN: Purchasing and Contracts Division, 1701 North Congress Avenue, Austin, Texas 78701.
- C. Contractors shall submit the monthly invoice electronically to TEAAccountsPayable@tea.texas.gov and ProgramEval@tea.texas.gov. Payment under this contract is contingent upon satisfactory completion of services. The final invoice is due within 45 days of the completion of the project. All invoices must include the following:
 - 1. Invoice date; and
 - 2. Invoice number; and
 - 3. Vendor name; and
 - 4. Vendor payee ID number; and
 - 5. Vendor mailing address; and
 - 6. Vendor email address; and
 - 7. Vendor telephone number; and
 - 8. Name and telephone number of the person designated to answer questions about the invoice; and
 - 9. Purchase order and contract numbers; and
 - 10. Name of the evaluation project; and
 - 11. Sufficient detail about all services rendered including service period and task/subtask/deliverable; and
 - 12. The item(s) and total amounts due.
- D. Detailed project status reports coinciding with the subtasks as written in the contract must accompany the monthly invoice submitted to the TEA Project Manager via ProgramEval@tea.texas.gov. The project manager will approve invoices based upon project progress, task/subtask completion, reasonable use of project funds, and/or satisfactory performance of services as outlined in the project status report.