



Federal Program Compliance Division

Title IV, Part A, Subpart 1: Student Support and Academic Enrichment

LEA Random Validation Training *Evaluation of Program Effectiveness*

October 2022

Program Requirements Selected



Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.

Program Requirements Selected



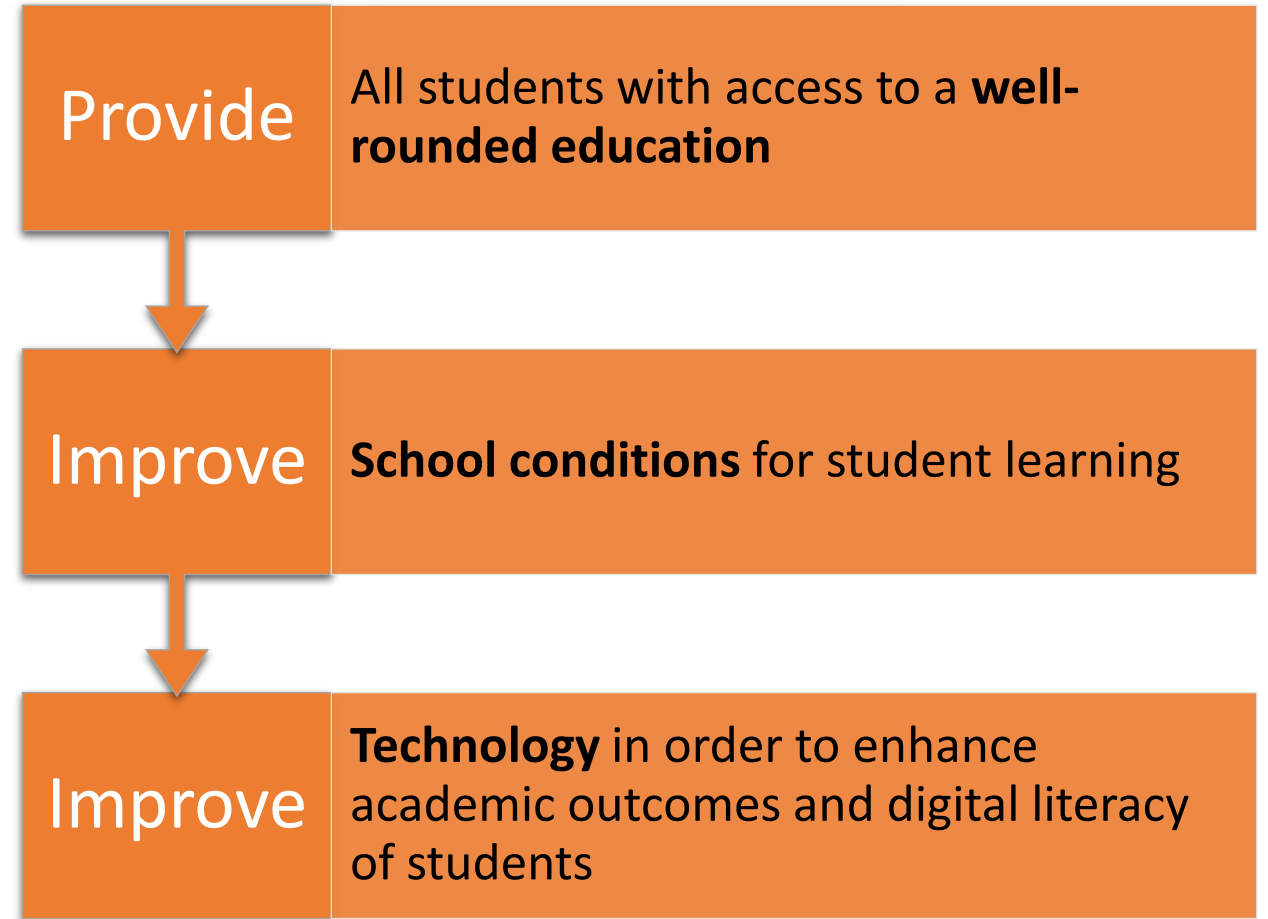
To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally.

Program Requirements Selected



The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

Title IV, Part A, Subpart 1, Student Support and Academic Enrichment grants are designed to improve the academic achievement of all students by increasing the capacity of LEAs, schools, and communities to:





**2022-2023 Random Validation Handbook
for Title IV, Part A
Evaluation of Program Effectiveness**

Federal Program Compliance Division

ESSAsupport@TEA.Texas.gov

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ESSA Statutory Requirement – *Evaluation of Program Effectiveness*

ESSA, Title IV, Part A, Section 4106(e)(1)(E)*

(e) Contents Of Local Application.—Each application submitted under this section by a local educational agency, or a consortium of such agencies, shall include the following:

(1) Descriptions.—A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of—

(E) the program objectives and intended outcomes for activities under this subpart, and how the local educational agency, or consortium of such agencies, will periodically evaluate the effectiveness of the activities carried out under this section based on such objectives and outcomes.

*Summary of statute section



ESSA Consolidated Application

Title IV, Part A Program-Specific Provisions and Assurances (#11e) – *Evaluation of Program Effectiveness*

Assurances Related to Title IV, Part A (#11e)

The LEA will periodically evaluate the effectiveness of the activities carried out to support the program objectives and intended outcomes.



LEA Compliance Report

Title IV, Part A Compliance Self-Check – *Evaluation of Program Effectiveness*

The LEA periodically evaluated the effectiveness of the Title IV, Part A programs and/or activities based on the objectives and outcomes.*

*ESSA, section 4106(e)(1)(E)

Type of Selected Documentation Required – *Evaluation of Program Effectiveness*

The most recent description of the process to evaluate effectiveness of Title IV, Part A funded activities aligned to program objectives(s) and intended outcome(s).

Documentation Required— *Evaluation of Program Effectiveness*

Relevant pages of the most current District Improvement Plan (DIP), Campus Improvement Plan (CIP), if campus based, or other official auditable documentation that provide a description which includes:

1. LEA's Title IV, Part A evaluation process;
2. How LEA will periodically evaluate effectiveness;
3. Title IV, Part A program objective(s); and
4. Title IV, Part A intended outcome(s) of funded program(s) and/or activities.

Meeting Documentation Requested

Standard Documentation

- ✓ Meeting Agendas
- ✓ Meeting Notes/Minutes
- ✓ Sign-In Sheets

Documentation for virtual meetings is acceptable.



Given the increased use of virtual meeting environments, participant rosters that include the meeting title, meeting date, and stakeholder names and roles would be acceptable substitutes for the more traditional sign-in sheets. This eliminates the need of a participant signature.

1.1 Documentation Required – Evaluation of Program Effectiveness

The most recent description of the process to evaluate the effectiveness of Title IV, Part A funded activities **aligned** to program objective(s) **and** intended outcome(s).



Issues that may result in an “Improvement Needed” status

- Auditable documentation requested was not submitted
- Documentation submitted does not describe the evaluation process
- Documentation submitted does not align to the requested **Evaluation of Program Effectiveness** documentation
- Documentation from a prior year was submitted instead of current year documentation



This presentation is intended solely to provide general information and guidance to Texas ESCs, LEAs, and participating Private Schools and reflects the Texas Education Agency's current understanding of statute and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of these programs. This presentation does not constitute legal advice, and entities are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.

Federal Program Compliance Division Contact Information



LaNetra Guess

Title IV, Part A, Program Director
PNP Ombudsman

LaNetra.Guess@tea.texas.gov

(512) 463-6939



Division Phone Number

(512) 463-9499



Division Email Address

ESSASupport@tea.texas.gov