



# Federal Program Compliance Division

## Title IV, Part A, Subpart 1: Student Support and Academic Enrichment

### LEA Random Validation Training

#### *Description of Title IV, Part A Programs and Activities*

October 2022

# Program Requirements Selected



Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.

# Program Requirements Selected



To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally.

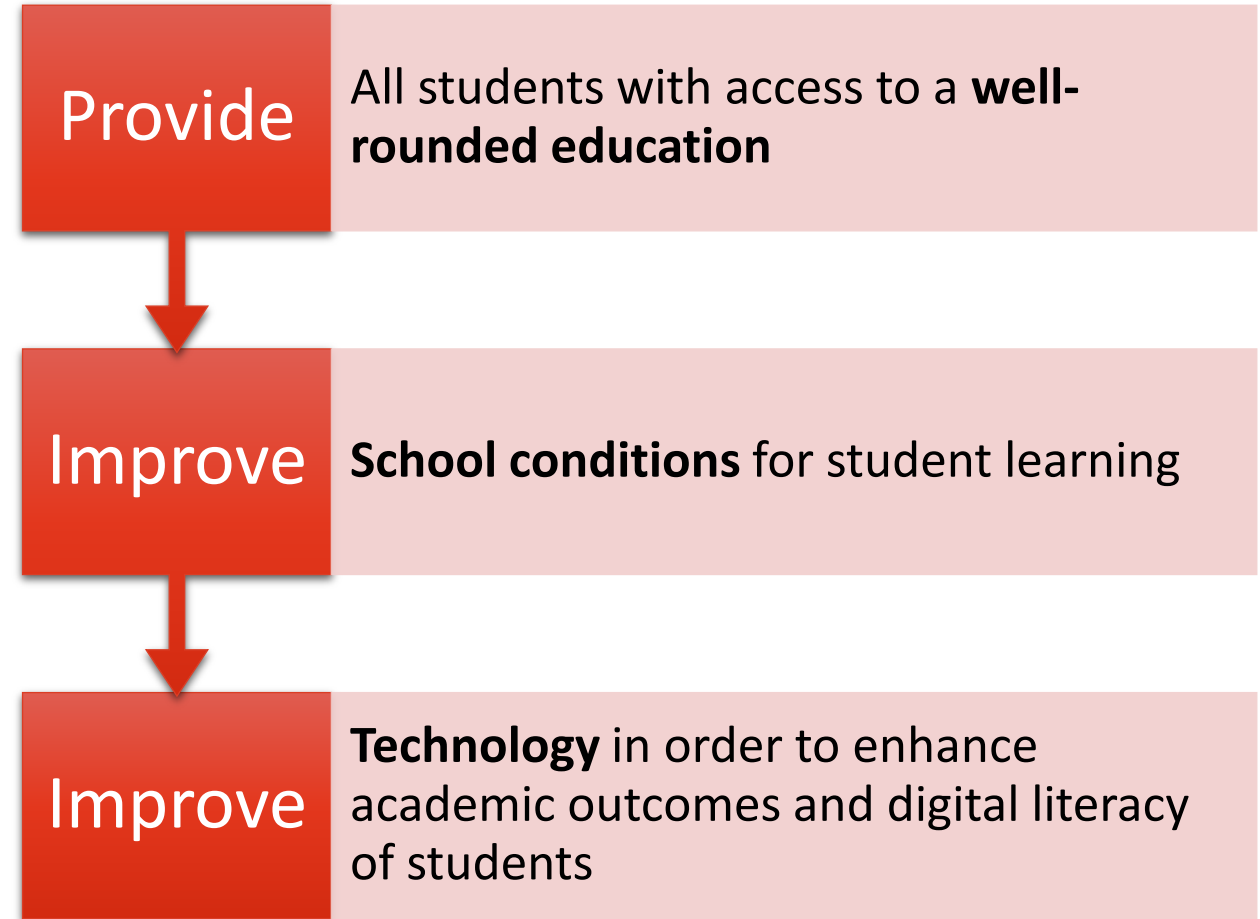
# Program Requirements Selected



The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

# Title IV, Part A, Subpart 1: Program Intent and Purpose

Title IV, Part A, Subpart 1, Student Support and Academic Enrichment grants are designed to improve the academic achievement of all students by increasing the capacity of LEAs, schools, and communities to:





# Title IV, Part A: Handbook – *Description of Title IV, Part A Programs and Activities*



## 2022-2023 Random Validation Handbook for Title IV, Part A Description of Programs and Activities

Federal Program Compliance Division  
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# ESSA Statutory Requirement – Description of Title IV, Part A Programs and Activities

## ESSA, Title IV, Part A, Section 4106(e)(1)(A-D)

*(e) Contents Of Local Application.—Each application submitted under this section by a local educational agency, or a consortium of such agencies, shall include the following:*

(1) Descriptions.—A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of—

(A) any partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing activities under this subpart;

(B) if applicable, how funds will be used for activities related to supporting well-rounded education under section 4107;

(C) if applicable, how funds will be used for activities related to supporting safe and healthy students under section 4108;

(D) if applicable, how funds will be used for activities related to supporting the effective use of technology in schools under section 4109;

## **Assurances Relating to Title IV, Part A (#11b - #11d)**

The LEA will provide a description of programs and activities funded to support the program objectives and intended outcomes related to a well-rounded education, safe and healthy students, and the effective use of technology.



# LEA Compliance Report: Title IV, Part A Compliance Self-Check - *Description*

The LEA has a description of programs and activities funded with Title IV, Part A to support the program objectives and intended outcomes related to a well-rounded education, safe and healthy students, and the effective use of technology.\*

\*ESSA, section 4106(e)(1)(A-D)

The most recent pages of official auditable documentation providing detailed descriptions on programs and activities funded to support the program objectives and intended outcomes related to 1 content area.



# Documentation Required— Description of Title IV, Part A Programs and Activities\*

Relevant pages of the most current LEA's District Improvement Plan (DIP) of activity(ies) or other official auditable documentation providing a description on how Title IV, Part A funds will be used for activities supporting one of content areas (well-rounded education, safe and healthy students, or the effective use of technology).

\*Submit specific and relevant pages which support only 1 content area.

# Meeting Documentation Requested

## Standard Documentation

- ✓ Meeting Agendas
- ✓ Meeting Notes/Minutes
- ✓ Sign-In Sheets

**Documentation for virtual meetings is acceptable.**



Given the increased use of virtual meeting environments, participant rosters that include the meeting title, meeting date, and stakeholder names and roles would be acceptable substitutes for the more traditional sign-in sheets. This eliminates the need of a participant signature.

## ***1.1 Documentation Required – Description of Programs and Activities***

***Relevant*** pages of the most current LEA’s District Improvement Plan (DIP) of activity(ies) ***or*** other official auditable documentation providing a ***detailed description*** on programs and activities funded to support the program objectives and intended outcomes related to 1 content area.



### ***Issues that may result in an “Improvement Needed” status***

- Auditable documentation requested was not submitted
- Documentation submitted does not provide a *detailed* description of the programs and activities supported in content area(s)
- Documentation submitted does not align to the requested ***Description of programs and activities*** requested item
- Documentation from a prior year was submitted instead of current year documentation



This presentation is intended solely to provide general information and guidance to Texas ESCs, LEAs, and participating Private Schools and reflects the Texas Education Agency's current understanding of statute and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of these programs. This presentation does not constitute legal advice, and entities are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.

# Federal Program Compliance Division Contact Information



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