



2022-2023 Random Validation Handbook for Title I, Part A Schoolwide Program Campus Comprehensive Needs Assessment

Federal Program Compliance Division

ESSAsupport@TEA.Texas.gov

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Introduction

This Handbook is intended to assist LEAs in completing the 2022-2023 Random Validation process for the Title I, Part A - Schoolwide Program Campus Comprehensive Needs Assessment requirement.

Although this document has been prepared for use by those LEAs that are selected to participate in the 2022-2023 Random Validation, LEAs that are not selected may still find the Handbooks useful as tools for conducting a self-check, to ascertain their own compliance status and to determine where improvements may be made.

The Handbooks are available on the Federal Program Compliance Division’s web page under “Division Resources.”

Title I, Part A Random Validation Selected Requirement

ESSA Statutory Requirement	Schoolwide Program Campus Comprehensive Needs Assessment
ESSA Statutory Citation and Language	Section 1114 (b)(6): An eligible school operating a schoolwide program shall develop a comprehensive plan (or amend a plan for such a program that was in existence on the day before the date of enactment of ESSA) that is based on a comprehensive needs assessment of the entire school that takes into account information on the academic achievement of children in relation to the challenging State academic standards, particularly the needs of those children who are failing, or are at-risk of failing, to meet the challenging State academic standards and any other factors as determined by the local education agency.
ESSA Program-Specific Provisions & Assurances	Assurances Relating to Schoolwide Programs (3.f.): An eligible school operating a schoolwide program shall develop a comprehensive plan (or amend a plan for such a program that was in existence on the day before the date of enactment of ESSA) that is based on a comprehensive needs assessment of the entire school that takes into account information on the academic achievement of children in relation to the challenging State academic standards, particularly the needs of those children who are failing, or are at-risk of failing, to meet the challenging State academic standards and any other factors as determined by the LEA.
Compliance Report Program Compliance Self-Check Item	C. Campus Schoolwide Program Plan (Compliance Item 1): The Title I, Part A, schoolwide program is based on a comprehensive needs assessment of the entire school that takes into account information on the academic achievement of children in relation to the challenging State academic standards, particularly the needs of those children who are failing, or are at risk of failing, to meet the challenging State academic standards and any other factors as determined by the local educational agency.

Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor. To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally. The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

Please include the following selected documentation referenced in the table below in order to demonstrate compliance with the program requirement described above. TEA will utilize the following table to determine if the LEA submitted sufficient documentation to support LEA compliance. LEAs that submit sufficient documentation to support LEA compliance will be assigned a “Met Requirement” status for the requirement. LEAs that submit insufficient documentation will be assigned an “Improvement Needed” status for the requirement. LEAs with an “Improvement Needed” status will be provided technical assistance by their regional education service center (ESC). These LEAs will be required to resubmit documentation for the 2023–2024 school year by September 29, 2023, to ensure the program requirements are implemented correctly.

Type of Selected Documentation Required	Description of Acceptable Documentation
<p>1.1 The relevant page(s) of the Comprehensive Needs Assessment or Campus Improvement Plan that include the description of the campus’s comprehensive needs assessment (CNA) process for the current school year</p>	<ul style="list-style-type: none"> • The description submitted should include: <ul style="list-style-type: none"> ○ the date(s) that the CNA was developed (if a new campus) or the date(s) that the CNA was reviewed and revised for the 2022-2023 school year, ○ list of stakeholders involved that includes the individuals by name and roles*, ○ areas examined, and ○ list of multiple data sources analyzed. <p>*Parents may not be LEA employees in order to fill the “parent” roles on the committee.</p>
<p>1.2 Meeting agenda for 1 meeting held documenting the campus’s CNA process</p>	<ul style="list-style-type: none"> • Documentation* must be aligned with the documented description of the campus’s CNA process. • The meeting agenda should provide evidence that the CNA process described in the CNA description referenced in Item 1.1 was followed. • It is highly unlikely that a CNA process would take place in one meeting considering that the process should be comprehensive in nature. Therefore, an LEA should maintain documentation locally from multiple meetings (at least 2) to establish compliance. <p>** Documentation for virtual meetings is acceptable.</p>

Type of Selected Documentation Required	Description of Acceptable Documentation
<p>1.3 Meeting notes or minutes for the meeting referenced in 1.2 that was held documenting the campus’s CNA process</p>	<ul style="list-style-type: none"> • Documentation* must be aligned with the documented description of the campus’s CNA process. • The meeting notes or minutes should provide evidence that the CNA process described in the CNA description referenced in Item 1.1 was followed. • It is highly unlikely that a CNA process would take place in one meeting considering that the process should be comprehensive in nature. Therefore, an LEA should maintain documentation locally from multiple meetings (at least 2) to establish compliance. <p>*Documentation for virtual meetings is acceptable.</p>
<p>1.4 Participant roster/sign-in sheet for the meeting referenced in 1.2 that was held documenting the campus’s CNA process</p>	<ul style="list-style-type: none"> • Documentation* must be aligned with the documented description of the campus’s CNA process. • The participant roster/sign-in sheet should provide evidence that the CNA process described in the CNA description referenced in Item 1.1 was followed. • Given the increased use of virtual meeting environments participant rosters that include the meeting title, meeting date, and stakeholder names and roles** would be acceptable substitutes for the more traditional sign-in sheets. This eliminates the need of a participant signature. • It is highly unlikely that a CNA process would take place in one meeting considering that the process should be comprehensive in nature. Therefore, an LEA should maintain documentation locally from multiple meetings (at least 2) to establish compliance. <p>*Documentation for virtual meetings is acceptable.</p> <p>**Parents may not be LEA employees in order to fill the “parent” roles on the committee.</p>

Instructions for Assembling Documentation

1. Assemble the requested material into **one PDF file**.
 - a. Ensure that the PDF file contains the required documentation as described in the 2022-2023 Title I, Part A Random Validation Handbook for the Schoolwide Program Campus Comprehensive Needs Assessment requirement.
 - b. When compiling the PDF file for submission, please ensure that—
 - the pages are not compressed or reduced in size;
 - any landscape pages are oriented with their right-hand edge at the top;
 - the documentation pages included are **numbered consecutively in the lower right corner**. These page numbers, which may be hand-written if that is most convenient, should be the ones referenced in the online WorkApp Details Form.

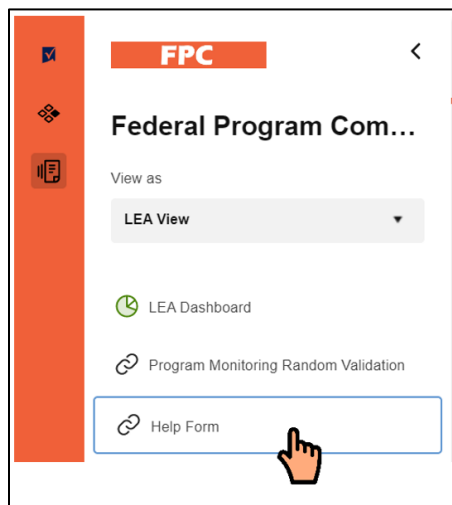
Instructions for Submitting Documentation

WorkApp System Access

Please note that the LEA staff submitting documentation will need access to the Department of Grant Compliance and Administration WorkApp System.

Currently, the superintendent, chief financial officer, and federal program director listed in AskTED have been given access to the system. To add or change the individuals with access to the system, **someone with existing access** must request access for the new individual via the Help Form in the Federal Program Compliance Program Monitoring Random Validations WorkApp as referenced below. The additional staff to be added to the system must agree to certain security requirements.

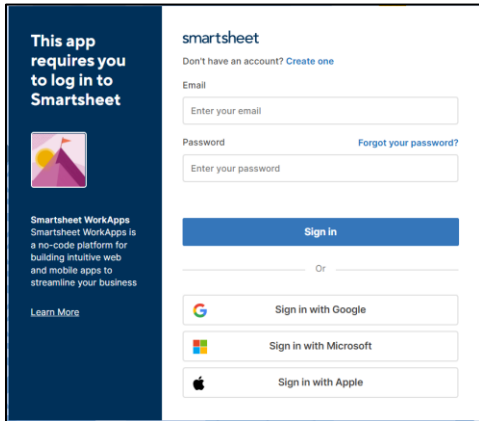
NOTE: Each LEA is only guaranteed a certain number of access accounts at this time.



Submitting Documentation

Please note that some of the screen captures referenced in the steps below will show information that is specific to a Title I, Part A program requirement. When you log in to the system, the information referenced will include the information specific to the program and requirement for which your LEA was selected to submit documentation.

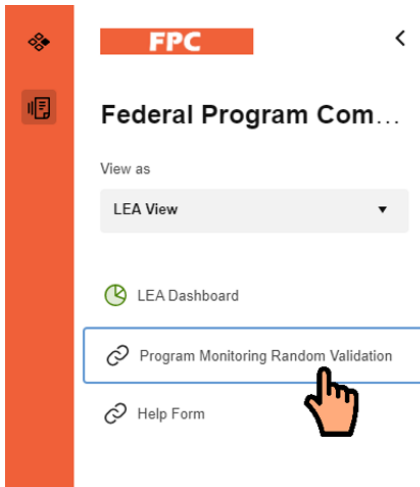
1. Login to the [Department of Grant Compliance and Administration WorkApp System](#).



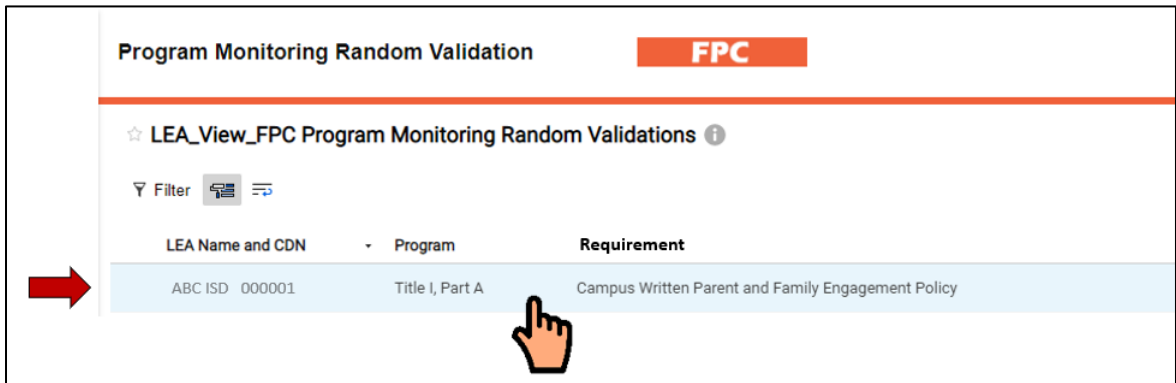
2. Click on the Federal Program Compliance Division WorkApp Tile.



3. Click on the Program Monitoring Random Validation Link.



4. Click on the Random Validation Line to access the Details Form – Data & Attachments Tabs.

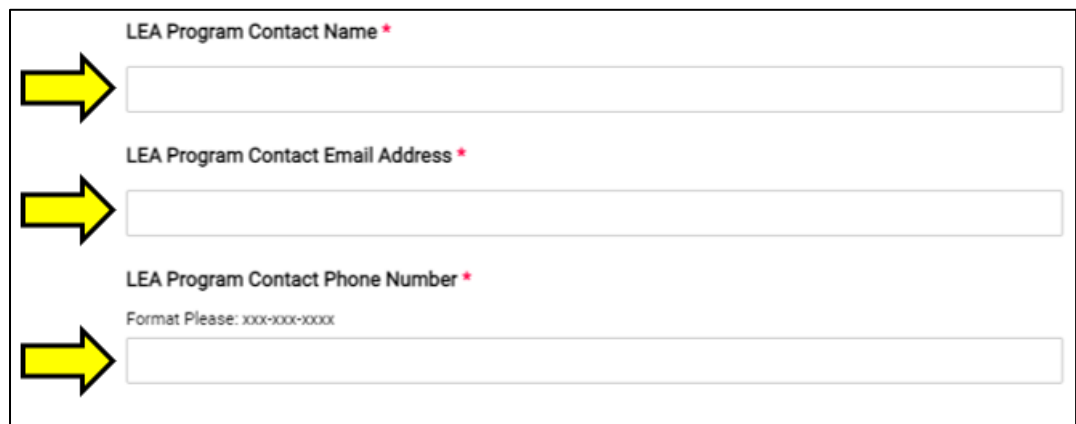


5. Complete the Details Form – Data Tab.
- Type the page number(s) where the requirement(s) is addressed in the LEA’s documentation to be submitted in the “Requested Documentation Page Number(s)” field(s). Be as specific as possible in order to facilitate the auditor’s review process. For example, if relevant items appear on pages 2, 4, and 5, please list pages 2, 4, and 5, rather than pages 1-5 in the “Requested Documentation Page Number(s)” field. **These fields are required.**

The screenshot shows a 'Details' form with the following sections:

- LEA Name and CDN:** ABC ISD 000001
- Program:** Title I, Part A
- Requirement:** Campus Written Parent and Family Engagement Policy
- Requested Documentation 1:**
 - Page Number(s) Required. (Empty field)
 - Description: The relevant page(s) of the Campus Written Parent and Family Engagement (PFE) Policy that references the description of the method(s) of policy distribution and the language(s) in which the policy was distributed to parents and family members of participating students.
 - Requested Documentation 1 Page Number(s): (Empty field, highlighted with a yellow arrow)
- Requested Documentation 2:**
 - Page Number(s) Required. (Empty field)
 - Description: Evidence of policy distribution to parents and family members of participating students.
 - Requested Documentation 2 Page Number(s): (Empty field, highlighted with a yellow arrow)

- b. Scroll down to access the rest of the form. Complete the remaining fields with the information for the LEA Program Contact. The LEA Program Contact should be the person that is most familiar with the documentation submitted. This is the person that TEA will contact if additional documentation is needed and/or if clarification is needed on documentation submitted. ***The following fields are required.**
- i. Type the name of the LEA Program Contact in the “LEA Program Contact” field.
 - ii. Type the email address of the LEA Program Contact in the “LEA Program Contact Email Address” field.
 - iii. Type the phone number of the LEA Program Contact in the “LEA Program Contact Phone #” field. Please use the following format: XXX-XXX-XXXX.



LEA Program Contact Name *

LEA Program Contact Email Address *

LEA Program Contact Phone Number *

Format Please: xxx-xxx-xxxx

- c. Add LEA Comments. This section is optional if the LEA would like to provide additional information that will be helpful for the reviewer.



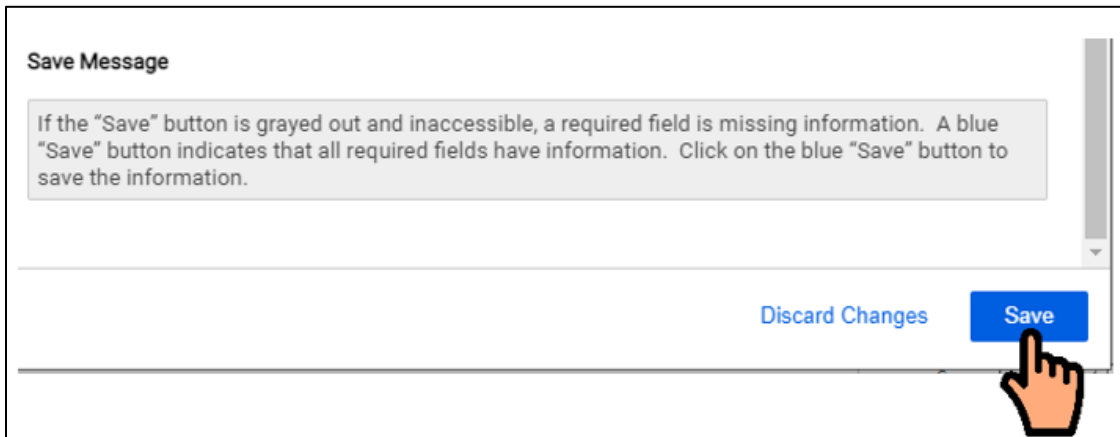
LEA Comment

- d. Select your LEA's Submission Status from the following options available: In Process (Saved data/will complete later) or Submission Complete (Data entered and documentation attached). ***Selecting a status is required. If the first option remains selected after the submission due date, your submission is not considered complete, and TEA will contact you.**



A screenshot of a web form field labeled "LEA Submission Status *". The field is a dropdown menu that is currently empty. A hand icon is pointing to the dropdown arrow on the right side of the field.

6. Click "Save" in the bottom right-hand corner of the Details Form – Data Tab. This will save the information that was typed in the details form under the data tab (documentation page numbers, LEA Contact information, and LEA Comments, if applicable). **This step is required.**

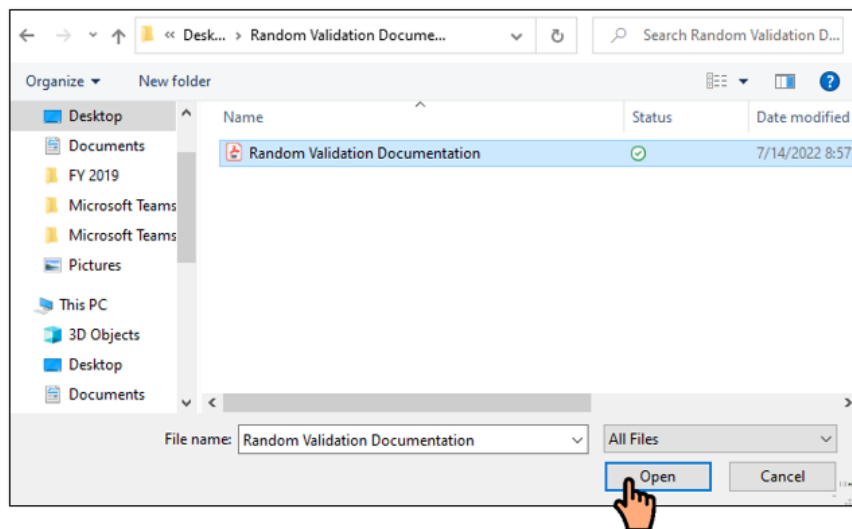


A screenshot of a "Save Message" dialog box. The dialog box contains a message: "If the 'Save' button is grayed out and inaccessible, a required field is missing information. A blue 'Save' button indicates that all required fields have information. Click on the blue 'Save' button to save the information." At the bottom right of the dialog box, there are two buttons: "Discard Changes" and "Save". A hand icon is pointing to the "Save" button.

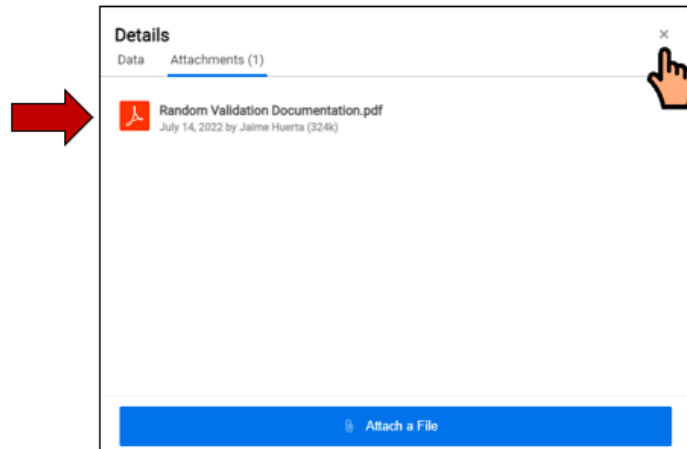
7. Attach the requested documentation in pdf file format. All documentation should be attached as a single file. **Attaching documentation is required.**
 - a. To attach the PDF file containing the LEA’s documentation, click on the “Attachments” Tab on the Details Form; then click on the “Attach a File” button located at the bottom of the Details Form – Attachments Tab.




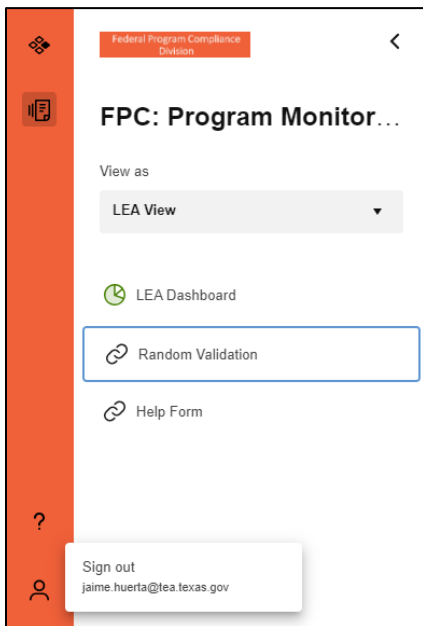
- b. Browse your computer and locate the documentation file to be attached; select the file; the File Name will appear in your file browser; click on “Open;” confirm that the file is listed as an attachment in the Details Form – Attachments Tab.



- c. Confirm that the document you are attaching is listed as an Attachment. Click on the “x” at the top right-hand corner to close the Details Form.



8. Sign out of the Department of Grant Compliance and Administration WorkApp System by clicking on the  icon located in the bottom left-hand corner of the window and selecting “Sign out.”



Phase 1 Random Validation Timeline

Task	Due Date
Federal Program Compliance (FPC) Random Validation (RV) WorkApp LEA contacts receive initial notification regarding program selection. Education Service Center (ESC) FPC RV WorkApp Contacts will be copied.	October 3, 2022
ESC staff will contact LEA to: <ul style="list-style-type: none"> • confirm receipt of initial notice; • confirm access to FPC RV WorkApp for appropriate staff; • offer technical assistance. 	Contact within 1 business day after initial notification to LEA. Technical assistance through October 17, 2022
Deadline to submit documentation via the FPC Random Validation WorkApp System.	October 17, 2022
TEA program staff complete preliminary reviews.	February 3, 2023
TEA contacts ESC regarding LEAs that receive “Improvement Needed” status for ESC review and comment before TEA notifies LEAs.	February 20, 2023 – March 3, 2023
FPC RV WorkApp LEA contacts receive notification of validation results. ESC FPC RV WorkApp Contacts will be copied.	March 14, 2023
ESC technical assistance deadline if LEA receives “Improvement Needed” status regarding any items.	September 28, 2023
ESC submits documentation in the FPC RV WorkApp System that technical assistance was offered/provided.	September 28, 2023
If the LEA receives “Improvement Needed” status on a program requirement, the LEA will be required to <u>submit documentation for 2023-2024 for the same requirement.</u> The documentation must be submitted in the FPC RV WorkApp System.	September 29, 2023