

**Title IV, Part A, Subpart 1:
Student Support and Academic Enrichment**

**LEA Random Validation Training
*Evaluation of Program Effectiveness***

September 2021

**LaNetra Guess
Program Director, Title IV, Part A
Federal Program Compliance Division**

Program Requirements Selected



Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.

Program Requirements Selected



To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally.

Program Requirements Selected



The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

Documentation for virtual meetings is acceptable.



Given the increased use of virtual meeting environments, participant rosters that include the meeting title, meeting date, and stakeholder names and roles would be acceptable substitutes for the more traditional sign-in sheets. This eliminates the need of a participant signature.

Title IV, Part A, Subpart 1, Student Support and Academic Enrichment grants are designed to improve the academic achievement of all students by increasing the capacity of LEAs, schools, and communities to:

- Provide** All students with access to a well-rounded education
- Improve** school conditions for student learning
- Technology** in order to enhance academic outcomes and digital literacy of students

LEA Random Validation

Evaluation of Program Effectiveness



Title IV, Part A: Handbook and Submission Documents – Evaluation of *Program Effectiveness*



2021-2022 Random Validation Handbook for Title IV, Part A

Evaluation of Program Effectiveness

Federal Program Compliance Division
ESSAsupport@TEA.Texas.gov

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2021-2022 Random Validation Submission Packet
Federal Program Compliance Division
ESSA Title IV, Part A—Evaluation of Program Effectiveness
Due Date: November 12, 2021

Date:	<input type="text"/>	Page 1 of	<input type="text"/>
ESC:	<input type="text"/>	County District #:	<input type="text"/>
LEA Name:	<input type="text"/>		
LEA Contact Name:	<input type="text"/>		
LEA Phone:	<input type="text"/>		
LEA Contact Email:	<input type="text"/>		

Submission Instructions

Submit this completed packet, including relevant documentation, by uploading it through the **ESSA Reports** application on TEAL **no later than November 12, 2021**.

Comments (if applicable):

For Questions Contact: LaNetra Guess or ESSAsupport@tea.texas.gov

8/11/2021

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ESSA, Title IV, Part A, Section 4106(e)(1)(E)

(e) Contents Of Local Application.—Each application submitted under this section by a local educational agency, or a consortium of such agencies, shall include the following:

(1) Descriptions.—A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of—

(E) the program objectives and intended outcomes for activities under this subpart, and how the local educational agency, or consortium of such agencies, will periodically evaluate the effectiveness of the activities carried out under this section based on such objectives and outcomes.

*summary of statute section

ESSA Consolidated Application TIVA Program-Specific Provisions and Assurances (#12) – *Evaluation of Program Effectiveness*

Assurances Related to Title IV, Part A (#12)

The LEA will periodically evaluate the effectiveness of the activities carried out to support the program objectives and intended outcomes.

The LEA periodically evaluated the effectiveness of the Title IV, Part A programs and/or activities based on the objectives and outcomes.

Documentation Required— *Evaluation of Program Effectiveness*

- Relevant pages of the most current District Improvement Plan (DIP) or other official auditable documentation that provide a description which includes:
 1. LEA's Title IV, Part A evaluation process;
 2. How LEA will periodically evaluate effectiveness;
 3. Title IV, Part A program objective(s); and
 4. Title IV, Part A intended outcome(s) of funded program(s) and/or activities.

And

- Relevant sign-in sheets and/or meeting agendas of stakeholder consultation meetings (with identified names and roles) pertaining to the evaluation of effectiveness in Title IV, Part A-funded activities and/or programs.

Standard Documentation

- ✓ Meeting Agendas
- ✓ Meeting Notes/Minutes
- ✓ Sign-In Sheets

Documentation Required – Evaluation of Program Effectiveness

Relevant pages of the most current DIP or other auditable documents providing a description of the evaluation process **and** sign-in sheets and/or meeting agendas pertaining to the evaluation of effectiveness



Issues that may result in an “Improvement Needed” status

- Auditable documentation requested was not submitted
- Documentation submitted does not describe the LEA’s evaluation process
- Documentation submitted does not include meeting agendas of stakeholder consultation meetings pertinent to evaluation
- Documentation submitted does not align to the requested **Evaluation of Program Effectiveness** documentation



Title IV Part A Random Validation Timeline, 2021-2022

Title IV, Part A Random Validation Timeline

Task	Due Date
Email initial notification to LEA administrator regarding program selection. ESC will be copied.	October 4, 2021
ESC staff will contact LEA to: <ul style="list-style-type: none"> • confirm receipt of initial notice; • confirm access to ESSA Reports on TEAL for appropriate staff; • offer technical assistance. 	Contact within 3 business days after initial notification to LEA. Technical assistance through November 11, 2021
Deadline to upload documentation on ESSA Reports.	November 12, 2021
TEA program staff complete preliminary reviews.	March 11, 2022
TEA contacts ESC regarding LEAs that receive “Improvement Needed” status for ESC review and comment before TEA notifies LEAs.	March 12–April 8, 2022
Notification to LEA administrator of validation results for. ESCs will be copied.	April 26, 2022
ESC technical assistance deadline if LEA receives “Improvement Needed” status regarding any items.	September 29, 2022
ESC provides documentation on ESSA Reports that technical assistance was offered/provided.	September 29, 2022
If the LEA receives “Improvement Needed” status on a program requirement, the LEA will be required to <u>submit documentation for 2022-2023 for the same requirement</u> . The documentation must be uploaded on ESSA Reports.	September 30, 2022

This presentation is intended solely to provide general information and guidance to Texas ESCs, LEAs, Private Nonprofit school officials and other stakeholders and reflect the Texas Education Agency's current understanding of the ESSA statute and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of ESSA programs. This presentation does not constitute legal advice, and participants are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.

TEA Contact

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Title IV, Part A

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