

Title I, Part D Program Overview

Federal Program Compliance Division

A photograph of a female teacher with short dark hair and glasses, wearing a white t-shirt, sitting on the floor and reading a book to two young boys. The boys are also sitting on the floor, looking at the book. They are in a classroom with bookshelves in the background. The image is semi-transparent, allowing the text to be overlaid.

Title I, Part D

Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent or At-Risk

Gerardo Ramirez, Program Director

Title I, Part D

- Title I, Part D, Subparts 1 and 2 establish the Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent, or At Risk.
- This law provides supplemental funding to state and local education agencies as part of the Elementary and Secondary Education Act (ESEA), as amended by the Every Student Succeeds Act (ESSA).
- Title I, Part D, Subpart 3 establishes Program Evaluations that must be conducted for any programs under Subpart 1 and Subpart 2.

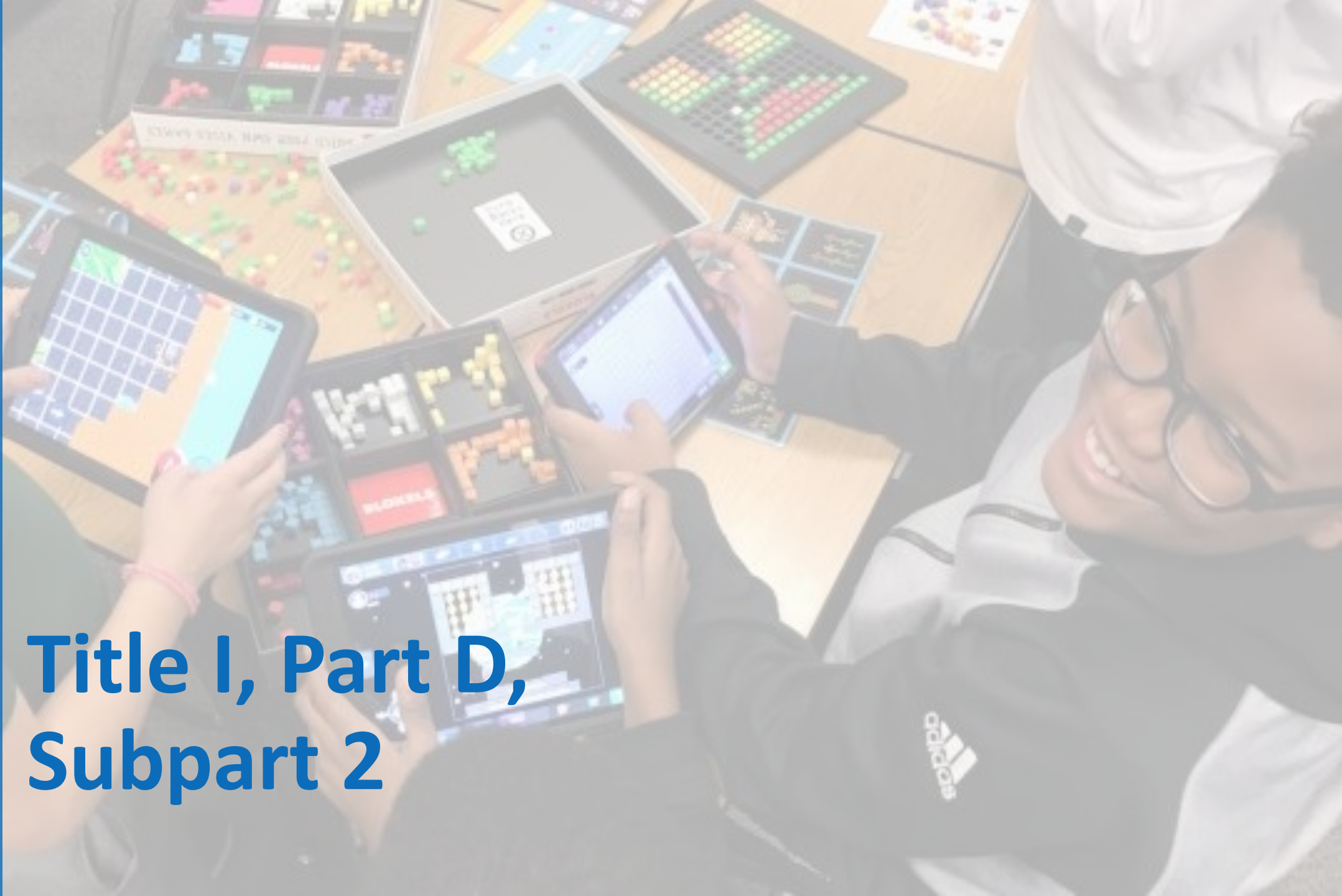
Title I, Part D Formula Grants

- Title I, Part D Subpart 1.
 - State agencies.
 - Windham School District.
 - Texas Juvenile Justice Department.



- Title I, Part D, Subpart 2.
 - Local Education Agencies (LEAs).





Title I, Part D, Subpart 2

Purposes of Title I, Part D, Subpart 2

- Prepare students for secondary school completion, training, employment, or further education;
- Facilitate transition of students from correctional programs; and
- Operate programs in local schools and schools operated or funded by the Bureau of Indian Education returning from correctional facilities.



Requirements

1. Program description.
2. Formal education agreement.
3. Coordination with facility education services.
4. Facilitation of successful transition services.
5. Coordination of existing programs to meet unique educational needs of students.
6. Coordination with existing social, health and other services.
7. Partnerships with institutions of higher Education or local business.

Requirements Cont'd

8. Involvement of parent and family engagement.
9. Coordination of other Federal, State, and local programs.
10. Coordination with Juvenile Justice and Delinquency Prevention.
11. Coordination and collaboration with probation officers.
12. Coordination with existing individualized education program.
13. Provision of LEA steps to support alternative education programs.

Adjudicated



- What does adjudicated mean?
 - Adjudicated simply refers to the formal legal process by which a judge or arbiter reviews evidence (including legal reasoning offered by litigants or opposing parties) to come to a decision in a legal matter.
- Delinquent youth need to be adjudicated to be delinquent or in need of supervision



Elegibility

LEA Eligibility

- LEA must meet at least one criteria:
 - Must serve student(s) who live in a residential facility for neglected or delinquent.
 - Must have a residential facility for the neglected or delinquent located within its boundaries.
 - Must be an open-enrollment charter school that either operates a residential facility for the neglected/delinquent or provides educational services to students who live in such a facility.

Neglected vs Delinquent Youth

- Neglected:

- A child, youth, or student who has been committed to an institution (other than a foster home) or voluntarily placed under applicable State Law due to abandonment, neglect, or death of his or her parents or guardians.

- Delinquent:

- A child, youth, or student who resides in a public or private residential facility (other than a foster home) that is operated for the care of children and youth who have been adjudicated delinquent or in need of supervision.

Student Eligibility

- Students must meet the following criteria:
 - Resided in the facility for at least one day during the month of October.
 - Ages 5 to 17 (upon entry to the facility).
 - Exclude children under the conservatorship of the Texas Department of Family and Protective Services.
 - Exclude foster care children.

At-Risk Student Criteria

As per statute, section 1432: “The term "at-risk", when used with respect to a child, youth, or student, means a school aged individual who is at-risk of academic failure, dependency adjudication, or delinquency adjudication, has a drug or alcohol problem, is pregnant or is a parent, has come into contact with the juvenile justice system or child welfare system in the past, is at least 1 year behind the expected grade level for the age of the individual, is an English learner, is a gang member, has dropped out of school in the past, or has a high absenteeism rate at school.” Thus, “at-risk programs” are programs implemented by the LEA to assist such youth. It is not related to the state compensatory education program.

Parent and Family Engagement



The LEA is required to describe how the Title I, Part D, Subpart 2 program will involve parents and family members to improve the educational achievement of their children, assist in dropout prevention activities, and prevent the involvement of their children in delinquent activities, when feasible.



Use of Funds

Determining Allowable Use of Funds

- Reasonable and necessary
 - Comprehensive needs assessment
 - Evaluation on student achievement
- ✓ EDGAR Requirements
 - ✓ District policies and procedures

Title I, Part D, Subpart 2 – Recommended Uses (selected)

- Transition services.
- Dropout prevention programs.
- Coordination of health and social services for youth.
- Special programs (i.e. career and technical education, special education, career counseling, curriculum-based youth entrepreneurship education, and financial aid assistance for postsecondary education).
- Mentoring and peer mediation programs.

Transition Services

- Transition services focus on helping children who are Neglected or Delinquent reenter school successfully or to find employment after they leave the institution and return to the local community.



Transition Services Cont'd



- Pupil services, including counseling, psychological and social work services designated to meet the needs of children and youth who are Neglected or Delinquent.
- Tutoring and mentoring
- Reentry orientation programs, including transition centers and reentry centers in high schools.

Pay-for-Success Initiative

- What is pay-for-success Initiative?
 - A performance-based grant, contract, or cooperative agreement awarded by a public entity in which a commitment is made to pay for improved outcomes that result in social benefit and direct cost savings or cost avoidance to the public sector.



Program Evaluation

Title I, Part D, Subpart 3: Program Evaluation



LEAs must evaluate Title I, Part D Programs

Annual data reported on the TEA compliance Report

Includes demographic and educational data



Results must be used to plan and improve future programs

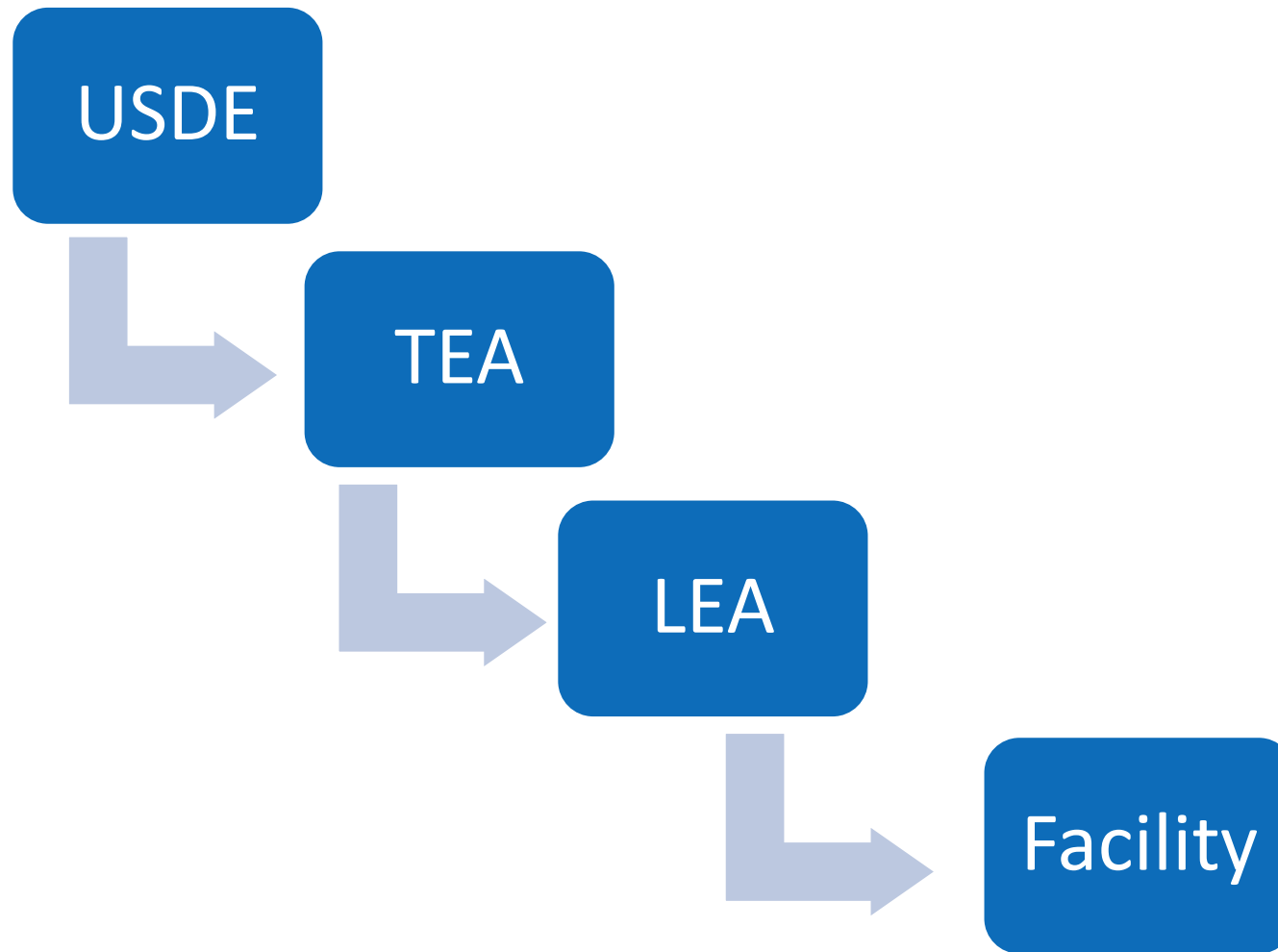


This can be found in Section 1431 which states “Each State agency or local educational agency that conducts a program under subpart 1 or 2 shall evaluate the program”



Compliance Monitoring

Compliance Monitoring Process



Compliance Monitoring Cont'd



- ESSA Consolidated Federal Grant Application
- Program-Specific Provisions and Assurances
- Federal Program Compliance Random Validations
- Federal Fiscal Monitoring Compliance
- ESSA Consolidated Compliance Report

SC9000 Annual Survey Data Collection

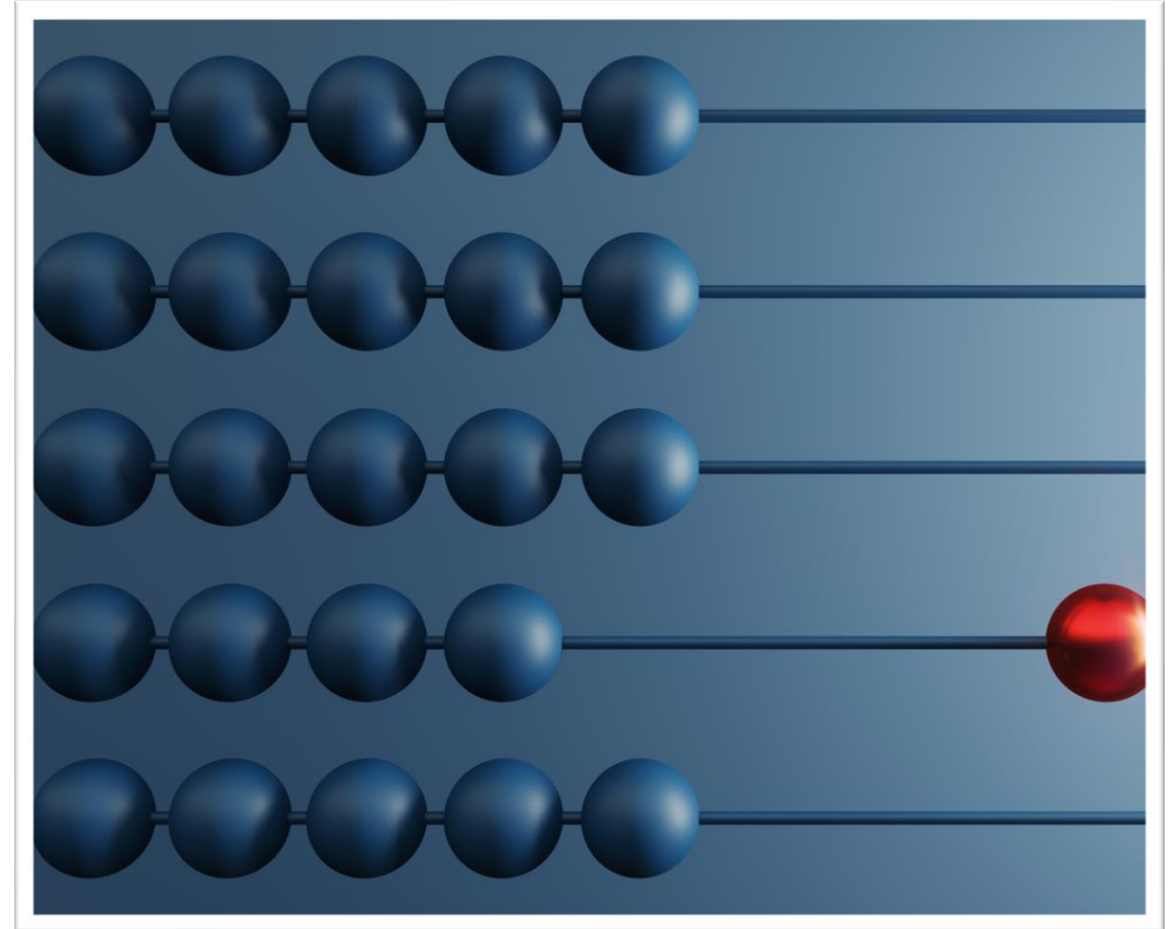
Federal Fiscal and Compliance Reporting Division (FFCR)

- TEA's SC9000 Annual Survey of Children in Local Residential Facilities for the Neglected/Delinquent (data collection form)
- TEA data requested in October and due in December
- To remove a closed facility, add a new facility, or any SC9000 related questions, please email:
compliance@tea.texas.gov



Student Count and how does it affect funding

- Local Delinquent Count is used to calculate the State's Subpart 2 allocation (received as a portion of the State's Title I, Part A grant).
- Local Neglected Count figures into the Title I-A allocation for the LEA in which the facility is located.
- At-risk can be served with TID delinquent funds, but they do not generate funding.
- Refer to the ESSA Funding Reference Manual for how TEA administers these allocations.



Allocation of Title I, Part D, Subpart 2 Funds

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Entitlements

The following links list entitlement amounts for TEA's largest active formula-grant programs.

CARES Act ESSER Formula Grants to LEAs

[2020-2021 CARES Act ESSER Final Amounts by LEA](#)
[2020-2021 CARES Act ESSER Final Amounts by ESC](#)

Strengthening Career and Technical Education for the 21st Century Act (Perkins V)

[2020-2021 Perkins Final With Reallocation by LEA](#)
[2020-2021 Perkins Final With Reallocation by ESC](#)

Carl D. Perkins Career and Technical Education Act of 2006

[2019-2020 Perkins Final With Reallocation by LEA](#)
[2019-2020 Perkins Final With Reallocation by ESC](#)

[2018-2019 Perkins Final With Reallocation by LEA](#)
[2018-2019 Perkins Final With Reallocation by ESC](#)

Special Education Consolidated Application (Federal)

[2020-2021 Special Education Consolidated \(Federal\) Final Amounts by LEA](#)
[2020-2021 Special Education Consolidated \(Federal\) Final Amounts by ESC](#)

Related Content

- [Amending an Application](#)
- [Budgeting Costs Guidance Handbook](#)
- [Competitive Grant Dates](#)
- [Entitlements](#)
- [Expenditure Reporting](#)
- [Forms for Prior Approval, Disclosure, and Justification](#)
- [Grants Awarded Data](#)
- [Guidelines, Provisions, and Assurances](#)
- [TEA Grant Opportunities](#)

Contact Information

Federal Fiscal Compliance and Reporting Division

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Grants Administration Division

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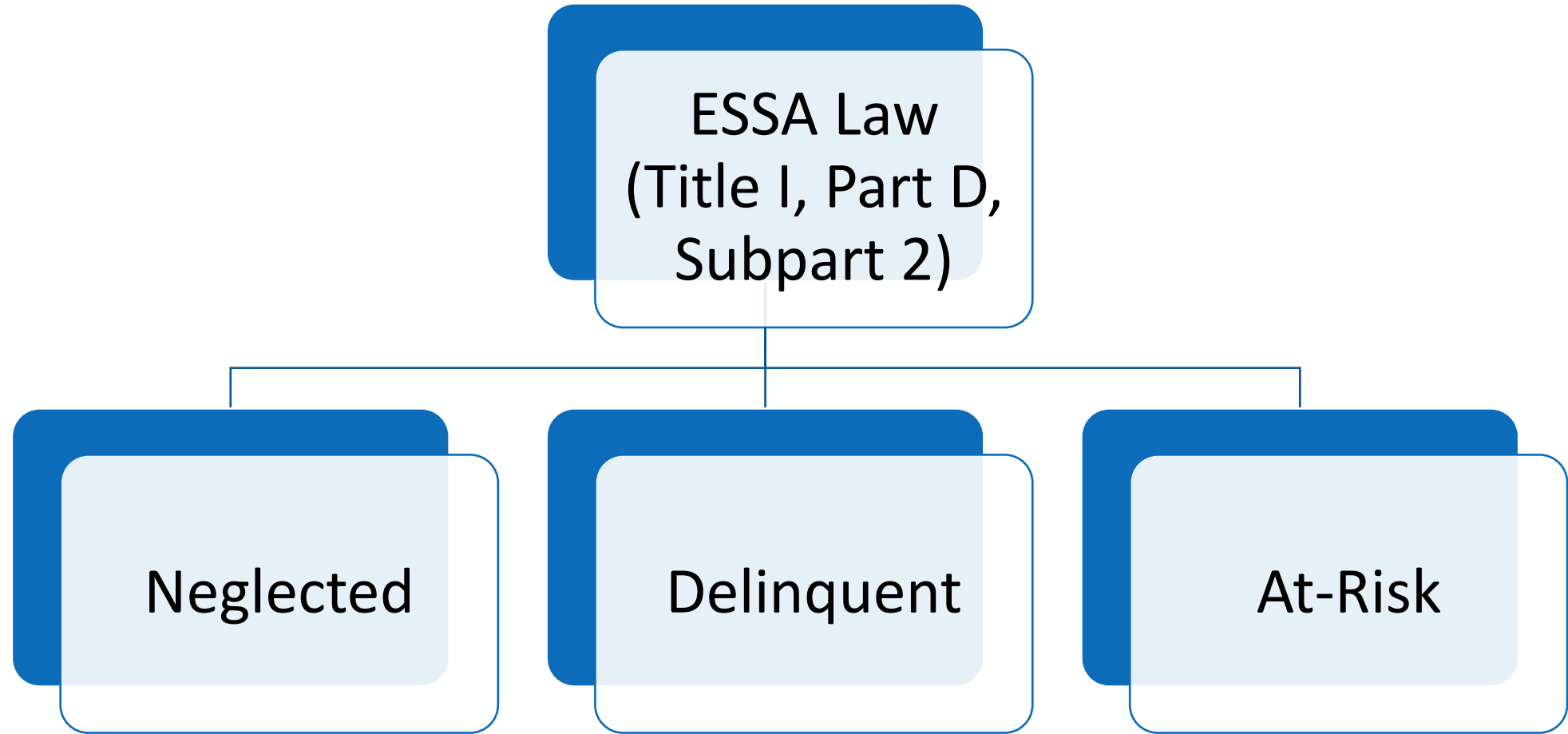
Facility Programs



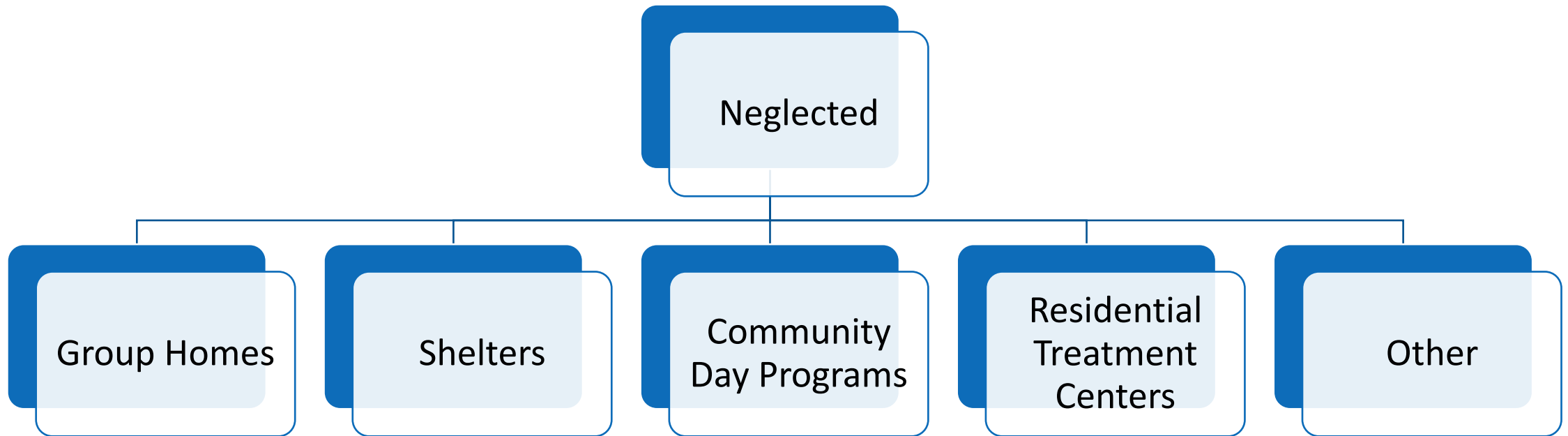
Facility Programs Description

- The U.S. Department of Education alongside the Office of Management and Budget have worked on a packet for data collection covering years 22-23, 23-24 and 24-25.
- In this packet we see the inclusion of different facility programs:
 - Adult Correctional Institutions
 - Community Day Programs
 - Juvenile Detention Centers
 - Shelters
 - Group Homes
 - Ranch/wilderness Camps
 - Residential Treatment Centers
 - Long-Term Secure Juvenile Facilities
 - Other

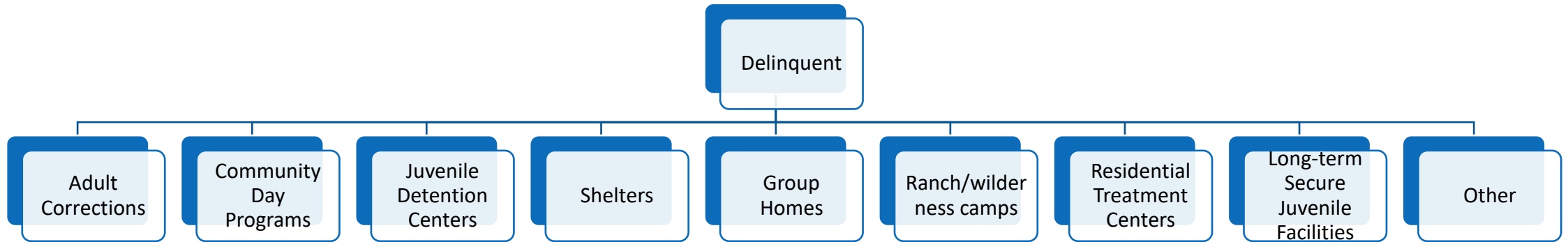
Breaking it down



Neglected (Subpart 1 only)



Delinquent (Subpart 1 and Subpart 2)



Please note!



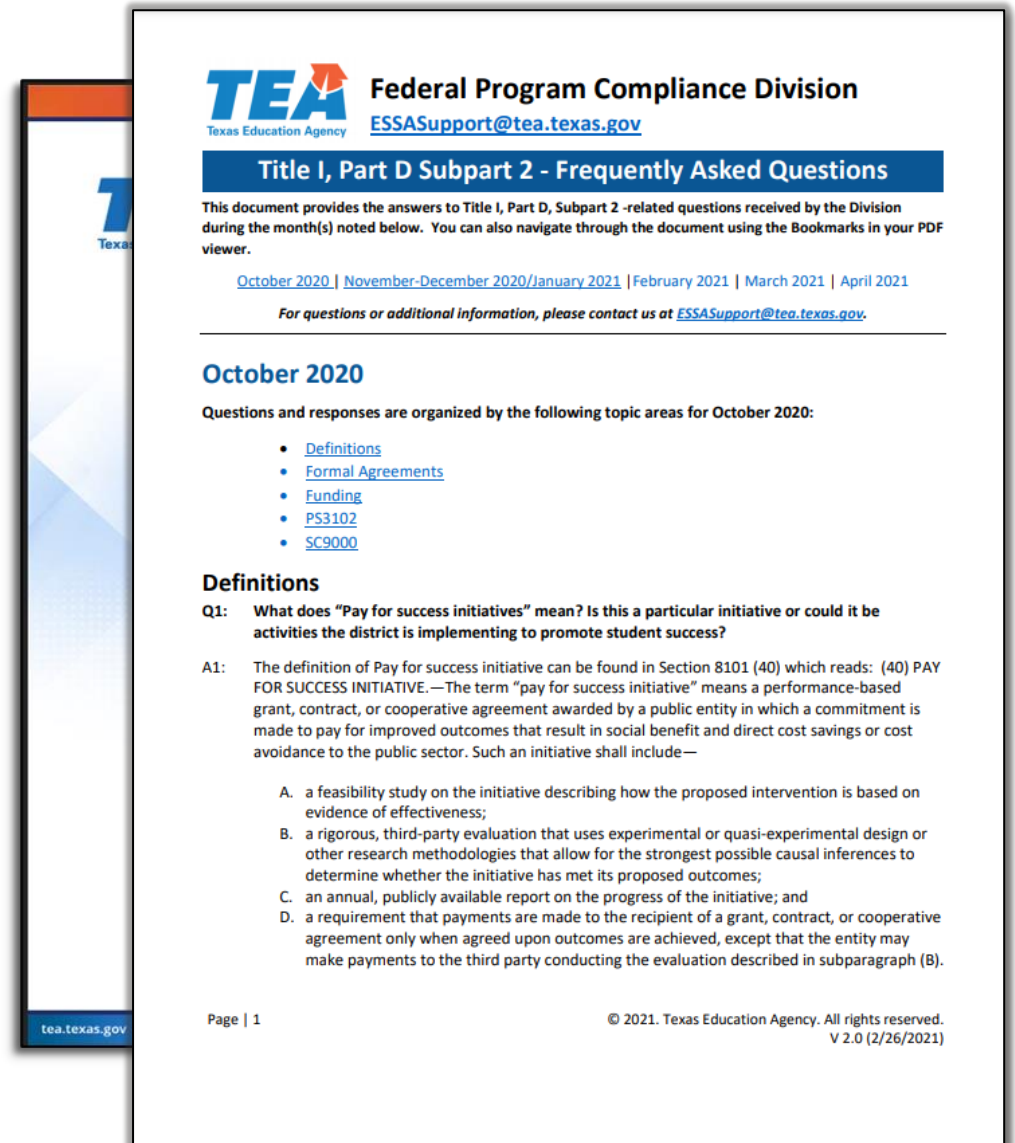
- The law has not changed.
- Under the Title I, Part D, Subpart 2 program, you still need to meet the definition of Neglected or Delinquent.
- What is new is Facility programs data as is reported on the PR2000.
- Please check the Definitions two pager resource available.



Resources

TEA Resources

- Title I, Part D, Program Guide
- Frequently Asked Questions about Title I, Part D, Subpart 2.
- Available at TEA's Title I, Part D web page.



TEA Federal Program Compliance Division
Texas Education Agency ESSASupport@tea.texas.gov

Title I, Part D Subpart 2 - Frequently Asked Questions

This document provides the answers to Title I, Part D, Subpart 2 -related questions received by the Division during the month(s) noted below. You can also navigate through the document using the Bookmarks in your PDF viewer.

[October 2020](#) | [November-December 2020/January 2021](#) | [February 2021](#) | [March 2021](#) | [April 2021](#)

For questions or additional information, please contact us at ESSASupport@tea.texas.gov.

October 2020

Questions and responses are organized by the following topic areas for October 2020:

- [Definitions](#)
- [Formal Agreements](#)
- [Funding](#)
- [PS3102](#)
- [SC9000](#)

Definitions

Q1: What does “Pay for success initiatives” mean? Is this a particular initiative or could it be activities the district is implementing to promote student success?

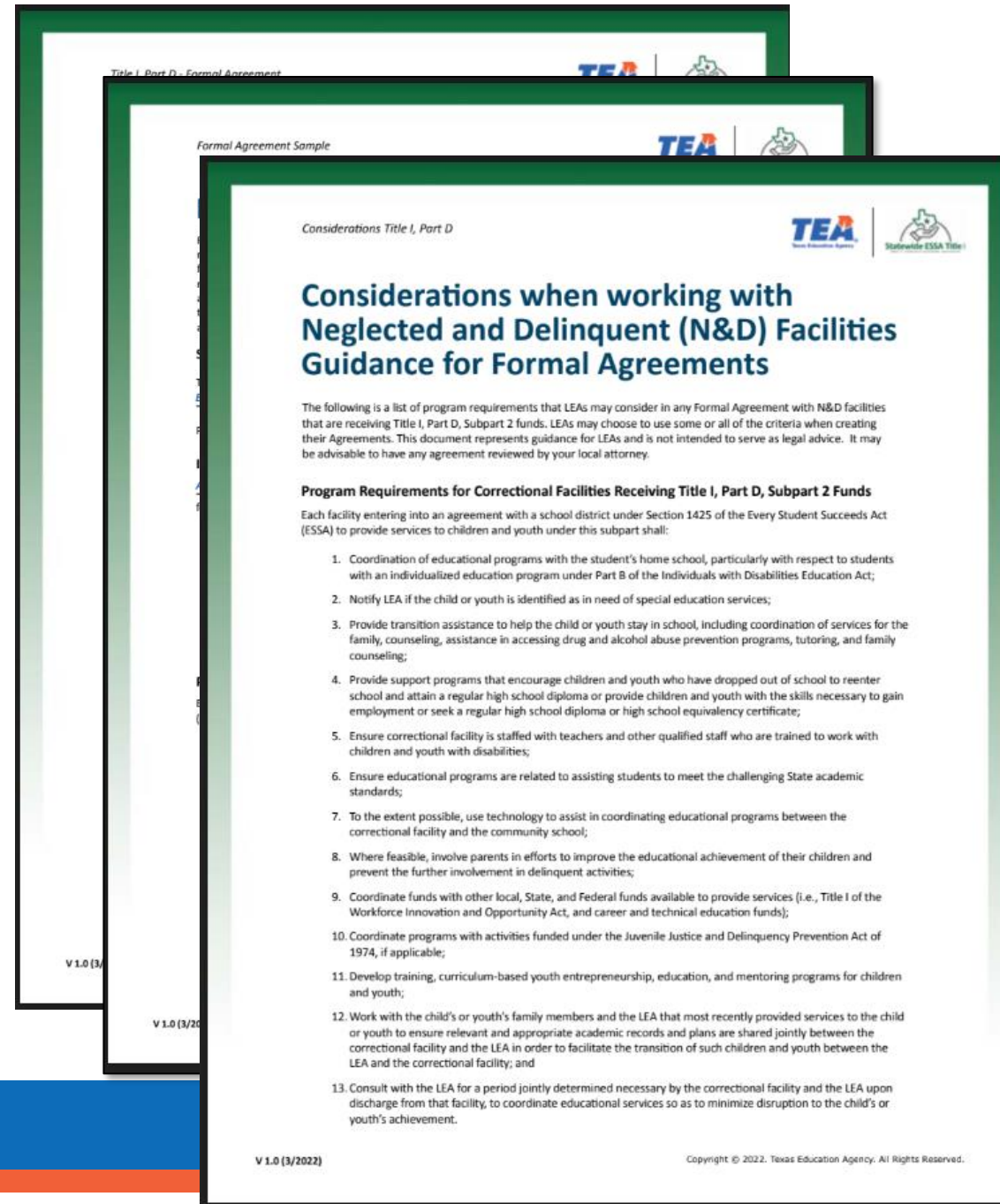
A1: The definition of Pay for success initiative can be found in Section 8101 (40) which reads: (40) PAY FOR SUCCESS INITIATIVE.—The term “pay for success initiative” means a performance-based grant, contract, or cooperative agreement awarded by a public entity in which a commitment is made to pay for improved outcomes that result in social benefit and direct cost savings or cost avoidance to the public sector. Such an initiative shall include—

- a feasibility study on the initiative describing how the proposed intervention is based on evidence of effectiveness;
- a rigorous, third-party evaluation that uses experimental or quasi-experimental design or other research methodologies that allow for the strongest possible causal inferences to determine whether the initiative has met its proposed outcomes;
- an annual, publicly available report on the progress of the initiative; and
- a requirement that payments are made to the recipient of a grant, contract, or cooperative agreement only when agreed upon outcomes are achieved, except that the entity may make payments to the third party conducting the evaluation described in subparagraph (B).

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Resources Title I, Part D, Subpart 2



- TEA in collaboration with ESCs and Capacity Building Initiative, produced three resources for LEAs to use;
- A Formal Agreement Template.
- A Formal Agreement Sample.
- A guidance document with considerations for Formal Agreements.



Resources

- Definitions two page document.
- These documents are housed in the [Title I, Part D, Capacity Building website](#).

Title I, Part D, Subpart 2 - Definitions



Definitions

This document should be used in coordination with other documentation posted in the [Title I, Part D, TEA Webpage](#). The U.S. Department of Education (USDE) has released, via technical guide, the definitions of the types of facilities that will be recognized moving forward.

The following definitions of the Title I, Part D Subpart 2 program types still apply:	
TERM	DEFINITION
CHILDREN AND YOUTH	Means the same as "children" as that term is defined in § 200.103(a). [CFR 200.90(a)].
ADULT CORRECTIONAL INSTITUTION	Refers to a facility in which persons (including persons under 21 years of age) are confined as a result of a conviction for a criminal offense. [ESEA Section 1432(1)].
INSTITUTION FOR DELINQUENT CHILDREN AND YOUTH	Refers to a public or private residential facility for the care of children and youth who have been adjudicated to be delinquent or in need of supervision. [ESEA section 1432(4)(B)].
LOCALLY OPERATED CORRECTIONAL FACILITY	Refers to a facility in which persons are confined as a result of a conviction for a criminal offense, including persons under 21 years of age. The term also includes a local public or private institution and community day program or school not operated by the State that serves delinquent children and youth. [34 CFR 200.90(c)].
AT-RISK	The term "at-risk," when used with respect to a child, youth, or student, means a school-aged individual who is at risk of academic failure, dependency adjudication, or delinquency adjudication, has a drug or alcohol problem, is pregnant or is a parent, has come into contact with the juvenile justice system or child welfare system in the past, is at least 1 year behind the expected grade level for the age of the individual, is an English learner, is a gang member, has dropped out of school in the past, or has a high absenteeism rate at school. [ESEA Section 1432(2)].

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