



**Federal Program Compliance Division
Statewide Spring Virtual Training 2026**

**Compliance Under Construction:
Building a Rock-Solid
Title IV, Part A, Monitoring and Self Check**

April 23, 2026 | Virtual Training Session
9:00 a.m. – 10:30 a.m. CST

LaNetra Guess, Federal Program Compliance Division
Deputy Program Management Director
Title IV, Part A
ESSA Private Nonprofit (PNP) Ombudsman



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Presentation Check-in



FPC - Check-In

- Select Event Name: **Statewide - Compliance Under Construction: Building a Rock-Solid ESSA - Title IV, Part A, Monitoring and Self Check – LaNetra Guess, April 23, 2026**
- Select Program: **Title IV, Part A**
- Enter First Name and Last Name
- Enter Role (Position Title)
- Provide Email Address (Optional)
- Select LEA, ESC, or Other
 - LEA: Select LEA Name or Type LEA Name to find LEA Name
 - ESC: Select ESC Region Number
 - Other: Enter the name of your organization

<https://app.smartsheet.com/b/form/d522823a7cf34fd7ad3e1c905d4f28e6>

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Building a Rock-Solid Foundation



Title IV, Part A: The Slab (Foundation) and Frame



The Slab/Foundation

- **ESSA Authority, Section 4106** - Title IV, Part A Program Requirements
- **Collaborative Comprehensive Needs Assessment** – Needs identified and documented
- **Consultation** – Required Title IV, Part A, Stakeholders
- **Statute-Aligned** – Allowable Uses of Programs and Activities



The Framing

- **Program Design** – Activities tied to Needs
- **Budget Alignment** – Costs Must Support Programs/Activities
- **Documentation** – Evidence Maintained Locally
- **Evaluation** – At least every three years/best practice is annually



Strong Foundation + Intentional Framing = Compliance

Compliance is Built Step by Step




Solid Foundation

Statutory Pillars

**Load-Bearing Requirements/
Funding**

**Field-Tested
Monitoring**

**Self-Check
Punch List**



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Title IV, Part A: Statutory Pillars



Three Content Areas

Well-Rounded Education

Safe and Healthy Students

Effective Use of Technology

Title IV, Part A - Prioritization of Services*



LEAs must prioritize the distribution of Title IV, Part A, funds for schools with:

- Greatest needs
- Highest percentages or numbers of students from low-income families (as counted for purposes of the School System's Title I, Part A grant)
- Identification for comprehensive support and improvement under Title I, Part A
- Targeted support implementation and improvement plans under Title I, Part A; or
- Persistently dangerous public elementary or secondary school(s)



*ESEA section 4106(e)(2)(A)

Poll: Which Title IV, Part A, resource(s) and/or activities have you used this year? (can select all)



1. TEA Title IV, Part A, **virtual** training session(s) (not including today's session)
2. TEA Title IV, Part A, **onsite** training session(s) (not including today's session)
3. TEA's Title IV, Part A, website resources
4. TEA Title IV, Part A, Program Guide
5. TEA Title IV, Part A, Use of Funds Criteria
6. TEA Title IV, Part A, Frequently Asked Questions
7. ESC 14 Title IV, Part A, Capacity Building Statewide Initiative (CBSI) website resources
8. ESC 14 Title IV, Part A, CBSI **virtual** training session(s)
9. ESC 14 Title IV, Part A, CBSI **onsite** training session(s)
10. Local ESC **virtual** training session(s)
11. Local ESC **onsite** training session(s)
12. None.
13. My boss made me attend this session. I have no idea why I am here or what you are talking about.

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Type: Share Student/Staff Impact



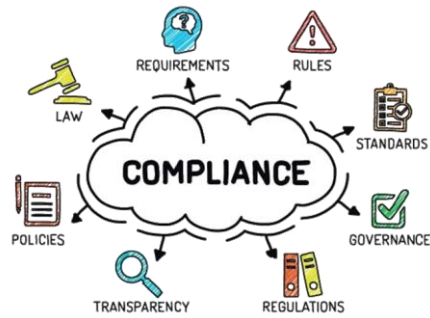
Share at least one LEA's Title IV, Part A, program or activity that, when implemented, made a positive student and/or staff impact.



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Title IV, Part A: LEA Program Compliance and Self-Checks

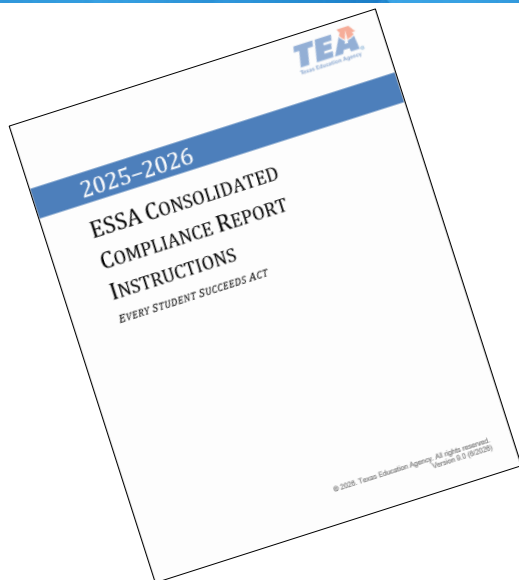


PR3107 – Title IV, Part A, Compliance Report Program Compliance Self-Check Items



- Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.
- The compliance items listed are a selection of program compliance requirements for compliance reporting purposes and not an all-inclusive listing of all the requirements for a particular program. For a complete listing of all the program compliance requirements, please see the current year's *ESSA Program-Specific and ESSA Provision Assurances*. Additional guidance concerning program requirements can be found in the Title IV, Part A, Program Guide.

PR3107 – Title IV, Part A, Compliance Report (example)



Report Status: New Formula

eGrants
 TEXAS EDUCATION AGENCY
 SAMP ESSAAAZS

2024-2025 ESSA Consolidated Compliance Report
 Compliance Report
 PR3107 - Title IV, Part A

Part 1: Funding Transferability

A. Participation
 Did the LEA participate in the Funding Transferability program with Title IV, Part A funds? Yes No

B. Percentage of Title IV, Part A Funding Redirected under Funding Transferability

Fund Source	Alternative Uses of Funding						
	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title II, Part A ELA	Title II, Part A Immigrant	Title V, Part B
Title IV, Part A							

C. Amount of Title IV, Part A Funding Redirected under Funding Transferability That Was Expended for the Following Activities

Fund Source	Activity Expenditure						
	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title II, Part A ELA	Title II, Part A Immigrant	Title V, Part B
Title IV, Part A							

Part 2: Section 5211 - Rural Education Achievement Program (REAP)

A. Participation
 Did LEA participate in REAP with Title IV, Part A funds? Select No if the LEA is not eligible for REAP? Yes No


B. Percentage Of Title IV, Part A Funding Redirected under REAP

Fund Source	Alternative Uses of Funding				
	Title I, Part A	Title II, Part A	Title II, Part A ELA	Title II, Part A Immigrant	Title IV, Part B
Title IV, Part A					

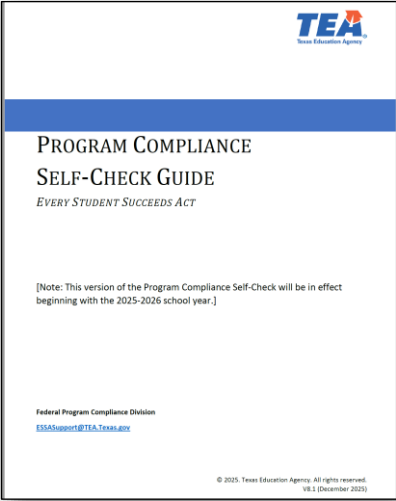
C. Amount of Title IV, Part A Funding Redirected under REAP That Was Expended for the Following Activities

Fund Source	Activity Expenditure				
	Title I, Part A	Title II, Part A	Title II, Part A ELA	Title II, Part A Immigrant	Title IV, Part B
Title IV, Part A					

Program Compliance Self-Check Guide



2025-2026



The cover of the 2025-2026 Program Compliance Self-Check Guide features the TEA logo at the top right. Below it, the title "PROGRAM COMPLIANCE SELF-CHECK GUIDE" is centered in a large, bold, black font. Underneath the title is the motto "EVERY STUDENT SUCCEEDS ACT" in a smaller, italicized font. A note at the bottom left states: "[Note: This version of the Program Compliance Self-Check will be in effect beginning with the 2025-2026 school year.]" At the bottom left, contact information for the Federal Program Compliance Division is provided: "Federal Program Compliance Division" and "ESSASupport@TEA.Texas.gov". At the bottom right, there is a copyright notice: "© 2025, Texas Education Agency. All rights reserved. WS 2 (December 2025)".

Program Compliance Self-Check Guide

This document is intended to assist LEAs in completing the Program Compliance Self-Check sections of the ESSA Consolidated Compliance Report. It can also be used as a guide to LEAs as they determine what documentation to retain as they implement their programs.

Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor.

The compliance items listed are a selection of program compliance requirements for compliance reporting purposes and not an all-inclusive listing of all the requirements for a particular program. For a complete listing of all the program compliance requirements, please see the [Program-Specific and ESSA Provisions and Assurances](#). Additional guidance concerning program requirements can be found in the respective [Program Guides](#).

For questions or additional information, please contact us at ESSASupport@tea.texas.gov.

FPC ESSA Consolidated Program Compliance Resources:
<https://tea.texas.gov/finance-and-grants/grants/essa-program/federal-program-compliance>

Program Compliance Self-Check Guide – 2025-2026 and Beyond [v.8.1 (12/2025)]:
<https://tea.texas.gov/finance-and-grants/grants/essa-program/programcomplianceselfcheckguide2025.pdf>

PR3107 – Title IV, Part A, Compliance Report Program Compliance Self-Check Items



The following Title IV, Part A, three compliance items were selected for inclusion in the ESSA Consolidated Compliance Report – PR3107:

Part 3: Program Compliance Self-Check

1. Consultation
2. Program Description
3. Evaluation of Program Effectiveness



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Program Compliance Self-Check – Title IV, Part A Selected Requirements (2025-2026) - Consultation



Program Compliance Self-Check Guide
Title IV, Part A

Title IV, Part A

Consultation: Stakeholders Input

Compliance Item 1:

The LEA engaged in a timely and meaningful consultation with a broad range of stakeholders as a part of their process in determining the targeted areas of improvement related to students' access to effective program activities. [ESSA, Title IV, Part A, Section 4106(c)]

Strongest Documentation Recommended:

- Dated agendas and/or ongoing meeting notes of stakeholder sessions, focus groups, advisory committees, and/or district improvement team meetings; **and**
- Participant rosters/sign-in sheets of stakeholder consultation meetings that align with submitted agendas/meeting notes and include all Title IV, Part A, required stakeholders.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.



Program Compliance Self-Check Guide - 2025-2026 and Beyond [v.8.1 (12/2025)]:

<https://tea.texas.gov/finance-and-grants/grants/essa-program/programcomplianceselfcheckguide2025.pdf>

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PR3107 – Title IV, Part A, Compliance Report, Part 1



- **Part 1 – Funding Transferability**
- **Part 2 – Section 5211 Rural Education Achievement Program (REAP)**
- **Part 3 – Program Compliance Self-Check**
- **Part 4 – Additional Information (optional)**

<Name of Grant Program>							
Program Description							
PR3107 – Title IV, Part A							
Part 1: Funding Transferability							
A. Participation							
1. Did the LEA participate in the Funding Transferability program with Title IV, Part A funds? <input type="radio"/> Yes <input type="radio"/> No							
B. Percentage of Title IV, Part A Funding Redirected under Funding Transferability							
Alternative Uses of Funding							
Fund Source	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title V, Part B
Title IV, Part A	%	%	%	%	%	%	%
C. Amount of Title IV, Part A Funding Redirected under Funding Transferability That Was Expended for the Following Activities							
Activity Expenditure							
Fund Source	Title I, Part A	Title I, Part C	Title I, Part D	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title V, Part B
Title IV, Part A							

PR3107 – Title IV, Part A, Compliance Report, Part 2



- **Part 1** –Funding Transferability
- **Part 2 – Section 5211 Rural Education Achievement Program (REAP)**
- **Part 3** – Program Compliance Self-Check
- **Part 4** – Additional Information (optional)

Part 2: Section 5211 – Rural Education Achievement Program (REAP)

A. Participation					
1. Did LEA participate in REAP with Title IV, Part A funds? Select No if the LEA is not eligible for REAP? <input type="radio"/> Yes <input type="radio"/> No					

B. Percentage Of Title IV, Part A Funding Redirected under REAP					
Fund Source	Alternative Uses of Funding				
	Title I, Part A	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part B
Title IV, Part A	%	%	%	%	%

C. Amount of Title IV, Part A Funding Redirected under REAP That Was Expended for the Following Activities					
Fund Source	Activity Expenditure				
	Title I, Part A	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part B
Title IV, Part A					

PR3107 – Title IV, Part A, Compliance Report, Part 3.1 *Timely & Meaningful Consultation*



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- **Part 1 –Funding Transferability**
- **Part 2 – Section 5211 Rural Education Achievement Program (REAP)**
- **Part 3 – Program Compliance Self-Check**
- **Part 4 – Additional Information (optional)**

Part 3: Program Compliance Self-Check

Program Compliance Self-Check

1. The LEA/fiscal agent engaged in a timely and meaningful consultation with a broad range of stakeholders as a part of their process in determining the targeted areas of improvement related to student's access to effective program activities. [ESSA, Title IV, Part A, Section 4106(c) (1-2)]

- a. In Compliance for LEA or all members of the SSA
If in compliance, the LEA/fiscal agent assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Dated agendas and/or ongoing meeting notes of stakeholder consultation sessions, focus groups, advisory committees, and/or district improvement team meetings; **and**
- Participant rosters/sign-in sheets of stakeholder consultation meetings that include all Title IV, Part A required stakeholders.

The LEA/fiscal agent may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

- b. Not In Compliance for LEA or one or more members of the SSA
If not in compliance, use the space below to describe how the LEA/fiscal agent plans to meet compliance the following year.

PR3107 – Title IV, Part A, Compliance Report, Part 3.2 Program Description



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- **Part 1** –Funding Transferability
- **Part 2** – Section 5211 Rural Education Achievement Program (REAP)
- **Part 3** – Program Compliance Self-Check
- **Part 4** – Additional Information (optional)

Part 3: Program Compliance Self-Check (continued)

Program Compliance Self-Check

2. The LEA/fiscal agent has a description of programs and activities funded with Title IV, Part A to support the program objectives and intended outcomes related to a well-rounded education, safe and healthy students, and the effective use of technology. [Section 4106(e)(1)(A-D)]

a. In Compliance for LEA or all members of the SSA

If in compliance, the LEA/fiscal agent assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Relevant pages of the most current LEA's District Improvement Plan (DIP) or other official auditable documentation providing a detailed description on how Title IV, Part A funds will be used for activities supporting one of the content areas (well-rounded education, safe and healthy students, or the effective use of technology).

The LEA/fiscal agent may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement

b. Not In Compliance for LEA or one or more members of the SSA

If not in compliance, use the space below to describe how the LEA/fiscal agent plans to meet compliance the following year.

For Fiscal Agent Use only:

List the SSA members ONLY (County-District number and LEA name) found not in compliance. Example: 00901-ABC ISD.
SSA members not listed below will be considered in compliance.

PR3107 – Title IV, Part A, Compliance Report, Part 3.3 Evaluation of Effectiveness



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- **Part 1 –Funding Transferability**
- **Part 2 – Section 5211 Rural Education Achievement Program (REAP)**
- **Part 3 – Program Compliance Self-Check**
- **Part 4 – Additional Information (optional)**

Part 3: Program Compliance Self-Check (continued)

Program Compliance Self-Check
<p>3. The LEA/fiscal agent periodically evaluated the effectiveness of the Title IV, Part A programs and/or activities based on program objectives and intended outcomes. [Section 4106(e)(1)(E)].</p> <p><input type="radio"/> In Compliance for LEA or all members of the SSA If in compliance, the LEA/fiscal agent assures that it has documentation showing compliance readily available upon request.</p> <p>Strongest documentation recommended:</p> <ul style="list-style-type: none">• Relevant pages of the most current District Improvement Plan (DIP) or other official auditable documentation that provide a description which includes:<ol style="list-style-type: none">1) LEA's Title IV, Part A evaluation process;2) How LEA will periodically evaluate effectiveness;3) Title IV, Part A program objective(s); and4) Title IV, Part A intended outcome(s) of funded program(s) and/or activities. <p>The LEA/fiscal agent may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.</p> <p><input type="radio"/> Not In Compliance for LEA or one or more members of the SSA If not in compliance, use the space below to describe how the LEA/fiscal agent plans to meet compliance the following year.</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>For Fiscal Agent Use only: List the SSA members ONLY (County-District number and LEA name) found not in compliance. Example: 000901-ABC ISD. SSA members not listed below will be considered in compliance.</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

PR3107 – Title IV, Part A, Compliance Report, Part 4



- **Part 1** –Funding Transferability
- **Part 2** – Section 5211 Rural Education Achievement Program (REAP)
- **Part 3** – Program Compliance Self-Check
- **Part 4 – Additional Information (optional)**

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Part 4: Additional Information (optional)

Additional Information

Program Compliance Self-Check – Title IV, Part A Selected Requirements (2025-2026) – Program Description



Description of Programs and Activities

Compliance Item 2:

The LEA has a description of programs and activities funded with Title IV, Part A, to support the program objectives and intended outcomes related to a well-rounded education, safe and healthy students, and the effective use of technology. [Section 4106(e)(1)]

Strongest Documentation Recommended:

- Relevant pages of the most current LEA's District Improvement Plan (DIP) or other official auditable documentation providing a detailed description of how Title IV, Part A, funds will be used for activities supporting one of the content areas (well-rounded education, safe and healthy students, or the effective use of technology).

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

Program Compliance Self-Check – Title IV, Part A Selected Requirements (2025-2026) – *Program Evaluation*



Program Compliance Self-Check Guide
Title IV, Part A

Evaluation of Program Effectiveness

Compliance Item 3:

The LEA periodically evaluated the effectiveness of the Title IV, Part A, programs and/or activities based on program objectives and intended outcomes. [Section 4106(e)(1)]

Strongest Documentation Recommended:

- Relevant pages of the most current District Improvement Plan (DIP) or other official auditable documentation that provide a description which includes:
 - 1) LEA's Title IV, Part A, evaluation process; **and**
 - 2) How LEA will periodically evaluate effectiveness; **and**
 - 3) Title IV, Part A, program objective(s); **and**
 - 4) Title IV, Part A, intended outcome(s) of funded program(s) and/or activities.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

Program Compliance Self-Check Guide - 2025-2026 and Beyond [v.8.1 (12/2025)]:

<https://tea.texas.gov/finance-and-grants/grants/essa-program/programcomplianceselfcheckguide2025.pdf>

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Reporting on Self-Check Items

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Texas Education Agency

TEA
Texas Education Agency

What happens when LEA reports on Program Self-Check program requirements?

Version 3.0 6/25

FPC Training Slides (2024-2025):
<https://tea.texas.gov/sites/default/files/essacompliancereportnotincompliance.pdf>

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Compliance Report Self-Check Items – ‘In Compliance’



What is meant by “In Compliance”?

- School System has the strongest documentation recommended, readily available as evidence that the requirement was met in the current reporting year.
- The School System may have other documentation that potentially might show compliance.
- In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

	School System Assures	Fiscal Agent Assures
In Compliance	<ul style="list-style-type: none"> • School System assures that it has documentation showing compliance readily available upon request. OR <ul style="list-style-type: none"> • School System may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement. 	<ul style="list-style-type: none"> • Fiscal agent assures that it has documentation for all SSA members showing compliance readily available upon request. OR <ul style="list-style-type: none"> • Fiscal agent may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

Compliance Report Self-Check Items – ‘Not In Compliance’ and ‘Not Applicable’

What is meant by “Not In Compliance”?		
<ul style="list-style-type: none"> School System does not have the strongest documentation recommended readily available as evidence that the requirement was met in the current reporting year. School System describes the plan to meet compliance the following year. 		
	School System Assures	Fiscal Agent Assures
Not In Compliance	<ul style="list-style-type: none"> School System assures they are out of compliance and provides a plan to meet compliance the following year. 	<ul style="list-style-type: none"> Fiscal agent assures that one or more SSA member are out of compliance and provides a plan to meet compliance the following year. <p style="margin: 0;">AND</p> <ul style="list-style-type: none"> Fiscal agent provides the list of SSA member(s) that are out of compliance.
What is meant by “Not Applicable”?		
Only displays on report when a requirement may have a circumstance that is not applicable The reason(s) for “Not Applicable” are provided in the text of the item		
	School System Assures	Fiscal Agent Assures
Not Applicable	<ul style="list-style-type: none"> School System assures that only the listed reason(s) apply. No other reason is acceptable. 	<ul style="list-style-type: none"> Fiscal agent assures that only the listed reason(s) apply. No other reason is acceptable.

Title IV, Part A: *Draft* Program Monitoring Validations (PMV) Protocol

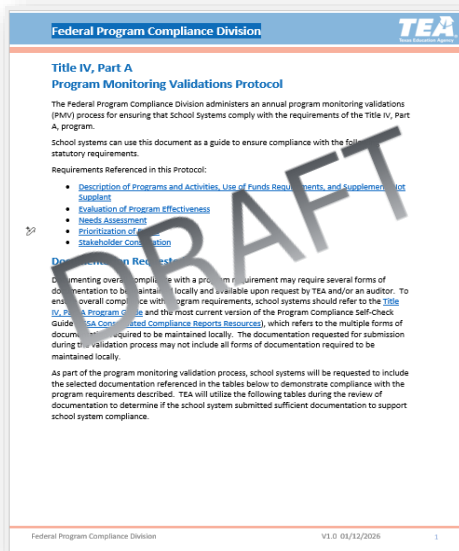


In your opinion, what would be one of the top reasons an LEA does not meet T4PA PMV requirements?



- Copy and paste the PMV requirement language
- No documented program name
- No documented school year and/or dates
- No documented consultation with all stakeholders
- No alignment to T4PA content areas
- Submitted agenda(s) do not align with the T4PA meeting notes
- Incomplete documentation

Draft – Title IV, Part A, PMV Protocol (1/12/2026)



Draft - PMV Protocol Purpose



Title IV, Part A

Program Monitoring Validations Protocol

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The Federal Program Compliance Division administers an annual program monitoring validations (PMV) process for ensuring that School Systems comply with the requirements of the Title IV, Part A, program.

School systems can use this document as a guide to ensure compliance with the following statutory requirements.

Requirements Referenced in this Protocol:

- [Description of Programs and Activities, Use of Funds Requirements, and Supplement, Not Supplant](#)
- [Evaluation of Program Effectiveness](#)
- [Needs Assessment](#)
- [Prioritization of Funds](#)
- [Stakeholder Consultation](#)

Draft - Documentation Requested



Documentation Requested

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Documenting overall compliance with a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor. To ensure overall compliance with program requirements, school systems should refer to the [Title IV, Part A Program Guide](#) and the most current version of the Program Compliance Self-Check Guide ([ESSA Consolidated Compliance Reports Resources](#)), which refers to the multiple forms of documentation required to be maintained locally. The documentation requested for submission during the validation process may not include all forms of documentation required to be maintained locally.

As part of the program monitoring validation process, school systems will be requested to include the selected documentation referenced in the tables below to demonstrate compliance with the program requirements described. TEA will utilize the following tables during the review of documentation to determine if the school system submitted sufficient documentation to support school system compliance.

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Draft – Requirement & ESSA Citation – (selected sections)
Description of Title IV, Part A, Programs and Activities



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Requirement & ESSA Citation: Description of Programs and Activities [Section 4106(e)(1)(e)], Content Area Use of Funds Requirements [Section 4107(e)(2)(C-E)], and Supplement, Not Supplant [Section 4110]

Statutory Requirement References

The requirement is referenced in the following documents.

Every Student Succeeds Act (ESSA) Citation & Statute Language

Section 4106(e)(1)(A-D)

(e) Contents of Local Application.—Each application submitted under this section by a local educational agency, or a consortium of such agencies, shall include the following:

(1) Descriptions.—A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of—

- (A) any partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing activities under this subpart;
- (B) if applicable, how funds will be used for activities related to supporting well-rounded education under section 4107;
- (C) if applicable, how funds will be used for activities related to supporting safe and healthy students under section 4108;
- (D) if applicable, how funds will be used for activities related to supporting the effective use of technology in schools under section 4109;

Section 4106(e)(2)(C-E)

(e) Contents of Local Application.—Each application submitted under this section by a local educational agency, or a consortium of such agencies, shall include the following:

Draft Program Compliance Self-Check – Title IV, Part A Selected Requirements (2025-2026) – Program Description - Assurances



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TEA ESSA Program-Specific Provisions & Assurances

Assurances Relating to Title IV, Part A, Description

The LEA will maintain a description of the activities and programming that the LEA will carry out, including a description of:

- a. any partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing activities under this subpart [Section 4106(e)(1)(A)];

Draft – Compliance Report Self-Check Item: Description of Title IV, Part A, Programs and Activities



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TEA Compliance Report Program Compliance Self-Check Item

The LEA has a description of programs and activities funded with Title IV, Part A, to support the program objectives and intended outcomes related to a well-rounded education, safe and healthy students, and the effective use of technology. [Section 4106(e)(1)]

A compliance self-check item for the use of funds requirements, to include supplement, not supplant are not currently in the Title IV, Part A Compliance Report. They will be included in the 2026-2027 ESSA Compliance Report.

**Draft – Requirement & ESSA Citation –Title IV, Part A,
 Program Description – Documentation Requested**



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Documentation Requested

Self-Assessment Compliance Questions	Requested Documentation	Year of Documentation Requested
<p>What activities and programming carried out under Title IV, Part A, will be in partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing Title IV, Part A, activities?</p> <p>What activities and programming will be carried out with Title IV, Part A, funds for activities related to supporting well-rounded education?</p>	<p>1.1 Description of Title IV, Part A, Programs and Activities Relevant pages of the school system’s District Improvement Plan (DIP) or other official auditable documentation providing a detailed description of how Title IV, Part A, funds will be used for activities supporting at least one (1) of the content areas (well-rounded education, safe and healthy students, or the effective use of technology).</p>	<p>Immediate prior year</p>

Draft Program Compliance Self-Check – Title IV, Part A Selected Requirements (2025-2026) – Program Description - Resources



DRAFT

Resources

Each regional Education Service Center (ESC) is required to offer and provide technical assistance related to the program monitoring validation process. Please consult with ESC staff if there are questions or if additional information is needed. Regional ESC Federal Program staff information can be located at: <https://tea.texas.gov/finance-and-grants/grants/essa-program/title-iv-part-a-student-support-and-academic-enrichment>.

The following resources are also available:


- [Federal Program Compliance Program Monitoring Validations Process Resources](#)

Contact Information

For assistance with questions and/or additional information, please contact the Federal Program Compliance Division at ESSAsupport@TEA.Texas.gov. A directory of TEA program-specific staff contacts is also available: [Federal Program Compliance Division Program Staff Contacts](#).

[Federal Program Compliance Program Monitoring Validations Process Resources](#)


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**Common Title IV, Part A, PMV
Requirements that are *Not Met*:**

**Consultation with Stakeholders
and
Evaluation of Program Effectiveness**

Requirement 1: Consultation Title IV, Part A, Required Stakeholders



Parents	Students	Specialized Instructional Support Personnel	Local Government
Community-Based Organizations	Principals	Teachers	School Leaders
Indian Tribes (if applicable)	Others with Relevant and Demonstrated Expertise	Charter School Teachers, Principals, and other school leaders (if applicable)	

Consultation



PR3107 – Title IV, Part A, Compliance Report (draft) – Consultation with Stakeholders



Part 3: Program Compliance Self-Check

Program Compliance Self-Check

1. The LEA/fiscal agent engaged in a timely and meaningful consultation with a broad range of stakeholders as a part of their process in determining the targeted areas of improvement related to student's access to effective program activities. [ESSA, Title IV, Part A, Section 4106(c) (1-2)]

a. In Compliance for LEA or all members of the SSA

If in compliance, the LEA/fiscal agent assures that it has documentation showing compliance readily available upon request.

Strongest documentation recommended:

- Dated agendas and/or ongoing meeting notes of stakeholder consultation sessions, focus groups, advisory committees, and/or district improvement team meetings; **and**
- Participant rosters/sign-in sheets of stakeholder consultation meetings that include all Title IV, Part A required stakeholders.

The LEA/fiscal agent may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

b. Not In Compliance for LEA or one or more members of the SSA

If not in compliance, use the space below to describe how the LEA/fiscal agent plans to meet compliance the following year.

Evaluation of Program Effectiveness



PR3107 – Title IV, Part A, Compliance Report (draft) Part 3: Evaluation of Program Effectiveness



Program Compliance Self-Check Guide
Title IV, Part A

Evaluation of Program Effectiveness

Compliance Item 3:

The LEA periodically evaluated the effectiveness of the Title IV, Part A, programs and/or activities based on program objectives and intended outcomes. [Section 4106(e)(1)]

Strongest Documentation Recommended:

- Relevant pages of the most current District Improvement Plan (DIP) or other official auditable documentation that provide a description which includes:
 - 1) LEA's Title IV, Part A, evaluation process; **and**
 - 2) How LEA will periodically evaluate effectiveness; **and**
 - 3) Title IV, Part A, program objective(s); **and**
 - 4) Title IV, Part A, intended outcome(s) of funded program(s) and/or activities.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

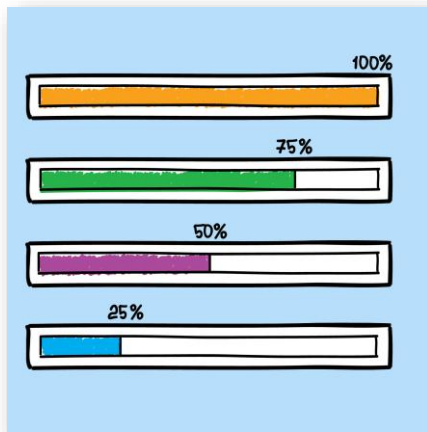
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Program Evaluation –All Activities



Each LEA that receives Title IV, Part A, funds must meet program evaluation requirements.



- Periodically evaluate the effectiveness of the activities to support the program objectives and intended outcomes
- Annually submit data via FPC WorkApp regarding how funds are used to meet the distribution requirements
- How the expenditure(s) will be evaluated to measure a positive impact on student achievement

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Program Effectiveness – Measuring the Impact



- Include engagement metrics *beyond* attendance
 - Success is measured by quality interactions, family feedback, and shared decision-making, not just attendance.
- Use SMART goals for tracking data and recording the impact and trends of the School System's Title IV, Part A, programs and activities
- Through documented ongoing stakeholder consultation meetings: request input/feedback, share progress data, milestones, and next steps



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From Blueprint to Inspection



From Blueprint to Inspection



- **Ready-to-use walkthrough tools and resources**
 - TEA Title IV, Part A, Program Guide
 - TEA Title IV, Part A, Frequently Asked Questions
 - TEA Program Compliance Self-Check Guide
 - TEA Title IV, Part A, Program Monitoring Validations (PMV) Protocol (*slides in draft*)
 - TEA Collaborative Comprehensive Needs Assessment Toolkit
 - Region 14 ESC Title IV, Part A, Capacity Building Initiative Tools
 - *Ready-to-use tools and resources can assist new staff in following the 'blueprint' and understanding how Title IV, Part A, compliance is met*

- **Examples that convert guidance into day-to-day evidence for schools**
 - Routine and documented stakeholder meetings throughout the year
 - Agenda including topics such as: Title IV, Part A, programs, activities, data, updates, and priorities
 - Sign-in sheets and/or surveys reflecting required stakeholder roles
 - Dated meeting notes and/or survey data, capturing feedback/suggestions from all stakeholders
 - Follow-up correspondence to stakeholders documenting decisions made

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From Blueprint to Inspection (continued)



▪ Red flag indicators*

- Did not attend TEA training sessions or review TEA PMV materials and videos
- Did not attend ESC training sessions or review documents with staff
- Consultation and documentation that doesn't include all Title IV, Part A, stakeholders
- Reusing program descriptions with no connection to current needs or evaluations
- Opting to rely on the evaluation of effectiveness results by statute requirement (once/every three years) only, versus reviewing needs and multiple data sources annually

*Can be identified **during a self-check before** submitting PMV requests



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From Blueprint to Inspection (continued)



▪ Self-Permit Review

- Pause and reflect on PMV documentation before submission
 - Has there been a review of all the TEA PMV materials and videos?
 - Are all documents being submitted for the year requested?
 - Are all documents labeled by date and program?
 - Are all documents aligned with the specific PMV requirement?
 - Has there been a connection with the ESC program staff to review all PMV documents before submission?


▪ Closing inspection that certifies your next steps are code-compliant

- Ensure the LEA evaluates and documents the program's effectiveness (*or not*)
- Document which programs/activities met the intended outcomes, or those needs adjusting
- Decide how results will impact the next year's comprehensive needs assessment and funding decisions



**Title IV, Part A, LEA Special Data
Collection for Public Reporting**

Title IV, Part A, LEA Special Data Collection for Public Reporting – <i>Sample</i> Timeline			
School System Data Required	SY 2026 (2025-2026)	SY 2025 (2024-2025)	SY 2024 (2023-2024)
Two Program Objectives and Measurable Outcomes (OMOs)	Submit by December 1, 2025	Submitted October 2024	Submitted March 2023
OMOs Initial Progress			Submitted March 2023
Initial Expenditures by Service and/or Content Area(s)			Submitted October 2024
OMOs Final Progress	Submit October 2026	Submit by December 1, 2025	Verification, certification, and submit by December 1, 2025
Final Expenditures by Service/Content Area (15-month performance period)	Submit October 2026	Submit by December 1, 2025	Verification, certification, and submit by December 1, 2025



**Region 14 Title IV, Part A - Capacity Building
State Initiative (CBSI)**

**ESC 14 Title IV, Part A
Capacity Building State Initiative Staff**



Dina Riggins
Consultant

Focus Areas:
Well-Rounded Education
Background: School Counseling



Tiffany Loftin
Digital Media Consultant

Focus Areas:
Initiative Digital & Media
Effective Use of Technology
Background: Instructional Technology



John Phillips, Ed.D.
Consultant

Focus Areas:
School Safety
Federal Compliance
Background: Administration, School Counseling



Rod Pruitt, M.A.
Coordinator

Focus Areas:
School Mental Health
Federal Compliance
Background: Mental Health Services

Website: [ESC 14 Title IV, Part A, Capacity Building State Initiative](#)
Email: t4si@esc14.net

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Region 14: Title IV, Part A, Capacity Building Initiative Resources




- [ESC 14 Title IV, Part A, Capacity Building State Initiative](#)
- [ESC 14 Title IV, Part A, Capacity Building Initiative: Searchable Resource Database](#)
- [88th Legislative Session - State School Safety and Mental Health Requirements](#) (Region 14 Title IV, Part A, CBI, presentation slides, 2023)
- [TEA 89th Legislature Updates - FAQs and Resources](#) (to view state requirements/mandates that could impact the allowability of using Title IV, Part A, funds on programs and activities)




**Title IV, Part A:
Resources and Support**



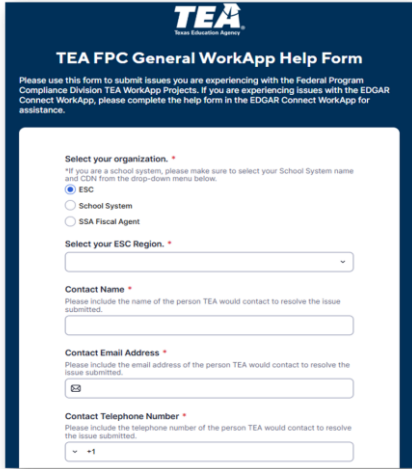
FPC WorkApp Help Forms – Formula Grants



Screenshot of the Form Link in the WorkApp environment:

 FPC General Help Form

Federal Program Compliance Division
WorkApp Help Forms
Federal Program Compliance Division
WorkApp Help Forms



TEA
Texas Education Agency

TEA FPC General WorkApp Help Form

Please use this form to submit issues you are experiencing with the Federal Program Compliance Division TEA WorkApp Projects. If you are experiencing issues with the EDGAR Connect WorkApp, please complete the help form in the EDGAR Connect WorkApp for assistance.

Select your organization. *
*If you are a school system, please make sure to select your School System name and CSN from the drop-down menu below.

ESC
 School System
 SSA Fiscal Agent

Select your ESC Region. *

Contact Name *
Please include the name of the person TEA would contact to resolve the issue submitted.

Contact Email Address *
Please include the email address of the person TEA would contact to resolve the issue submitted.

Contact Telephone Number *
Please include the telephone number of the person TEA would contact to resolve the issue submitted.

FPC WorkApp General Help Form:
<https://app.smartsheet.com/b/form/1b6692e7ac864fc682e8855d7d293fe9>

If School System or ESC staff are experiencing issues with the **EDGAR Connect WorkApp**, complete the help form available in the EDGAR Connect WorkApp for assistance.


WorkApp Technical Assistance




- [FPC Division General Smartsheet TEA WorkApp Training Video \(Dec. 2024\)](#)
- [Access TEA Smartsheet WorkApp System](#)
- [FPC General WorkApp Help Form](#)
- [General Smartsheet WorkApp Support & Passwords \(Smartsheet Product Team\)](#)

Title IV, Part A, Frequently Asked Questions (FAQs)

(September 2025)





Federal Program Compliance Division
ESSASupport@tea.texas.gov

Title IV, Part A Frequently Asked Questions

This document provides the answers to program-related questions received by the Division. You can also navigate through the document using the Bookmarks in your PDF viewer. The newest questions that have been added will be noted by ** and in blue font.

For questions or additional information, please contact us at ESSASupport@tea.texas.gov.

The [Supplement, Not Supplant requirement, ESEA Section 4110](#), requires that Title IV, Part A program funds be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities authorized under the program. For additional guidance related to the Supplement, Not Supplant requirement, refer to the [Supplement, Not Supplant Handbook](#).

*Questions and responses are organized by the following topic areas:


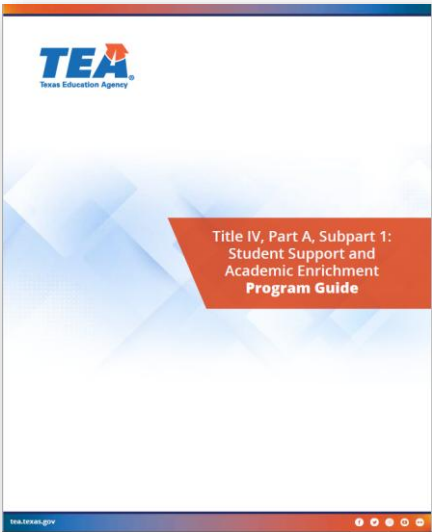

- [Federal Requirements](#)
- [Supplant, Not Supplant \(SNS\) Requirements](#)
- [Comprehensive Needs Assessment \(CNA\)](#)
- [Required Consultation and Stakeholders](#)
- [Use of Funds](#)
- [Professional Development and Travel](#)
- [Well-Rounded Education Opportunities](#)
- [Safe and Healthy Students](#)
- [Effective Use of Technology](#)
- [LEA Special Data Collection for Public Reporting](#)
- [Private Nonprofit \(PNP\) Equitable Services](#)
- [Mental Health Services](#)
- [Parent and Family Engagement](#)
- [Shared Services Agreement \(SSA\)](#)
- [Flexibility, Transferability, Waivers, and Carryover](#)
- [State Requirements](#)

The [Supplement, Not Supplant requirement, ESEA Section 4110](#), requires that Title IV, Part A program funds be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities authorized under the program. For additional guidance related to the Supplement, Not Supplant requirement, refer to the [Supplement, Not Supplant Handbook](#).

<https://tea.texas.gov/sites/default/files/T4AFAQ.pdf>

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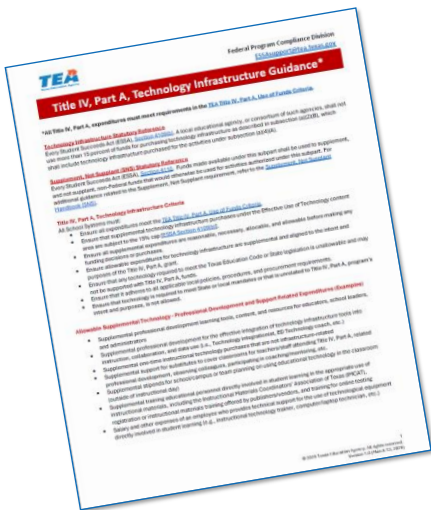
Title IV, Part A, Program Guide



<https://tea.texas.gov/sites/default/files/T4AProgramGuide.pdf>

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Title IV, Part A, Technology Infrastructure Guidance



Title IV, Part A, Technology Infrastructure Guidance document (*NEW TEA Resource, March 2026*)

- [Technology Infrastructure Statutory Reference – ESSA, section 4109\(b\)](#)
- [Supplemental, Not Supplant \(SNS\) Statutory Reference – ESSA, section 4110](#)
- [Title IV, Part A, Technology Infrastructure Criteria](#)
- [Allowable Supplemental Technology – Professional Development and Support Related Expenditures \(examples\)](#)
- [Allowable Supplemental Technology – Infrastructure Purchases \(examples\)](#)
- [Unallowable Technology Infrastructure Devices/Items \(examples\)](#)

Title IV, Part A, Technology Infrastructure Guidance Document:
<https://tea.texas.gov/finance-and-grants/grants/essa-program/t4atechinfrastructureguidance.pdf>

Title IV, Part A - Use of Funds Criteria (revised 2/2026)



Title IV, Part A, Subpart 1: Student Support and Academic Enrichment Grants Use of Funds

Statutory Reference*

Every Student Succeeds Act (ESSA), [Section 4110](#). Funds made available under this subpart shall be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities authorized under this subpart.

Allowable Costs Criteria

1. Ensure that the LEA has prioritized distribution of Title IV, Part A funds to applicable school campuses [ESSA, [Section 4106\(2\)\(A\)](#)]
2. Ensure that the LEA has consulted with private nonprofit (PNP) schools [ESSA, [Section 4106\(2\)\(B\)](#)];
3. Ensure that activities and/or resources are –
 - o Supplemental [ESSA, [Section 4110](#)];
 - o Identified in required [Comprehensive Needs Assessment](#) which includes data and all [stakeholders](#) [ESSA, Sections [4106\(2\)](#) and [4106\(c\)\(1\)](#)];
 - The planning process meets the requirements for continued consultation of stakeholders and coordination [ESSA, [Section 4106\(c\)\(2\)](#)];
 - o [Reasonable](#);
 - o Necessary to carry out the intent and purpose of the Title IV, Part A [program](#);
 - o Allocable; and
 - o Allowable under Title IV, Part A

<https://tea.texas.gov/sites/default/files/t4auseoffunds.pdf>

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Searching for Answers to Title IV, Part A, Questions?



- [Federal Program Compliance](#)
- [Title IV, Part A](#)
- [Title IV, Part A Program Webinar](#)
- [Title IV, Part A, Subpart 1 Program Guide](#)
- [Title IV, Part A Frequently Asked Questions](#)
- [Title IV, Part A, Use of Funds Criteria](#)
- [Title IV, Part A, Technology Infrastructure Guidance \(**NEW** – March 2026\)](#)
- [ESSA Consolidated Plan Application Program Guidelines](#)
- [Program-Specific ESSA Provisions and Assurances](#)
- [Collaborative Comprehensive Needs Assessment \(CCNA\) Toolkit](#)

Title IV, Part A, Resources: Education Service Centers' ESSA Basic Services Initiative Grant



Title IV, Part A, Training

- Three Content Areas: well-rounded education, safe & healthy students, effective uses of technology
- Comprehensive Needs Assessment
- Equitable Services and Compliance Requirements
- Program Information and Resources
- Program Updates
- Program Objectives, Intended Outcomes, and Evaluating Effectiveness of School System activities and requirements, processes, and submission of School System T4PA Special Data Collection
- Allowable Use of Funds and Compliance Requirements

Title IV, Part A, Technical Assistance and Support

- ESSA Consolidated Federal Grant Application
- ESSA Consolidated Compliance Reports
- Gun-Free Schools Reports
- Program Monitoring Validations
- Persistently Dangerous Schools

Title IV, Part A, Information Dissemination

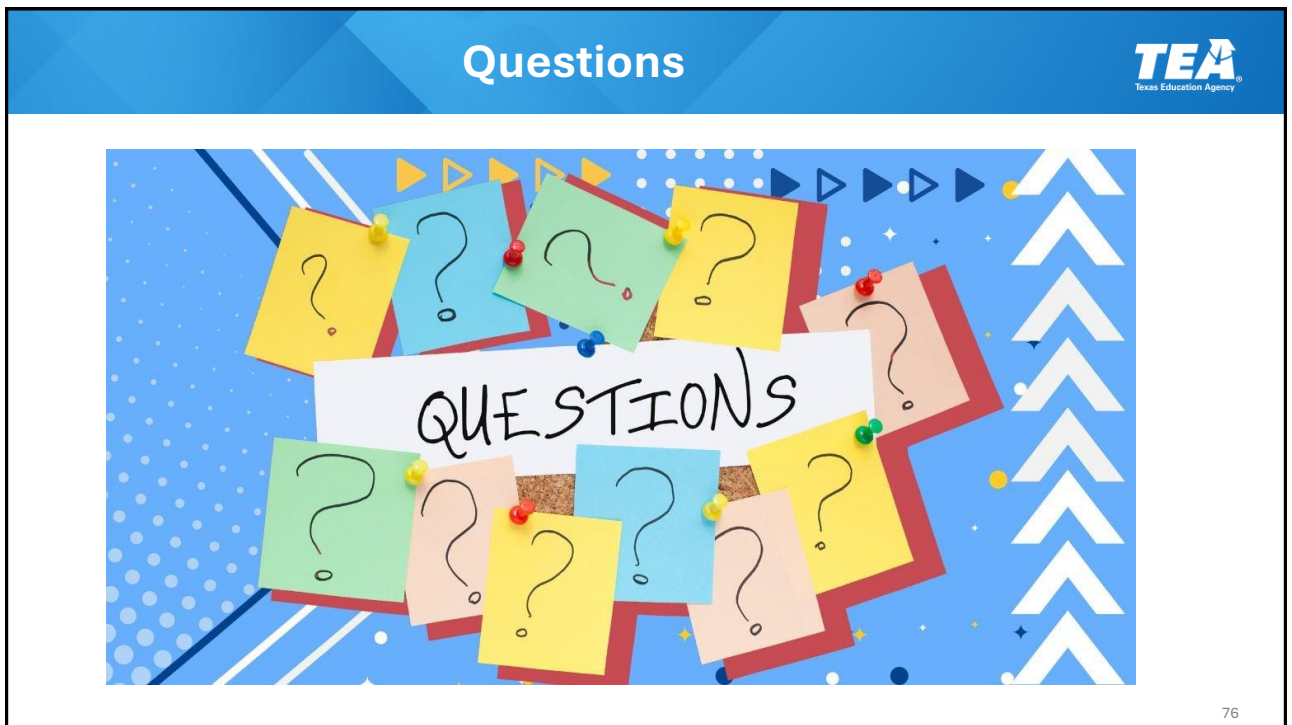



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ED Resources





- [ED Office of Elementary and Secondary Education: Safe and Supportive Schools](#)
- [ED Title IV, Part A, Student Support and Academic Enrichment Program](#)
- [ESSA Title IV, Part A Statute](#)
- [ED Non-Regulatory Guidance: Student Support and Academic Enrichment Grant](#)
- [Serving Special Populations: Family Engagement](#) (ED contractor resource - Title IV, Part A, Center)
- [ED National School Safety](#)





Presentation Feedback





FPC - Feedback

- Select Event Name: **Statewide - Compliance Under Construction: Building a Rock-Solid ESSA - Title IV, Part A, Monitoring and Self Check – LaNetra Guess, April 23, 2026**
- Select Program: **Title IV, Part A**
- Select a response for the training evaluation statements.
- Provide feedback for the open-ended items.
- Optional: Provide an email address if you would like a staff member to follow up with you regarding any additional feedback you may want to share.

<https://app.smartsheet.com/b/form/e1425a8ae38f4c869627ce01a91ec953>



TEA Contact Information



LaNetra Guess
Deputy Program Management Director
Title IV, Part A
Private Nonprofit (PNP) Ombudsman



Desk Phone Number
(512) 463-6939



Email Address
LaNetra.Guess@TEA.Texas.gov

Division Email Addresses
ESSASupport@TEA.Texas.gov or
PNPOmbudsman@TEA.Texas.gov

