



2023-2024 Random Validation Handbook for Ed-Flex Title I, Part A Schoolwide Eligibility Waiver

Federal Program Compliance Division

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Introduction

This Handbook is intended to assist LEAs in completing the 2023-2024 Random Validation process for the Ed-Flex Title I, Part A Schoolwide Eligibility Waiver documentation requirement.

Although this document has been prepared for use by those LEAs that are selected to participate in the 2023-2024 Random Validation, LEAs that are not selected may still find the Handbooks useful as tools for conducting a self-check, to ascertain their own compliance status and to determine where improvements may be made.

The Handbooks are available on the Federal Program Compliance Division’s web page under “Division Resources.”

Ed-Flex Random Validation Selected Requirement

Ed-Flex Requirement	Title I, Part A Schoolwide Eligibility Waiver
Ed-Flex Statutory Citation and Language	Each LEA that requests a waiver under Ed-Flex shall “submit an application to the State educational agency at such time, in such manner, and containing such information as the State educational agency may reasonably require. Each such application shall— (i) indicate each Federal program affected and each statutory or regulatory requirement that will be waived; (ii) describe the purposes and overall expected results of waiving each such requirement, which may include innovative methods to leverage resources to improve program efficiencies that benefit students; (iii) describe, for each school year, specific, measurable, educational goals for each local educational agency, educational service agency, or school affected by the proposed waiver, and for the students served by the local educational agency, educational service agency, or school who are affected by the waiver; (iv) explain why the waiver will assist the local educational agency, educational service agency, or school in reaching such goals; and (v) in the case of an application from a local educational agency or educational service agency, describe how the agency will meet the requirements of paragraph (7).” [20 U.S. Code § 5891b]
ESSA Program-Specific Provisions & Assurances	The ESSA Program-Specific Provisions and Assurances (pp 48-49) describe the Statewide Administrative Waivers that Texas has granted under the Ed-Flex Program and refer LEAs to Information concerning the availability of other types of Ed-Flex Waivers, which 3 can be found at: https://tea.texas.gov/finance-and-grants/grants/essa-program/edflexwaivertypes.pdf . In Texas, LEAs request the Schoolwide Eligibility waiver as part of their Consolidated Federal Grant Application and complete a Supporting Documentation form containing the above-referenced information, which they maintain locally, to be submitted to TEA on request.
Compliance Report Program Compliance Self-Check Item	[Note: There is currently no Ed-Flex SW eligibility waiver program compliance self-check item on the Compliance Report.]

Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor. To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally. The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

Please include the following selected documentation referenced in the table below in order to demonstrate compliance with the program requirement described above. TEA will utilize the following table to determine if the LEA submitted sufficient documentation to support LEA compliance. LEAs that submit sufficient documentation to support LEA compliance will be assigned a “Met Requirement” status for the requirement. LEAs that submit insufficient documentation will be assigned an “Improvement Needed” status for the requirement. LEAs with an “Improvement Needed” status will be provided technical assistance by their regional education service center (ESC). These LEAs will be required to resubmit documentation for the 2024–2025 school year by September 30, 2024, to ensure the program requirements are implemented correctly.

Type of Selected Documentation Required	Description of Acceptable Documentation
<p>1.1 The statutorily required application information is contained in the supporting documentation form that the LEA completed (and retained locally, subject to TEA or auditor request) when requesting its Ed-Flex SW eligibility waiver through the Consolidated Federal Grant Application.</p>	<p>The LEA is asked to submit a copy of the supporting documentation form that it completed as part of its request to implement an Ed-Flex Schoolwide Eligibility waiver.</p>

Instructions for Assembling Documentation

1. Assemble the requested material into **one PDF file**.
 - a. Ensure that the PDF file contains the required documentation as described in the 2023-2024 Ed-Flex – Title I, Part A Schoolwide Eligibility Waiver Random Validation Handbook.
 - b. Do not submit extraneous documentation.
 - c. When compiling the PDF file for submission, please ensure that—
 - the pages are not compressed or reduced in size;
 - any landscape pages are oriented with their right-hand edge at the top;
 - the documentation pages included are **numbered consecutively in the lower right corner**. These page numbers, which may be hand-written if that is most

convenient, should be the ones referenced in the online WorkApp Details Form.

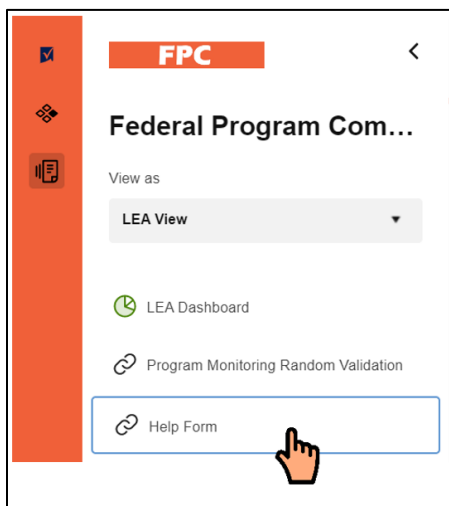
Instructions for Submitting Documentation

WorkApp System Access

Please note that the LEA staff submitting documentation will need access to the Department of Grant Compliance and Administration WorkApp System.

Currently, the superintendent, chief financial officer, and federal program director listed in AskTED have been given access to the system. To add or change the individuals with access to the system, **someone with existing access** must request access for the new individual via the Help Form in the Federal Program Compliance Program Monitoring Random Validations WorkApp as referenced below. The additional staff to be added to the system must agree to certain security requirements.

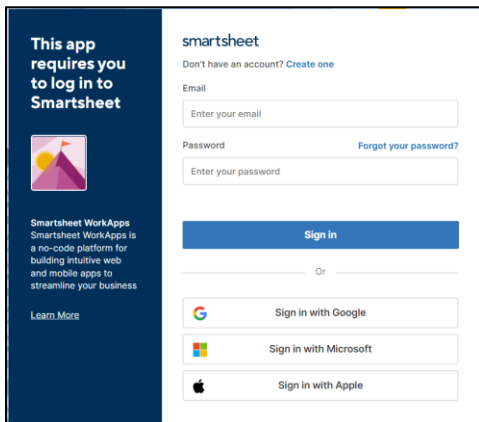
NOTE: Each LEA is only guaranteed a certain number of access accounts at this time.



Submitting Documentation

Please note that some of the screen captures referenced in the steps below will show information that is specific to a Title I, Part A program requirement. When you log in to the system, the information referenced will include the information specific to the program and requirement for which your LEA was selected to submit documentation.

1. Login to the Department of Grant Compliance and Administration WorkApp System:
<https://workapps.smartsheet.com/>.



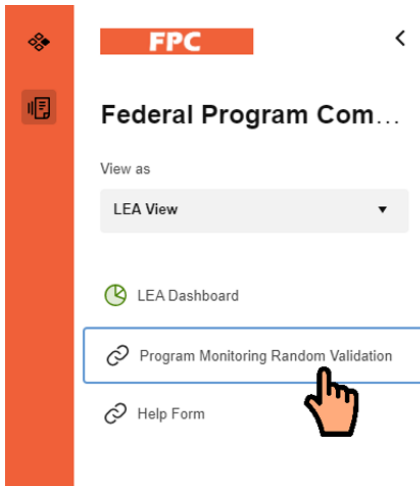
2. Click on the Federal Program Compliance Division WorkApp Tile.



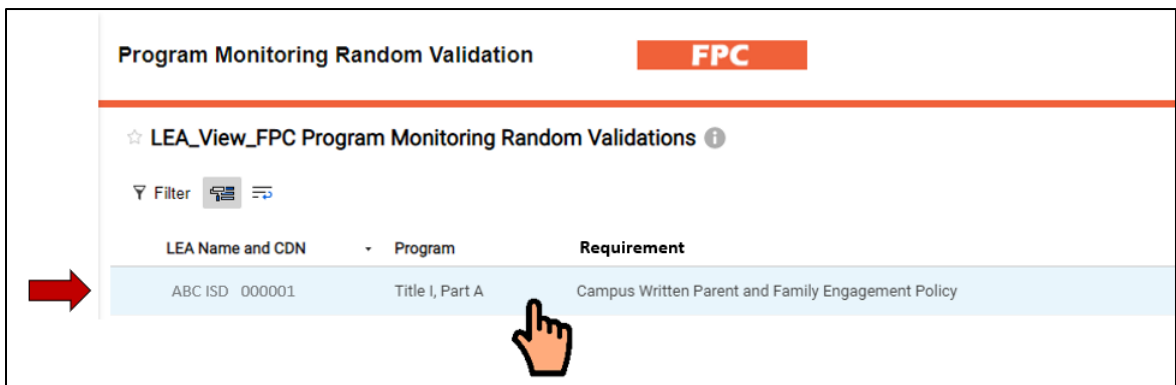
Tip: If the FPC WorkApp Tile is not showing, click on the menu icon to display all the Apps.



3. Click on the Program Monitoring Random Validation Link.



4. Click on the Random Validation Line to access the Details Form – Data & Attachments Tabs.



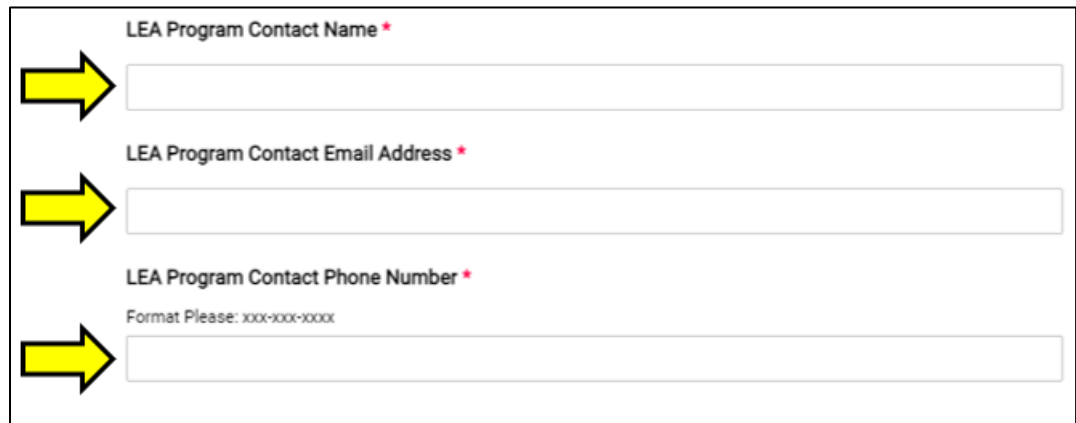
5. Complete the Details Form – Data Tab.

- a. Type the page number(s) where the requirement(s) is addressed in the LEA’s documentation to be submitted in the “Requested Documentation Page Number(s)” field(s). Be as specific as possible in order to facilitate the auditor’s review process. For example, if relevant items appear on pages 2, 4, and 5, please list pages 2, 4, and 5, rather than pages 1-5 in the “Requested Documentation Page Number(s)” field. **These fields are required.**

The screenshot shows a 'Details' form with the following sections:

- LEA Name and CDN:** ABC ISD 000001
- Program:** Title I, Part A
- Requirement:** Campus Written Parent and Family Engagement Policy
- Requested Documentation 1:**
 - Page Number(s) Required. (Empty field)
 - Description: The relevant page(s) of the Campus Written Parent and Family Engagement (PFE) Policy that references the description of the method(s) of policy distribution and the language(s) in which the policy was distributed to parents and family members of participating students.
 - Requested Documentation 1 Page Number(s):** Page Number(s) Required. (Empty field, highlighted with a yellow arrow)
- Requested Documentation 2:**
 - Page Number(s) Required. (Empty field)
 - Description: Evidence of policy distribution to parents and family members of participating students.
 - Requested Documentation 2 Page Number(s):** Page Number(s) Required. (Empty field, highlighted with a yellow arrow)

- b. Scroll down to access the rest of the form. Complete the remaining fields with the information for the LEA Program Contact. The LEA Program Contact should be the person that is most familiar with the documentation submitted. This is the person that TEA will contact if additional documentation is needed and/or if clarification is needed on documentation submitted. ***The following fields are required.**
- Type the name of the LEA Program Contact in the “LEA Program Contact” field.
 - Type the email address of the LEA Program Contact in the “LEA Program Contact Email Address” field.
 - Type the phone number of the LEA Program Contact in the “LEA Program Contact Phone #” field. Please use the following format: XXX-XXX-XXXX.



A screenshot of a form section with three input fields. Each field is preceded by a yellow arrow pointing to the right. The first field is labeled "LEA Program Contact Name *". The second field is labeled "LEA Program Contact Email Address *". The third field is labeled "LEA Program Contact Phone Number *" and includes the text "Format Please: xxx-xxx-xxxx" below it.

- c. Add LEA Comments. This section is optional if the LEA would like to provide additional information that will be helpful for the reviewer.



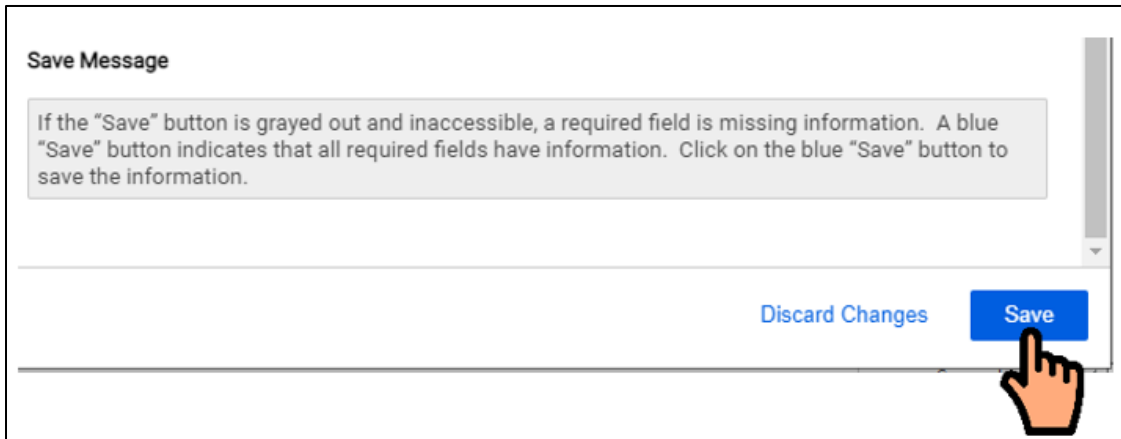
A screenshot of a form section with a single text area. The text area is labeled "LEA Comment" and is empty.

- d. Select your LEA’s Submission Status from the following options available: In Process (Saved data/will complete later) or Submission Complete (Data entered and documentation attached). ***Selecting a status is required. If the first option remains selected after the submission due date, your submission is not considered complete, and TEA will contact you.**



A screenshot of a form section with a dropdown menu. The dropdown menu is labeled "LEA Submission Status *". A hand icon is pointing to the dropdown arrow on the right side of the menu.

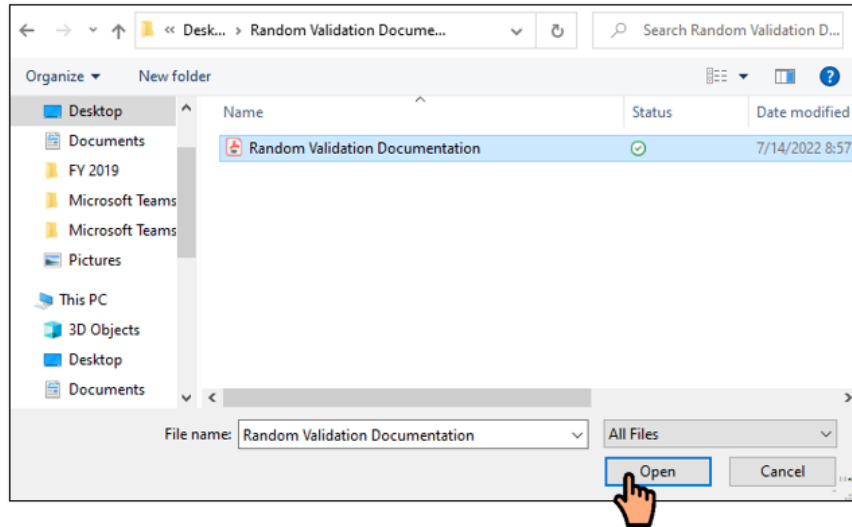
6. Click “Save” in the bottom right-hand corner of the Details Form – Data Tab. This will save the information that was typed in the details form under the data tab (documentation page numbers, LEA Contact information, and LEA Comments, if applicable). **This step is required.**



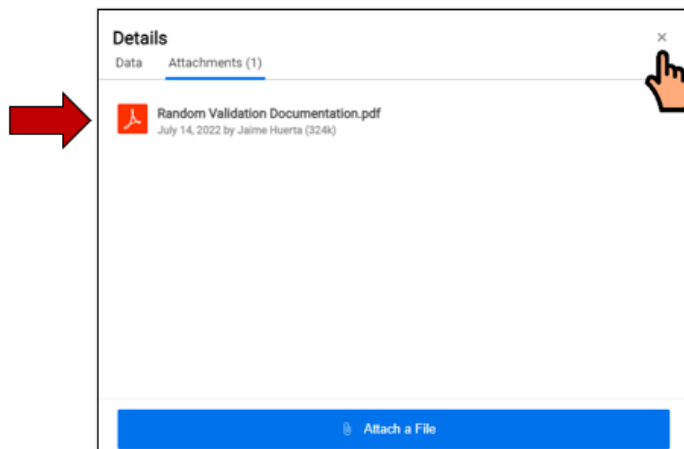
7. Attach the requested documentation in pdf file format. All documentation should be attached as a single file. **Attaching documentation is required.**
 - a. To attach the PDF file containing the LEA’s documentation, click on the “Attachments” Tab on the Details Form; then click on the “Attach a File” button located at the bottom of the Details Form – Attachments Tab.




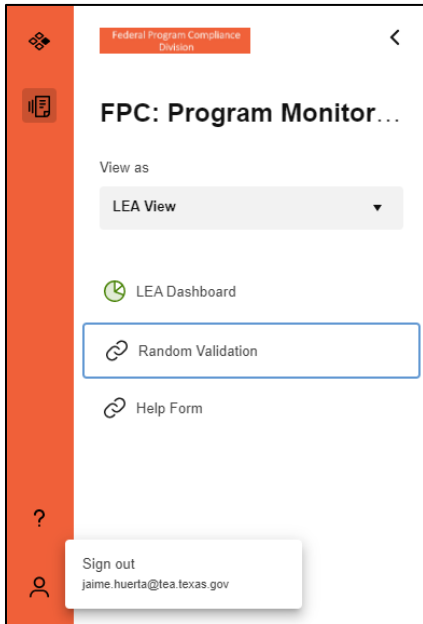
- b. Browse your computer and locate the documentation file to be attached; select the file; the File Name will appear in your file browser; click on “Open;” confirm that the file is listed as an attachment in the Details Form – Attachments Tab.



- c. Confirm that the document you are attaching is listed as an Attachment. Click on the “x” at the top right-hand corner to close the Details Form.



8. Sign out of the Department of Grant Compliance and Administration WorkApp System by clicking on the  icon located in the bottom left-hand corner of the window and selecting "Sign out."



Phase 2 Random Validation Timeline

Task	Due Date
Federal Program Compliance (FPC) Random Validation (RV) WorkApp LEA contacts receive initial notification regarding program selection. Education Service Center (ESC) FPC RV WorkApp Contacts will be copied.	November 28, 2023
ESC staff will contact LEA to: <ul style="list-style-type: none"> • confirm receipt of initial notice; • confirm access to FPC RV WorkApp for appropriate staff; • offer technical assistance. 	Contact within 1 business day after initial notification to LEA. Technical assistance through December 12, 2023
Deadline to submit documentation via the FPC Random Validation WorkApp System.	December 12, 2023
TEA program staff complete preliminary reviews.	February 5, 2024
TEA contacts ESC regarding LEAs that receive “Improvement Needed” status for ESC review and comment before TEA notifies LEAs.	February 15, 2024 – March 1, 2024
FPC RV WorkApp LEA contacts receive notification of validation results. ESC FPC RV WorkApp Contacts will be copied.	March 18, 2024
ESC technical assistance deadline if LEA receives “Improvement Needed” status regarding any items.	September 30, 2024
ESC submits documentation in the FPC RV WorkApp System that technical assistance was offered/provided.	September 30, 2024
If the LEA receives “Improvement Needed” status on a program requirement, the LEA will be required to <u>submit documentation for 2024-2025 for the same requirement.</u> The documentation must be submitted in the FPC RV WorkApp System.	September 30, 2024