



# **2022-2023 Random Validation Handbook for LEAs Providing Private Nonprofit (PNP) Equitable Services**

## **LEA Documented Private Nonprofit Equitable Services Amounts**

Federal Program Compliance Division

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## Introduction

This Handbook is intended to assist LEAs in completing the 2022-2023 Random Validation process for the ***LEA Documented Profit Nonprofit (PNP) Equitable Services Amounts***.

Although this document has been prepared for use by those LEAs that are selected to participate in the 2022-2023 Random Validation, LEAs that are not selected may still find the Handbook useful as a tool for conducting a self-check, to ascertain their own compliance status and to determine where improvements may be made.

The Handbook is available on the Federal Program Compliance Division's web page under "Division Resources."

PNP Random Validation Selected Requirement

ESSA Statutory Requirement	LEA Documented Profit Nonprofit (PNP) Equitable Services Amounts
<p><b>ESSA Statutory Citation and Language and Code of Federal Regulations (C.F.R.) and Language</b></p>	<p><b><u>Title I, Part A Program</u></b></p> <p><b><u>Section 1117(a)(4)(A)</u></b></p> <p><b>4. Expenditures.</b></p> <p>(A) Determination.</p> <p>i. In General.—Expenditures for educational services and other benefits to eligible private school children shall be equal to the proportion of funds allocated to participating school attendance areas based on the number of children from low-income families who attend private schools.</p> <p>ii. Proportional Share.—The proportional share of funds shall be determined based on the total amount of funds received by the local educational agency under this part prior to any allowable expenditures or transfers by the local educational agency.</p> <p><b><u>Title VIII Programs (Titles IC, IIA, IIIA, IVA, IVB)</u></b></p> <p><b><u>Section 8501(a)(4)(A-B)</u></b></p> <p><b>4. Expenditures.</b></p> <p>(A) In General.—Expenditures for educational services and other benefits provided under this section for eligible private school children, their teachers, and other educational personnel serving those children shall be equal, taking into account the number and educational needs of the children to be served, to the expenditures for participating public school children.</p> <p>(B) Obligation of Funds.—Funds allocated to a local educational agency for educational services and other benefits to eligible private school children shall be obligated in the fiscal year for which the funds are received by the agency.</p> <ul style="list-style-type: none"> <li>▪ <a href="#">Code of Federal Regulations (C.F.R.), Title 34, Subtitle B, Chapter II, Subpart E, Section 299.7(a)</a></li> </ul>

<p><a href="#"><u>ESSA Program-Specific Provisions &amp; Assurances</u></a></p>	<p><b>Assurances Relating to Title I, Part A Program</b></p> <ul style="list-style-type: none"> <li>▪ The size and scope of the equitable services to be provided to the eligible private school children, the proportion of funds that is allocated for such services, and how that proportion of funds is determined. (#9e, page 19)</li> <li>▪ The LEA assures it will keep documentation of program descriptions, allowable activities, and expenditures locally and make available upon TEA request for all applicable private non-profit requirements in Section 1117. TEA may request a description of services and use-of-funds documentation later through compliance reports or other TEA reports. (#21, page 21)</li> </ul> <p><b>Assurances Relating to Title VIII Programs</b>  Title I, Part C (#4e, page 29); Title II, Part A (#11, page 40); Title III, Part A English Learner (#4e, page 41); Title III, Part A Immigrant (#4e, page 43); Title IV, Part A (#4e, page 47)</p> <ul style="list-style-type: none"> <li>▪ The LEA assures it will keep documentation of program descriptions, allowable activities, and expenditures locally and make available upon TEA request for all applicable private non-profit requirements in Section 8501. TEA may request a description of services and use-of-funds documentation later through compliance reports or other TEA reports.</li> <li>▪ The LEA will comply with the uniform provisions for providing services to private schools as specified in <a href="#"><u>Title VIII, Part F, Subpart 1, Private Schools, Sections 8501-8504.</u></a></li> </ul>
<p><b>Compliance Report  Program Compliance  Self-Check Item</b></p>	<p>The LEA documented the equitable services amounts used and provided a detailed explanation of the equitable services amounts provided to PNP officials for all applicable participating programs.</p>

Documenting overall compliance for a program requirement may require several forms of documentation to be maintained locally and available upon request by TEA and/or an auditor. To ensure overall compliance with program requirements, LEAs should refer to the Program Guide and/or other program-related resources that reference the multiple forms of documentation required to be maintained locally. The documentation requested for submission during the random validation process may not include all forms of documentation that are required to be maintained locally.

Please include the following selected documentation referenced in the table below in order to demonstrate compliance with the program requirement described above. TEA will utilize the following table to determine if the LEA submitted sufficient documentation to support LEA compliance. LEAs that submit sufficient documentation to support LEA compliance will be assigned a “Met Requirement” status for the requirement. LEAs that submit insufficient documentation will be assigned an “Improvement Needed” status for the requirement. LEAs with an “Improvement Needed” status will be provided technical assistance by their regional education service center (ESC). These LEAs will be required to resubmit documentation for the 2023–2024 school year by September 29, 2023, to ensure the program requirements are implemented correctly.

<b>Type of Selected Documentation Required</b>	<b>Description of Acceptable Documentation</b>
<p><b>1.1</b></p> <p><b>Written Explanation of PNP Equitable Services Amounts</b></p>	<ul style="list-style-type: none"> <li>▪ Documentation LEA informed PNP officials of fiscal requirements that include equitable services amounts for 1 participating private school campus               <ul style="list-style-type: none"> <li>○ (i.e., agenda, meeting minutes, LEA program summaries, timeline of professional development travel and PNP purchase requests, calendar of program services with equitable services amounts, or other documentation meeting requirement); <b>or</b></li> </ul> </li> <li>▪ Documentation LEA shared calculated equitable services amounts by program for 1 participating PNP school campus               <ul style="list-style-type: none"> <li>○ (i.e., LEA calculations by PNP campus and program level, calculation formulas per PNP campus, etc.); <b>or</b></li> </ul> </li> <li>▪ Written procedures and documentation that provided verification/tracked LEA PNP purchases that include equitable services amounts for 1 participating private school campus</li> </ul>

## Instructions for Assembling Documentation

1. Assemble the requested material into **one PDF file**.
  - a. Ensure that the PDF file contains the required documentation as described in this 2022-2023 **LEA Documented Profit Nonprofit (PNP) Equitable Services Amounts** Random Validation Handbook.
  - b. Do not submit extraneous documentation.
  - c. When compiling the PDF file for submission, please ensure that—
    - the pages are not compressed or reduced in size;
    - any landscape pages are oriented with their right-hand edge at the top;
    - the documentation pages included are **numbered consecutively in the lower right corner**. These page numbers, which may be hand-written if that is most convenient, should be the ones referenced in the online WorkApp Details Form.

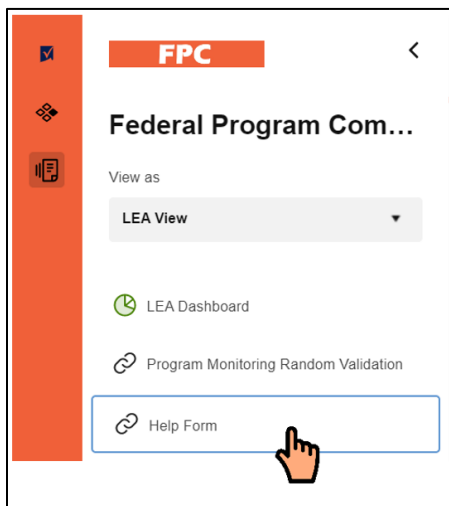
## Instructions for Submitting Documentation

### WorkApp System Access

Please note that the LEA staff submitting documentation will need access to the Department of Grant Compliance and Administration WorkApp System.

Currently, the superintendent, chief financial officer, and federal program director listed in AskTED have been given access to the system. To add or change the individuals with access to the system, **someone with existing access** must request access for the new individual via the Help Form in the Federal Program Compliance Program Monitoring Random Validations WorkApp as referenced below. The additional staff to be added to the system must agree to certain security requirements.

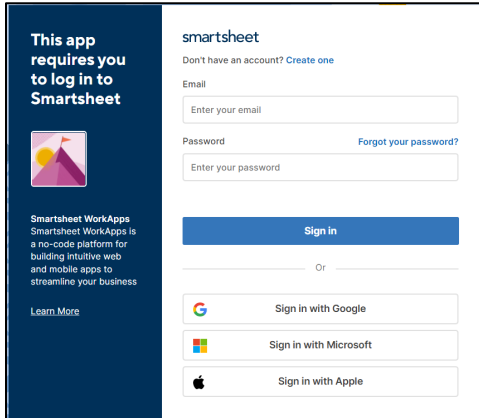
NOTE: Each LEA is only guaranteed a certain number of access accounts at this time.



## Submitting Documentation

Please note that some of the screen captures referenced in the steps below will show information that is specific to a Title I, Part A program requirement. When you log in to the system, the information referenced will include the information specific to the program and requirement for which your LEA was selected to submit documentation.

1. Login to the [Department of Grant Compliance and Administration WorkApp System](#).

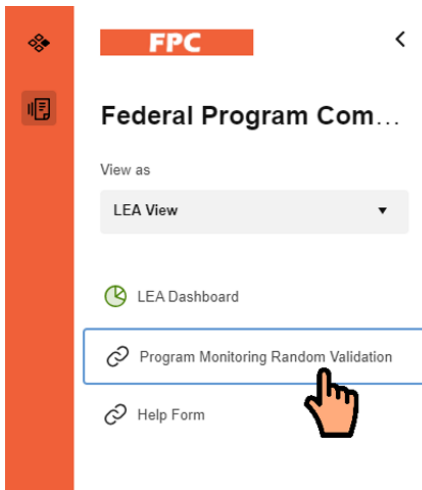


2. Click on the Federal Program Compliance Division WorkApp Tile.

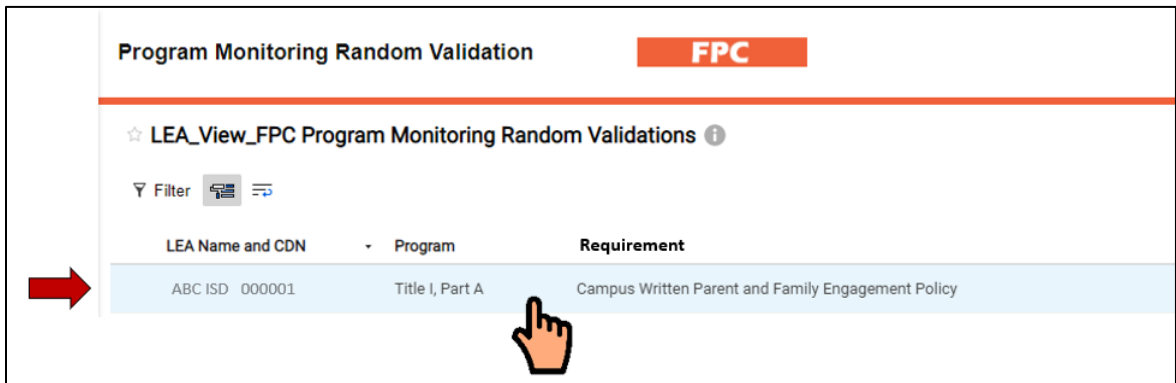


3. Click on the Program Monitoring Random Validation Link.





4. Click on the Random Validation Line to access the Details Form – Data & Attachments Tabs.



5. Complete the Details Form – Data Tab.
  - a. Type the page number(s) where the requirement(s) is addressed in the LEA’s documentation to be submitted in the “Requested Documentation Page Number(s)” field(s). Be as specific as possible in order to facilitate the auditor’s review process. For example, if relevant items appear on pages 2, 4, and 5, please list pages 2, 4, and 5, rather than pages 1-5 in the “Requested Documentation Page Number(s)” field. **These fields are required.**

### Details ×

Data   Attachments (0)

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**LEA Name and CDN**

ABC ISD 000001

**Program**

Title I, Part A

**Requirement**

Campus Written Parent and Family Engagement Policy ▼

**Requested Documentation 1**

Page Number(s) Required.

The relevant page(s) of the Campus Written Parent and Family Engagement (PFE) Policy that references the description of the method(s) of policy distribution and the language(s) in which the policy was distributed to parents and family members of participating students.

**Requested Documentation 1 Page Number(s)**

Page Number(s) Required.

**Requested Documentation 2**

Page Number(s) Required.

Evidence of policy distribution to parents and family members of participating students.

**Requested Documentation 2 Page Number(s)**

Page Number(s) Required.

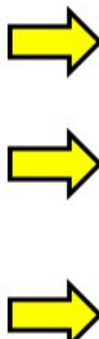
- b. Scroll down to access the rest of the form. Complete the remaining fields with the information for the LEA Program Contact. The LEA Program Contact should be the person that is most familiar with the documentation submitted. This is the person that TEA will contact if additional documentation is needed and/or if clarification is needed on documentation submitted. **\*The following fields are required.**
- i. Type the name of the LEA Program Contact in the “LEA Program Contact” field.
  - ii. Type the email address of the LEA Program Contact in the “LEA Program Contact Email Address” field.
  - iii. Type the phone number of the LEA Program Contact in the “LEA Program Contact Phone #” field. Please use the following format: XXX-XXX-XXXX.

LEA Program Contact Name \*

LEA Program Contact Email Address \*

LEA Program Contact Phone Number \*

Format Please: xxx-xxx-xxxx



- c. Add LEA Comments. This section is optional if the LEA would like to provide additional information that will be helpful for the reviewer.

LEA Comment

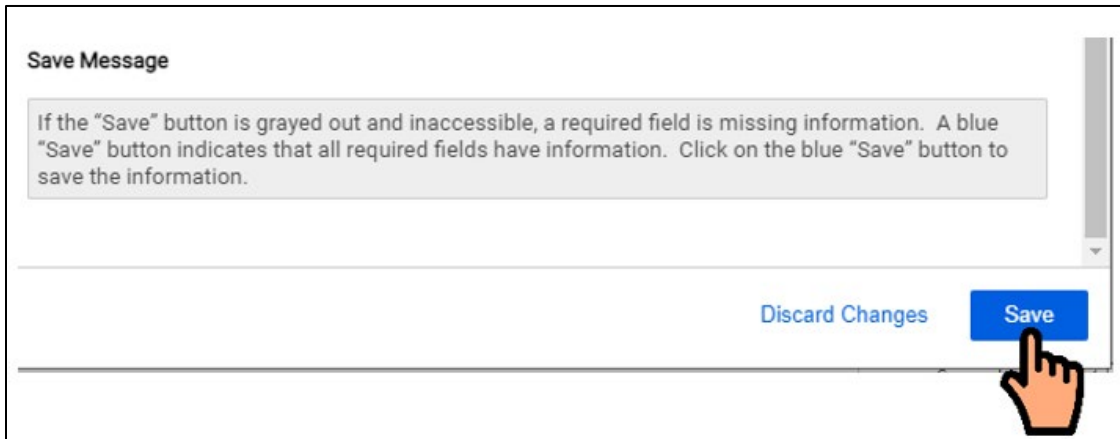


- d. Select your LEA's Submission Status from the following options available: In Process (Saved data/will complete later) or Submission Complete (Data entered and documentation attached). **\*Selecting a status is required. If the first option remains selected after the submission due date, your submission is not considered complete, and TEA will contact you.**

LEA Submission Status \*



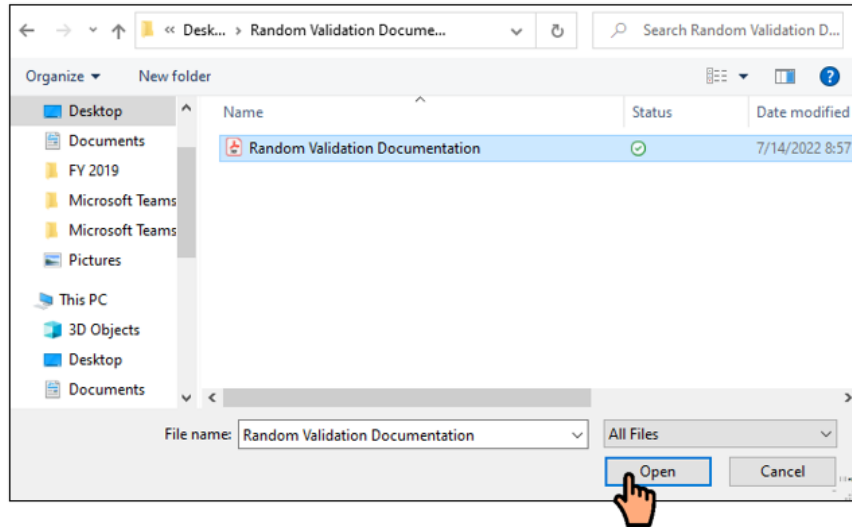
6. Click “Save” in the bottom right-hand corner of the Details Form – Data Tab. This will save the information that was typed in the details form under the data tab (documentation page numbers, LEA Contact information, and LEA Comments, if applicable). **This step is required.**



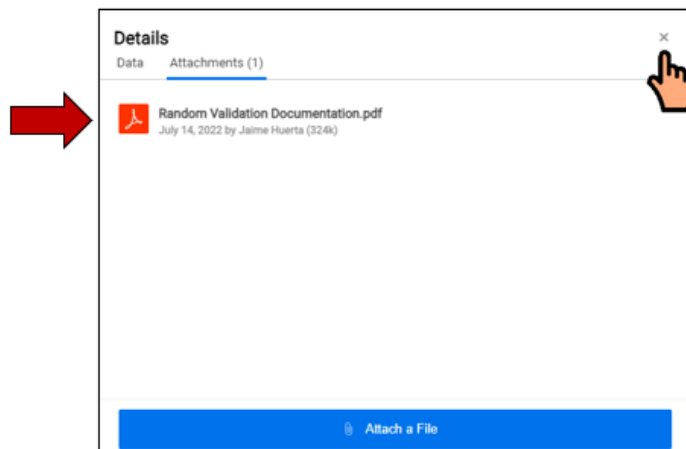
7. Attach the requested documentation in pdf file format. All documentation should be attached as a single file. **Attaching documentation is required.**
  - a. To attach the PDF file containing the LEA’s documentation, click on the “Attachments” Tab on the Details Form; then click on the “Attach a File” button located at the bottom of the Details Form – Attachments Tab.




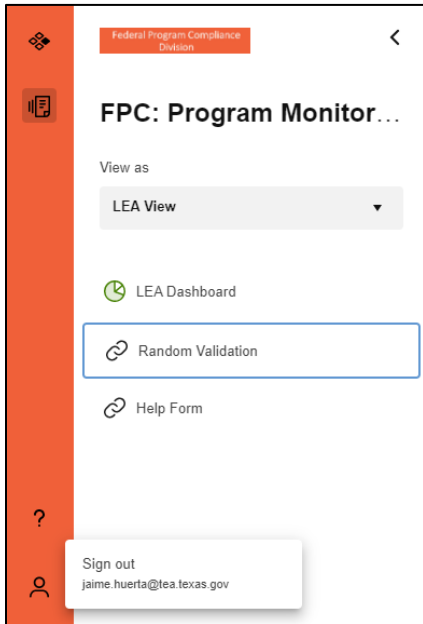
- b. Browse your computer and locate the documentation file to be attached; select the file; the File Name will appear in your file browser; click on “Open;” confirm that the file is listed as an attachment in the Details Form – Attachments Tab.



- c. Confirm that the document you are attaching is listed as an Attachment. Click on the “x” at the top right-hand corner to close the Details Form.



8. Sign out of the Department of Grant Compliance and Administration WorkApp System by clicking on the  icon located in the bottom left-hand corner of the window and selecting "Sign out."



## Phase 2 Random Validation Timeline

Task	Due Date
Federal Program Compliance (FPC) Random Validation (RV) WorkApp LEA contacts receive initial notification regarding program selection. Education Service Center (ESC) FPC RV WorkApp Contacts will be copied.	<b>November 28, 2022</b>
ESC staff will contact LEA to: <ul style="list-style-type: none"> <li>• confirm receipt of initial notice;</li> <li>• confirm access to FPC RV WorkApp for appropriate staff;</li> <li>• offer technical assistance.</li> </ul>	Contact within 1 business day after initial notification to LEA. Technical assistance through <b>December 9, 2022</b>
<b>Deadline to submit documentation via the FPC Random Validation WorkApp System.</b>	<b>December 12, 2022</b>
TEA program staff complete preliminary reviews.	<b>February 3, 2023</b>
TEA contacts ESC regarding LEAs that receive “Improvement Needed” status for ESC review and comment before TEA notifies LEAs.	<b>February 20, 2023 – March 3, 2023</b>
FPC RV WorkApp LEA contacts receive notification of validation results. ESC FPC RV WorkApp Contacts will be copied.	<b>March 14, 2023</b>
ESC technical assistance deadline if LEA receives “Improvement Needed” status regarding any items.	<b>September 28, 2023</b>
ESC submits documentation in the FPC RV WorkApp System that technical assistance was offered/provided.	<b>September 28, 2023</b>
If the LEA receives “Improvement Needed” status on a program requirement, the LEA will be required to <u>submit documentation for 2023-2024 for the same requirement.</u> The documentation must be submitted in the FPC RV WorkApp System.	<b>September 29, 2023</b>