

2024-2025 Department of Grant Compliance and Administration Risk Assessment Indicators

Our Process, Data, and Resources
June 03, 2024

Cal Lopez, Federal Compliance Officer
Department of Grants Compliance and Administration
Texas Education Agency



GCA - Check-In

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TEA
Texas Education Agency

Training & Presentation Check-In

Department of Grant Compliance and Administration

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Department of Grant Compliance and Administration

1. This presentation is intended solely to provide general information and guidance to Texas LEAs, ESCs, non-profit subgrantees, and participating Private Schools receiving equitable services. The content in this presentation reflects the Texas Education Agency's current understanding of statute and applicable federal guidance.
2. The content of this presentation is subject to change as a result of future guidance and or other updates provided by federal agencies with regulatory oversight of these programs.
3. This presentation does not constitute legal advice, and entities are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting upon the information provided.

Presentation Acronyms

FCO: Federal Compliance Officer

FFCR: Federal Fiscal Compliance and Reporting

FFM: Federal Fiscal Monitoring

FPC: Federal Program Compliance

GA: Grant Administration

GCA: Department of Grant Compliance and Administration

LEAs: School districts and open-enrollment charter schools

NCR: Non-Compliance Resolution

SMD: Special Monitoring Division

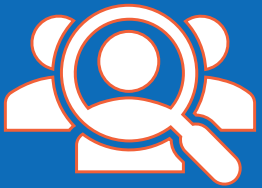
Agenda

- 2024-2025 GCA LEA Risk Assessment Indicators and Data
- Reintroduction of Previous Indicators for 2025-2026
- New Risk Assessment Resources



2024–2025 LEA Risk Assessment Indicators and Data

2 CFR§ 200.332 Requirements for Pass-Through Entities



TEA as a pass-through entity is required under Title 2 of the Code of Federal Regulations (CFR), 2 CFR §200.332(b), to evaluate each sub-recipient **risk of non-compliance** with Federal statutes, regulations, and the terms and conditions of the sub-award for purposes of determining the appropriate sub-recipient monitoring as described in 2 CFR §200.332(d)(e), which may include the sub-recipients results of previous audits, including **Single-Audits** in accordance with 2 CFR §200.332(f), **changes to key personnel**, and other factors that may reflect any patterns of non-compliance.

Department of Grant Compliance and Administration

Annual Risk Assessment



To comply with this requirement, the Federal Compliance Officer conducts an annual risk assessment of all sub-recipients to determine and mitigate any potential risk of non-compliance. Based on the outcome of the annual risk assessment, sub-recipients are assigned a risk status of low, medium, or high, which is evaluated annually.

GCA Divisional Risk Assessment Data

**Grant
Administration**

**GA Compliance
Data
(5 years)**

**Federal Fiscal
Monitoring**

**FFM Monitoring Data
(5 years)**

**Federal Fiscal
Compliance and
Reporting**

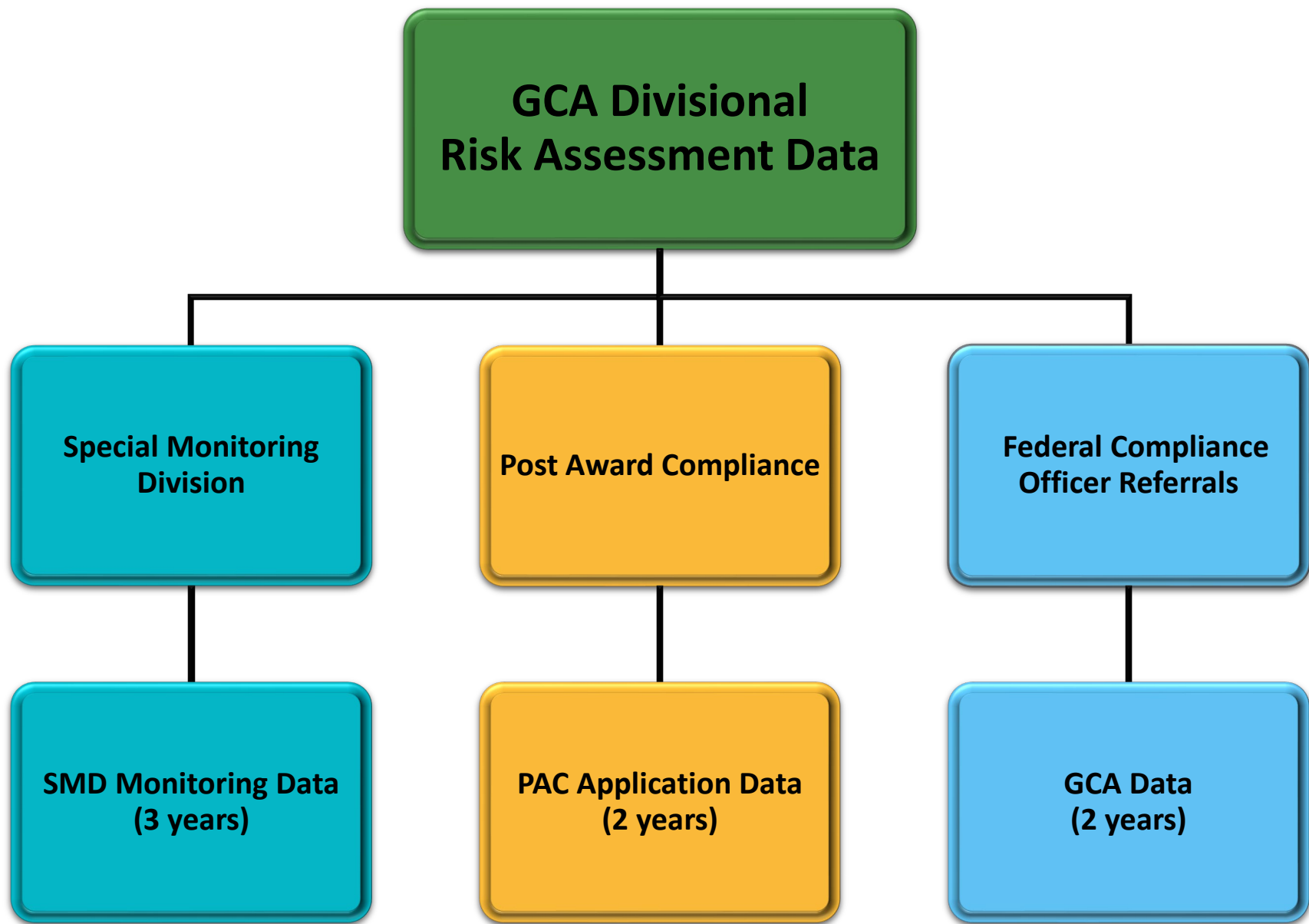
**FFCR IDEA-B MOE
Final Reports
(3 years)**

**Single-Audit
Management
Decision Letters**

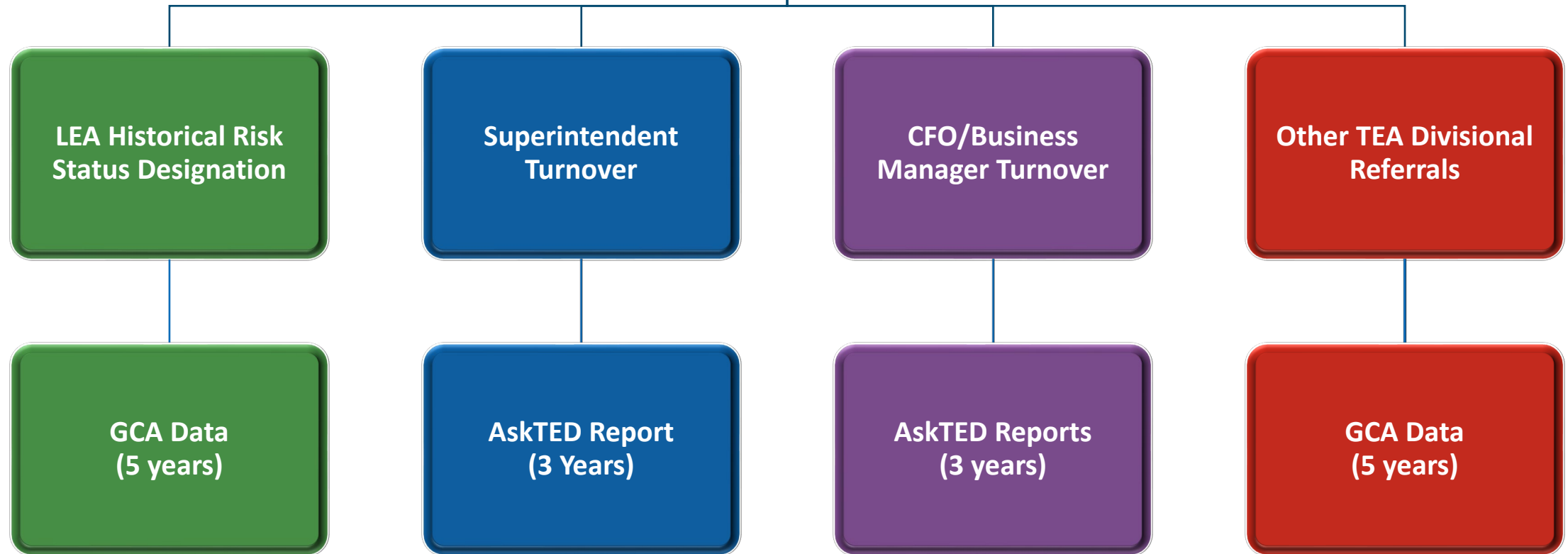
**GCA Data
(5 years)**

**Federal Program
Compliance**

**Program
Compliance Data
(2 Years)**



Other Risk Assessment Data



2024-2025 Risk Assessment Indicators, Data, and Points

2024-2025 Indicators	Data Period	Data Source	Max Points
I-1: Single-Audit Management Decisions Letters	FY20–FY24	GCA Reports	10 Points
I-2: IDEA-B LEA MOE Non-Compliance Final Report	FY22–FY24	FFCR Reports	9 Points
I-3: Monitored by FFM with Final Report Findings	FY19–FY23	FFM Monitoring Reports	10 Points
I-4: Monitored by SMD with Final Report Findings	FY21–FY23	SMD Monitoring Reports	9 points
I-5: An LEA had grant management compliances concerns	FY20–FY24	GA Reports	10 Points
I-6: An LEA had post award significant issues with their program applications	FY23-FY24	PAC Report	4 Points
I-7: Other TEA Division Risk Assessment Referrals	FY20–FY24	GCA Referral Reports	10 Points
I-8: Superintendent Turnover	FY22–FY24	GCA AskTED Reports	10 Points
I-9: CFO/Business Manager Turnover	FY22–FY24	GCA AskTED Reports	10 Points

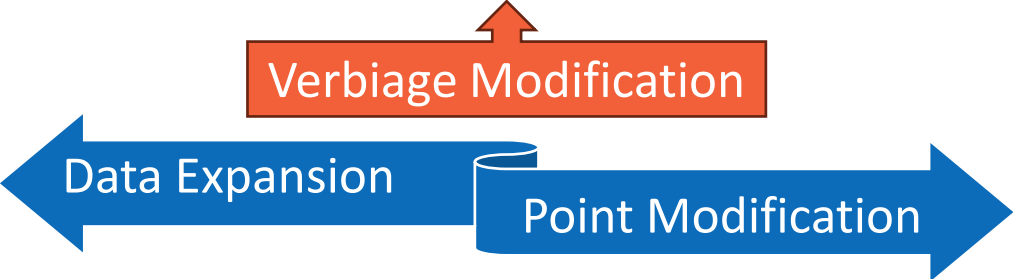
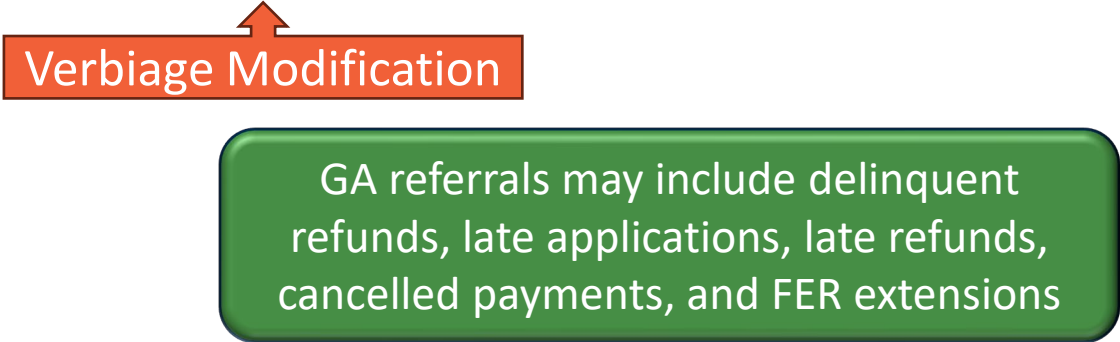
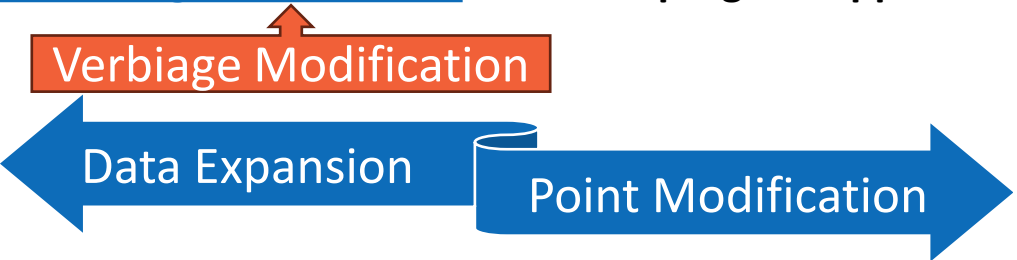
2024-2025 Risk Assessment Indicators, Data, and Points


2023-2024 Indicators	Data Period	Data Source	Max Points
I-10: An LEA is not required to conduct a single audit in FY23 as required per, 2 CFR§ 200.501	FY23	GCA Reports	5 Points
I-11: LEA percentage of disallowed costs in final monitoring report	FFM: FY19–FY23 SMD: FY21–FY23	FFM Monitoring Reports SMD Monitoring Reports	10 Points
I-12: FPC Random Validation Non-Compliance	FY22–FY23	FPC Reports	10 Points
I-13: FPC Non-Compliance Issues	FY22–FY23	FPC Reports	10 Points
I-14: An LEA has not been fiscally monitored within the past five years.	FY19–FY23	FFM and SMD Monitoring Reports	10 Points
I-15: LEA Risk Level Status Designation Change	FY20–FY24	GCA Risk Level Designation Reports	10 Points
I-16: An LEA has been appointed a Board of Managers by the Commissioner of Education under TEC§ 39A.004 and the Board of Managers still governs the district	Current Status	Sanctions and Monitoring Reports	10 Points


2024-2025 Risk Assessment Indicators, Data, and Points

2023-2024 Indicators	Data Period	Data Source	Max Points
I-17: An LEA is currently served by a Fiscal Conservator, appointed by the Commissioner of Education under TEC§ 39A.002	Current Status	Sanctions and Monitoring Reports	6 points
I-18: Ad Hoc Referrals to the Federal Compliance Officer	FY23–FY24	GCA Data	10 Points
I-19: Number of overdue refunds or non-compliance documents from required non-compliance resolution actions past the requested deadline	FY23–FY24	GCA Data	10 Points
I-20: TEA High-Risk Designation, 2 CFR§ 3474.10	FY24	TEA GCA Designation	Automatic High-Risk Designation

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-1	<p>An LEA has received a single-audit management decision letter with sustained findings for the following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 <div data-bbox="558 244 1075 362">Verbiage Modification</div> <div data-bbox="649 411 1049 529">FY Year Letter was sent</div>	<p>0 Points: 0 Letters 2 Points: 1 Letter 4 Points: 2 Letters 6 Points: 3 Letters 8 Points: 4 Letters 10 Points: 5 Letters *Zero Letters: -2 Points</p>
I-2	<p>An LEA was non-compliant for IDEA-B LEA MOE for the following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 <div data-bbox="499 634 1510 801">Data Expansion Point Modification</div>	<p>0 Points: Compliant Final Report 3 Points: 1 Non-Compliant Final Report 6 Points: 2 Non-Compliant Final Reports 9 Points: 3 Non-Compliant Final Reports *Zero Non-Compliant Final Reports: -2 Points</p>
I-3	<p>An LEA was monitored by FFM with final report findings for the following years:</p> <ul style="list-style-type: none"> ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 ▪ FY19 <div data-bbox="840 905 1360 1023">Verbiage Modification</div>	<p>0 Points: Final report had no findings 2 Points: 1 final report with findings 4 Points: 2 final reports with findings 6 Points: 3 final reports with findings 8 Points: 4 final reports with findings 10 Points: 5 final reports with findings</p>

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-4	<p>An LEA was monitored by SMD with <u>final report findings</u> for the following years:</p> <ul style="list-style-type: none"> ▪ FY23 ▪ FY22 ▪ FY21 	<p>0 Points: Final report had no findings 3 Points: 1 final report with findings 6 Points: 2 final report with findings 9 Points: 3 final report with findings</p>
I-5	<p>An LEA had <u>grant management compliances concerns</u> for the following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 	<p>0 Points: 0 Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals * Zero Referrals: -1 Points</p>
I-6	<p>An LEA had <u>post award significant issues</u> with their program applications for following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 	<p>0 Points: 0 Referrals 2 Points: 1 Referral 4 Points: 2 Referrals</p>

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-7	<p>An LEA was referred for Risk Assessment by other TEA divisions for the following years:</p> <ul style="list-style-type: none">▪ FY24▪ FY23▪ FY22▪ FY21▪ FY20	<p>0 Points: 0 Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals</p>
I-8	<p>An LEA has experienced Superintendent turnover over for the following years.</p> <ul style="list-style-type: none">▪ FY24▪ FY23▪ FY22 <p>*FY21 Baseline data</p> <div data-bbox="1103 686 1470 919"><p>Point Modification</p></div>	<p>0 Points: No Changes in Superintendent 2 Points: 1 Change in Superintendent 4 Points: 2 Changes in Superintendent 6 Points: 3 Changes in Superintendent 8 Points: 4 Changes in Superintendent 10 Points: 5 Changes in Superintendent</p>

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-9	<p>An LEA has experienced CFO/Business Manager turnover for the following years.</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 <p>*FY21 Baseline data</p> <div data-bbox="1090 248 1454 479">  </div>	<p>0 Points: No Changes in CFO/Business Manager 2 Points: 1 Change in CFO/Business Manager 4 Points: 2 Changes in CFO/Business Manager 6 Points: 3 Changes in CFO/Business Manager 8 Points: 4 Changes in CFO/Business Manager 10 Points: 5 Changes in CFO/Business Manager</p>
I-10	<p>An LEA was not required to conduct a single audit in FY23 as required per 2 CFR§ 200.501.</p>	<p>0 Points: Is required to submit single audit 5 Points: Not Required to conduct a single audit</p>

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-11	<p>An LEA was monitored by FFM or SMD and had <u>disallowed costs in the final report</u> for the following years:</p> <ul style="list-style-type: none"> ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 ▪ FY19 <div data-bbox="606 268 1123 344" data-label="Text"> <p>Verbiage Modification</p> </div>	<p>0 Points: Final report with no disallowed costs 2 Points: 1 final report with disallowed costs 4 Points: 2 final reports with disallowed costs 6 Points: 3 final reports with disallowed costs 8 Points: 4 final reports with disallowed costs 10 Points: 5 final reports with disallowed costs *Zero Disallowed Costs: -1 Point</p>
I-12	<p>During FY22 or FY23 an LEA was referred for Non-Compliance Resolution for two years as non-compliant during for Random Validation (2 years non-compliant).</p> <p><u>Programs included in this indicator are:</u></p> <p>Title I, Part A Title I, Part C Title I, Part D Title II, Part A Title IV, Part A Title V, Part B Ed-Flex Private Nonprofit School Equitable Services Unsafe School Choice Option</p>	<div data-bbox="1620 529 1949 661" data-label="Text"> <p>New Indicator</p> </div> <p>0 Points: 0 Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals</p>

	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-13	<p>During FY22 or FY23 an LEA had Federal Program non-compliance issues for the following:</p> <ul style="list-style-type: none"> ▪ Late or no submission of their ESSA Consolidated Compliance Report ▪ Not in Compliance on their ESSA Consolidated Compliance Report Program Compliance Self-Check Requirements <p><u>Programs included in this indicator are:</u></p> <p>PR1000 – Title I, Part A PR1200 – Title I, Part C PR2000 – Title I, Part D PR3000 – Title II, Part A PR3002 – Title III, Part A PR3114 – Title III, Part A PR3107 – Title IV, Part A PR3099 – Private Nonprofit School Equitable Services PR6200 – Unsafe School Choice Option</p>	<div data-bbox="1730 148 2056 282">New Indicator</div> <p>0 Points: No Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 or more Referrals</p>

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-14	<p>An LEA has not been fiscally monitored by the Department of Grants Compliance and Administration within the past five years.</p> <p>This indicator will include LEAs who are being currently being monitored by FFM and SMD.</p>	10 Points
I-15	<p>An LEA's historical Risk Status Designation for the following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 	<p>0 Points: Low Risk status for each fiscal year 1 Point: Medium Risk status for each fiscal year 2 Points: High Risk status for each fiscal year Total Possible Points: 10 *Low Risk status for all five years: -1 Point</p>
I-16	<p>An LEA has been appointed a Board of Managers by the Commissioner of Education under TEC§ 39A.004 and the Board of Managers still governs the district.</p>	10 Points

Verbiage Modification

	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-17	An LEA is currently being served by a Fiscal Conservator, appointed by the Commissioner of Education under TEC§ 39A.002 .	6 Points
I-18	<p>Ad Hoc Referrals to the Federal Compliance Officer for the following projects:</p> <ul style="list-style-type: none"> ▪ Year Four USDE ESSER Delinquent Reporting ▪ Programmatic non-compliance ▪ Open Investigations for misuse of federal funds ▪ Other referrals 	<p>0 Points: No Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals</p>

	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-19	<p>Number of overdue refunds or non-compliance documents from required non-compliance resolution actions past the requested deadline for the following years:</p> <ul style="list-style-type: none"> ▪ FY23 ▪ FY24 	<p>0 Points: On-time refunds and documents</p> <p>1 Points: 1 Overdue refund or documents</p> <p>2 Points: 2 Overdue refunds or documents</p> <p>3 Points: 3 Overdue refunds or documents</p> <p>4 Points: 4 Overdue refunds or documents</p> <p>5 Points: 5 Overdue refunds or documents</p> <p>6 Points: 6 Overdue refunds or documents</p> <p>7 Points: 7 Overdue refunds or documents</p> <p>8 Points: 8 Overdue refunds or documents</p> <p>9 Points: 9 Overdue refunds or documents</p> <p>10 Points: 10 Overdue refunds or documents</p>
I-20	Per 2 CFR§ 3474.10 , TEA is authorized to designate a federal grant subrecipient as a high-risk grantee.	Automatic TEA High-Risk Status Designation

I-20 Federal High-Risk Grantee Designation

- TEA is authorized to designate a federal grant subrecipient as a high-risk grantee, and to impose specific conditions upon that subrecipient, by Title 2 of the Code of Federal Regulations (CFR), 3474.10.
- TEA may make a high-risk grantee designation in and impose specific conditions on LEAs state and federal grants administered through TEA for reasons including, but not limited to:
 1. Prior high-risk grantee status issued by U.S. Department of Education.
 2. Findings from the Comprehensive Independent Audit of Departmental Grants.
 3. Findings in other external audits related to federal funds.

I-20 Federal High-Risk Grantee Designation – Specific Conditions

Specific conditions imposed by TEA on federal grants may include:

1. Requiring payments as reimbursements rather than advance payments.

Your organization would not be able to draw down funds automatically using TEA's expenditure reporting (ER) system.

2. Requiring additional, more detailed financial reports.

Your organization would not be able to draw down its funds automatically using TEA's expenditure reporting system (ER). Your organization would be required to submit all its unreimbursed expenditures to TEA at least once each month for each federal grant and include fiscal records such as general ledgers, payroll journals, and source documents. If your organization does not have any expenditures for a given month, you must notify TEA. Your organization must ensure that each reimbursement request is for funds that have already been obligated and recorded in the general ledger.

3. Requiring additional project monitoring.

Before approving payment, TEA would randomly review financial records submitted by your organization for compliance with each grant's general and specific federal requirements.

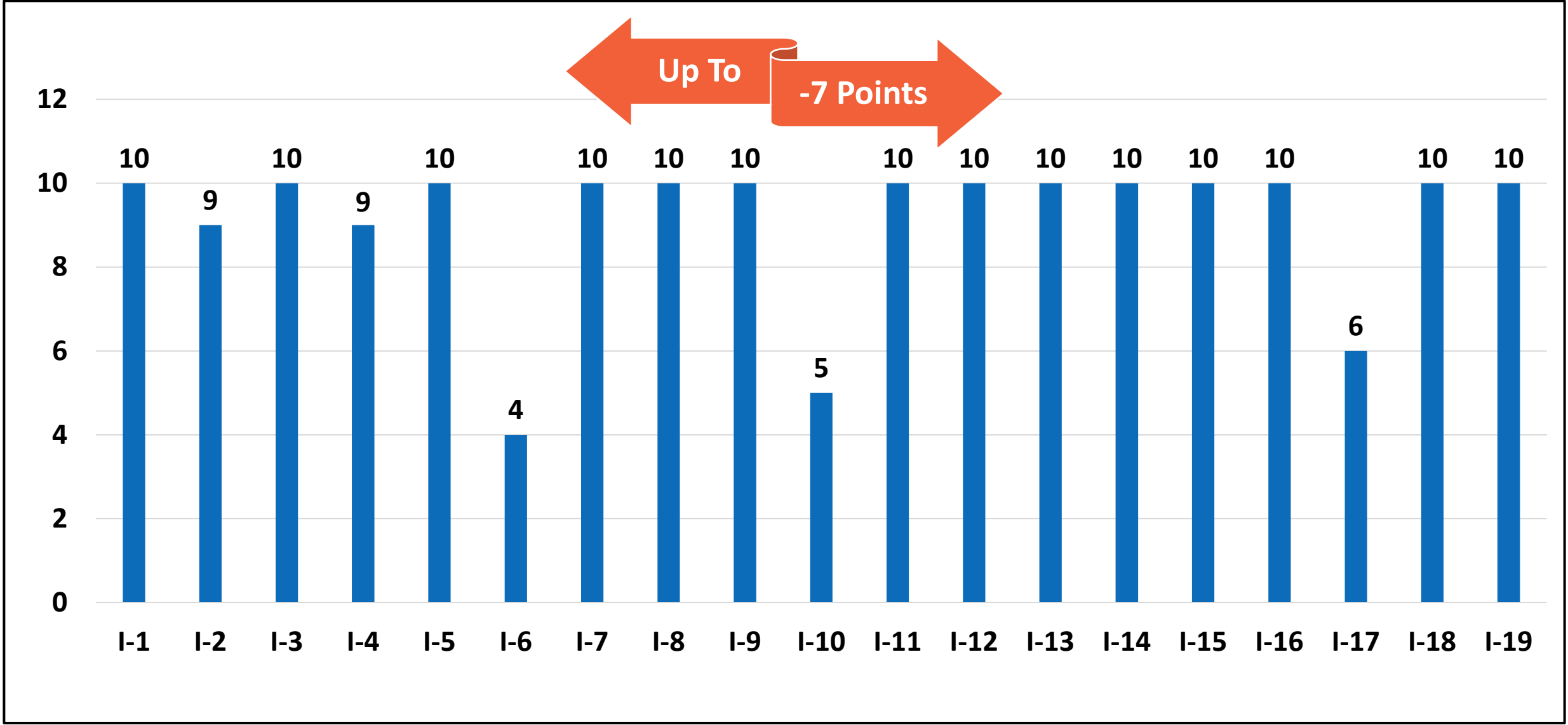


2024-2025 Risk Assessment Designations

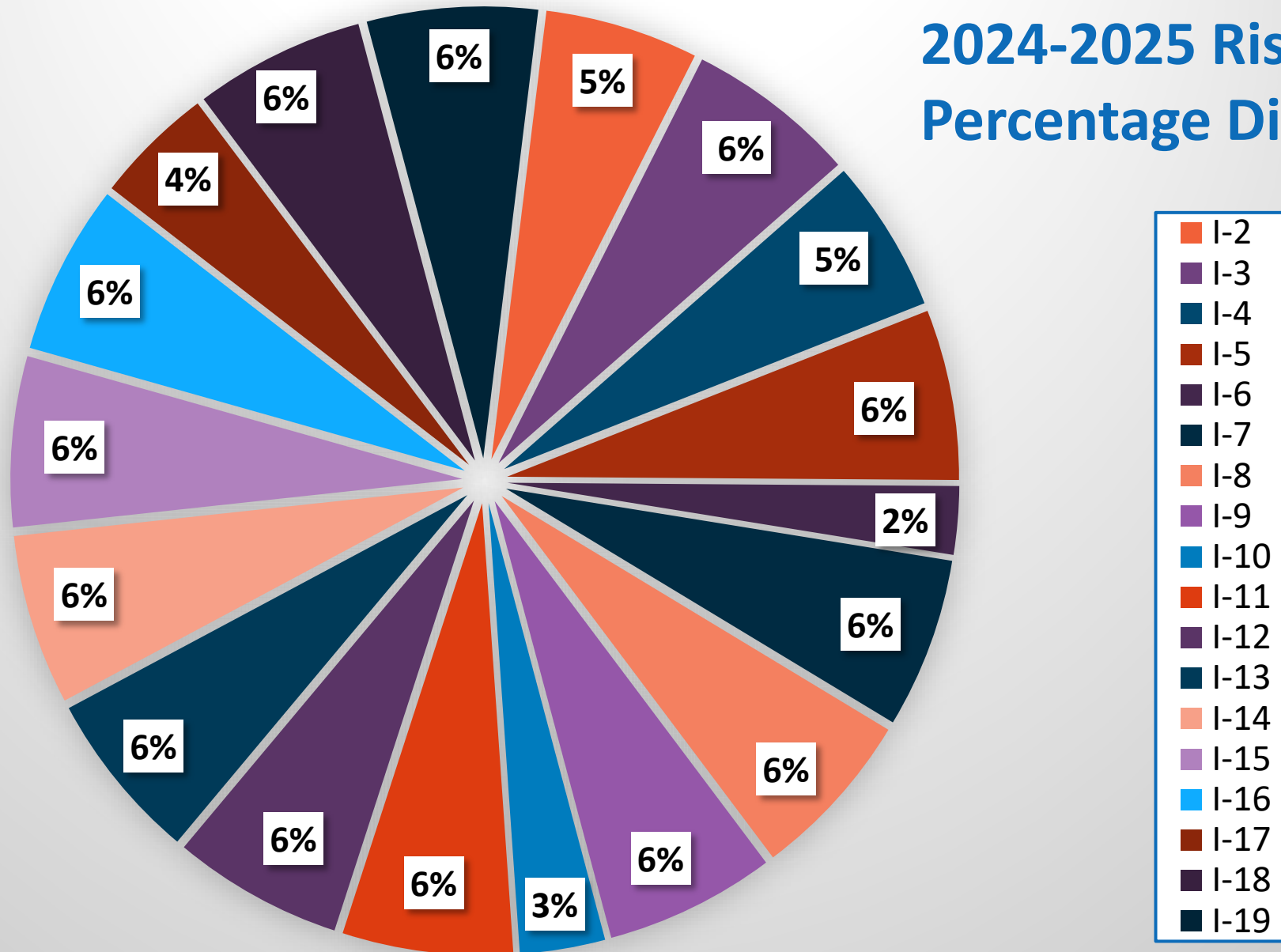
LEA Risk Assessment Status Designations of High, Medium, and Low Assist TEA with:

- Evaluating each sub-recipient's risk of non-compliance as required under Title 2 of the Code of Federal Regulations (CFR), [2 CFR §200.332\(b\)](#)
- Developing our GCA annual sub-recipient monitoring plan as described in [2 CFR §200.332\(d\)\(e\)](#)
- Determining patterns of fiscal and program non-compliance
- Providing technical assistance, training, and resources for LEAs

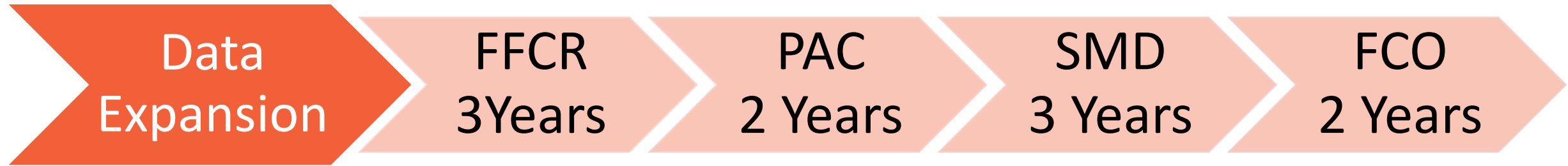
2024-2025 Risk Indicator Point Distribution



2024-2025 Risk Indicator Percentage Distribution



Expansion of Risk Assessment Indicators for 2024-2025





Questions, Wonderings, or Comments

2025-2026 Reintroduction of Previous Risk Indicators

Reintroduction of Previous Risk Indicators

TEA Department of Grant Compliance and Administration had placed several indicators on hold due to stimulus funding. These indicators took into consideration the following criteria as part of the risk assessment process:

- High carryover of grant funds
- Lapsed grant funds
- Concerns regarding the timely drawdown of grant funds
- Large amounts of federal expenditures compared to total operating costs

These risk indicators will be reintroduced for the 2025-2026 Risk Assessment process.

Reintroduction of Previous Risk Indicators

An LEA has carried over excessive amounts of funds for grants awarded in the following applications:

- IDEA-B – Over 50% Carryover
- Carl D. Perkins – Over 25% Carryover
- Every Student Succeeds Act (ESSA) Consolidated Grant includes:
 - Title I, Part A – Over 15% Carryover
 - Title I, Part C – Over 25% Carryover
 - Title I, Part D – Over 25% Carryover
 - Title II, Part A – Over 25% Carryover
 - Title III, Part A – Over 25% Carryover
 - Title IV, Part A – Over 25% Carryover

Reintroduction of Previous Risk Indicators

An LEA has lapsed funds for grants awarded in the following applications:

- IDEA-B
- Carl D. Perkins
- Every Student Succeeds Act (ESSA) Consolidated Grant includes:
 - Title I, Part A
 - Title I, Part C
 - Title I, Part D
 - Title II, Part A
 - Title III, Part A
 - Title IV, Part A

Reintroduction of Previous Risk Indicators

An LEA has not drawn down federal grant funds by January 31, 2025 for the following grants:

- IDEA-B
- Carl D. Perkins
- Title I, Part A

Reintroduction of Previous Risk Indicators

- An LEA had a large amount of federal expenditures compared to total operating costs.
 - Points will be awarded if the percentage is greater than 20% of the total operating costs.

AskTED Updates

Popular Applications

AskTED

ECOS for Educators


Grant Opportunities

Secure Applications

TEAL Login

TSDS

Help Desk

TEXAS EDUCATION AGENCY

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AskTED

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Welcome to AskTED

Use the drop-down menus above in order to access the Texas Education Directory Customized Reports and Data Files. Under the Search by menu, you will find searches for School, District, County, Region, and Texas.

AskTED is updated daily. Information downloads should be made as close as possible to the time the information will be used, particularly when downloading email addresses.

For further assistance, click this [first time user](#) link, (requires the free [Adobe Acrobat Reader](#)) or the Help link in the menu bar.

Questions about the **Texas Education Directory** or the **AskTED website**? - Contact [AskTED](#).

Questions or comments about other matters related to **public education in Texas**? - Please go to <http://www.tea.texas.gov/contacttea.aspx>.

Explanatory Materials


- [AskTED Quick Reference](#)
- [Understanding Organization ID Numbers in AskTED](#)
- [Understanding the Three ESC Regions in AskTED](#)

State-Wide School and District Downloads


- [Download School and District File](#)
- [Download School and District File with Site Address](#)
- [Download Archived School and District Data Files](#)



TED Administrator Resources

- [Apply for Administrator Login](#)



Keep LEA contacts up to date! This is our data source for I-8 and I-9





  Grant Compliance and Administration

AskTED Updates for Charter Schools

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Charter Schools

- Updates to Charter School AskTed information should be sent to CharterAskTED@tea.texas.gov
- Once your organization's information is received, the Charter School Division will update the AskTED information provided.

Contact Information

Division of Authorizing

charterschools@tea.texas.gov

Phone (512) 463-9575

For additional support, email the following as appropriate:

- Charter Application
CharterApplication@tea.texas.gov
- Charter Amendments or Revisions
CharterAmendments@tea.texas.gov
- Remote Learning
Remote.Learning@tea.texas.gov
- AskTED Assistance
CharterAskTED@tea.texas.gov

Authorizing Staff




Grant Compliance
and Administration

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Risk Assessment



The Texas Education Agency, as a pass-through entity, awards federal grant funds to eligible sub-recipients, including local educational agencies (LEAs), which include both school districts and open-enrollment charter schools, education service centers (ESCs), and non-profits. TEA as a pass-through entity is required under Title 2 of the Code of Federal Regulations (CFR), 2 CFR §200.332(b), to evaluate each sub-recipient risk of non-compliance with Federal statutes, regulations, and the terms and conditions of the sub-award for purposes of determining the appropriate sub-recipient monitoring as described in 2 CFR §200.332(d)(e), which may include the sub-recipients results of previous audits, including Single-Audits in accordance with 2 CFR §200.332(f), changes to key personnel, and other factors that may reflect any patterns of non-compliance.

The Federal Compliance Officer in the Department of Grant and Administration (GCA) manages all GCA Risk Assessment activities, data, technical assistance, and training.

To comply with this requirement, the Federal Compliance Officer conducts an annual risk assessment of all sub-recipients to determine and mitigate any potential risk of non-compliance. Based on the outcome of the annual risk assessment, sub-recipients are assigned a risk status of low, medium, or high, which is evaluated annually.

Federal Fiscal Monitoring

[Annual Federal Fiscal Risk Assessment](#)

[Corrective Actions Related to Federal Grants](#)





[Information for Organizations Selected for a Review](#)

[Monitoring of Single-Audit Findings](#)

[Statutory Authority for Monitoring](#)

Contact Information

Department of Grant Compliance and Administration
Cal Lopez
Federal Compliance Officer
Phone: (512) 463-9017
Email: noncompresolution@tea.texas.gov



Risk Assessment Webpage

Access Your Risk Assessment Status Designation Report

Risk Assessment



2024-2025 Risk Assessment Status Designation Reports

LEAs can access their 2024-2025 Risk Assessment Status Designation Report, by following the steps below:

1. Access the TEA Smartsheet WorkApps site: <https://workapps.smartsheet.com/>
2. Select Risk Assessment WorkApps.
3. Select LEA Name and CDN when the 2024-2025 GCA Risk Assessment page displays.
4. Click on the Attachments link and click on the LEA RA Report.pdf title.
5. The report will download to your computer's download folder.
6. Click on the downloaded report to view your 2024-2025 LEA Risk Assessment Status Designation Report.
7. Each LEA report includes points assigned by indicator, total risk assessment score, and risk status designation for 2024-2025.

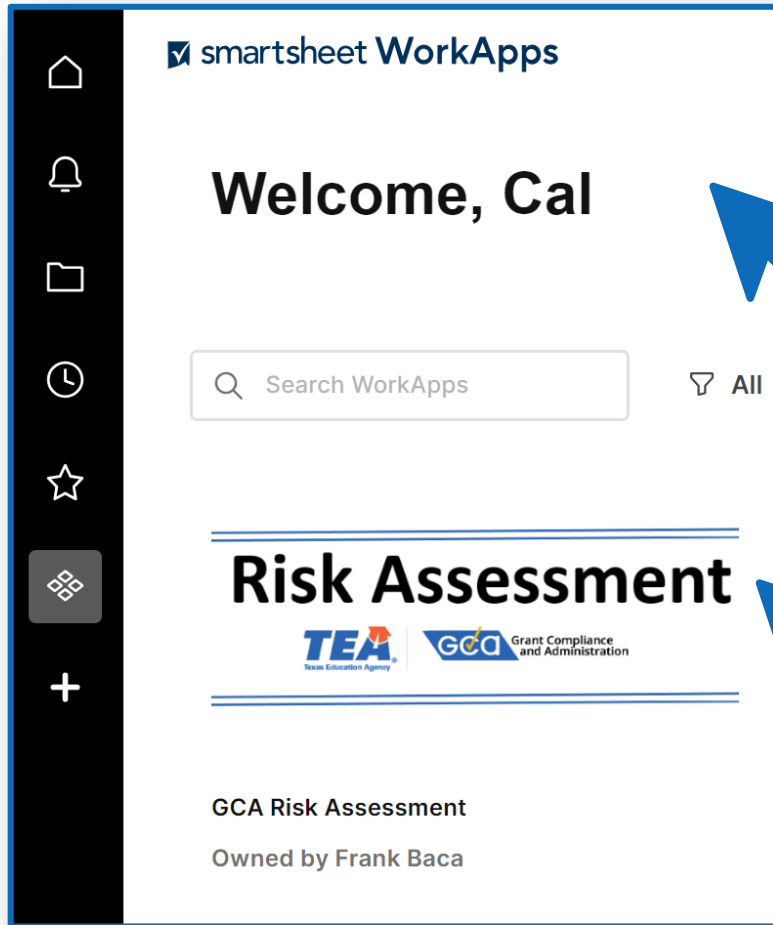
The FY19-FY24 Risk Status Designation Report is also accessible by following the steps above. As new reports are published, they will also be added to the navigation pane for easy access and review, ensuring that all relevant information is readily available for LEAs.

LEA administrators with current, active Smartsheet access to other TEA WorkApps (EDGAR, FPC, ESSER Compliance, etc.) will be able to view the Risk Assessment WorkApps, and new users will need to be added through the EDGAR WorkApps. With the assistance of your TEA WorkApps, send an email request to frank.baca@tea.texas.gov.

For questions regarding 2024-2025 Risk Assessment scores or status, contact Cal Lopez, the TEA Federal Compliance Officer, at noncompresolution@tea.texas.gov or 512-463-9017.



TEA GCA Risk Assessment WorkApps



Step 1



<https://workapps.smartsheet.com>

Step 2

Select the Risk Assessment WorkApps



GCA ISD Risk Assessment Status Designation Reports

 Grant Compliance and Administration

GCA Risk Assessment

View as

TEA View

2024-2025 CGA Risk Assessment Stat...

2023-2024 GCA Risk Assessment Stat...

2024-2025 CGA Risk Assessment Stat...

☆ 2024-25 CGA Risk Assessment Status Designation Rpt



Filter

LEA Name and CDN	Total RA Points	Status Designation
GCA ISD 876543	14	Low

Step 3

Select LEA Name and CDN

GCA ISD Risk Assessment Status Designation Report



GCA Risk Assessment

View as

TEA View

2024-2025 CGA Risk Assessment Stat...

2023-2024 GCA Risk Assessment Stat...

2024-2025 CGA Risk Assessment Stat...

☆ 2024-25 CGA Risk Assessment Status Designation Rpt


Filter

LEA Name and CDN	Total R Points
GCA ISD 876543	14

Details

Data

Attachments (1)

 2024-25 LEA RA Report for GCA ISD 876543.pdf
Feb 01, 2024, 2:48 PM by Frank Baca (1972k)

Step 4
Click on the Attachment Link

2023-2024 Risk Assessment Status Designation Report

GCA ISD 876543

2023-2024

Risk Assessment Status Designation Report

Low

2023-2024 Risk Assessment Indicator	Fiscal Year	Risk Points Earned
I-1: Management Decisions Letters	<input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19 <input type="checkbox"/> FY18	-2
I-2: IDEA-B LEA MOE Non-Compliance	<input checked="" type="checkbox"/> FY23 <input type="checkbox"/> FY22	3
I-3: Monitored by FFM	<input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19 <input type="checkbox"/> FY18	0
I-4: Monitored by SMD	<input type="checkbox"/> FY22 <input type="checkbox"/> FY21	0
I-5: Grants Administration Risk Assessment Referral	<input type="checkbox"/> FY23 <input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19	-1
I-6: Post Award Compliance (PAC) Referral	<input type="checkbox"/> FY23	0
I-7: Other TEA Division Risk Assessment Referrals	<input type="checkbox"/> FY24 <input type="checkbox"/> FY23 <input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20	0
I-8: Superintendent Turnover	<input type="checkbox"/> FY21 - FY23	0
I-9: CFO/Business Manager Turnover	<input checked="" type="checkbox"/> FY21 - FY23	3

2024-2025 Risk Assessment Status Designation Report

GCA ISD 876543

Risk Assessment Status Designation Report

2024-2025

Low

2024-2025 Risk Assessment Indicator	Fiscal Year	Risk Points Earned
I-1: Management Decisions Letters	<input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19 <input type="checkbox"/> FY18 <input type="checkbox"/> FY17	-2
I-2: IDEA-B LEA MOE Non-Compliance	<input checked="" type="checkbox"/> FY22 <input type="checkbox"/> FY21	3
I-3: Monitored by FFM	<input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19 <input type="checkbox"/> FY18	0
I-4: Monitored by SMD	<input type="checkbox"/> FY22 <input type="checkbox"/> FY21	0
I-5: Grants Administration Risk Assessment Referral	<input type="checkbox"/> FY23 <input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20 <input type="checkbox"/> FY19	-1
I-6: Post Award Compliance (PAC) Referral	<input type="checkbox"/> FY23	0
I-7: Other TEA Division Risk Assessment Referrals	<input type="checkbox"/> FY24 <input type="checkbox"/> FY23 <input type="checkbox"/> FY22 <input type="checkbox"/> FY21 <input type="checkbox"/> FY20	0
I-8: Superintendent Turnover	<input type="checkbox"/> FY21 - FY23	0
I-9: CFO/Business Manager Turnover	<input checked="" type="checkbox"/> FY21 - FY23	3

LEA Historical Risk Assessment Data

TEA

GCA

Grant Compliance and Administration

GCA Risk Assessment

View as

TEA View

2023- 2024 GCA Risk Assessment Stat...

FY19-FY24 Risk Status Designation Re...

FY19-FY24 Risk Status Designation R...

FY19-FY24 Risk Status Designation Report

Filter

ESC	LEA Name and CDN	FY19	FY20	FY21	FY22	FY23	FY24
21	GCA ISD 876543	High	Medium	Low	Low	Medium	Low

FY19-FY24 Risk Status Designation Report

FY19-FY24 Risk Assessment Status Designation Report



GCA ISD 876543

Risk Assessment Status Designation Report

2019-2024

2019-2024 Risk Assessment Indicator	Fiscal Year	Status
LEA Risk Status Designation	<input checked="" type="checkbox"/> FY24	Low
	<input checked="" type="checkbox"/> FY23	Medium
	<input checked="" type="checkbox"/> FY22	Low
	<input checked="" type="checkbox"/> FY21	Low
	<input checked="" type="checkbox"/> FY20	Medium
	<input checked="" type="checkbox"/> FY19	High

For questions related to your 2019-2024 Risk Status Designation contact Cal Lopez, the TEA Federal Compliance Officer, at NonCompResolution@tea.texas.gov or 512-463-9017.



2024-2025 Risk Assessment Next Steps



2024-2025 LEA Risk Assessment


Target Release Date

June
2024



Risk Assessment Website

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[TSDS](#)





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Risk Assessment

The Texas Education Agency, as a pass-through entity, awards federal grant funds to eligible sub-recipients, including local educational agencies (LEAs), which include both school districts and open-enrollment charter schools, education service centers (ESCs), and non-profits. TEA as a pass-through entity is required under Title 2 of the Code of Federal Regulations (CFR), 2 CFR 5200.332(b), to evaluate each sub-recipient risk of non-compliance with Federal statutes, regulations, and the terms and conditions of the sub-award for purposes of determining the appropriate sub-recipient monitoring as described in 2 CFR 5200.332(d)(e), which may include the sub-recipients results of previous audits, include Single-Audits in accordance with 2 CFR 5200.332(f), changes to key personnel, and other factors that may reflect any patterns of non-compliance.

The Federal Compliance Officer in the Department of Grant Compliance and Administration (GCA) manages all GCA Risk Assessment activities, data, technical assistance, and training.

To comply with this requirement, the Federal Compliance Officer conducts an annual risk assessment of all sub-recipients to determine and mitigate any potential risk of non-compliance. Based on the outcome of the annual risk assessment, sub-recipients are assigned a risk status of low, medium, or high, which is evaluated annually.

2024-2025 Risk Assessment Indicators

- 2024-2025 TEA Risk Assessment

New Risk Assessment designation and reports will be released June 2024.

2023-2024 Risk Assessment Indicators

Cal Lopez

Federal Compliance Officer

Department of Grant Compliance and Administration

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Presentation Feedback – How Did We Do?





Thank you!