Texas Education Agency | Grant Compliance and Administration

2025-2026 Risk Assessment Indicators

The following are the twenty-five indicators developed by the Department of Grant Compliance and Administration to establish LEA Risk Status for the 2025-2026 school year:

- I-1: An LEA has received a single-audit management decision letter with sustained findings for FY21-FY25.
- I-2: An LEA was non-compliant for IDEA-B LEA MOE for FY22-FY25.
- I-3: An LEA was monitored by FFM or SMD with final report findings for FY20-FY24.
- I-4: An LEA was placed on a formal programmatic Corrective Action for Non-Compliance for the Nita M. Lowey 21st Century Community Learning Centers (CCLC) grant for FY24.
- I-5: An LEA had grant management compliance concerns for FY21-FY25.
- I-6: An LEA had significant post-award issues with their program applications for FY23-FY25.
- I-7: An LEA was referred for Risk Assessment by other TEA divisions for FY25.
- I-8: An LEA experienced Superintendent turnover for FY23-FY25.
- I-9: An LEA has experienced CFO/Business Manager turnover for FY23-FY25.
- I-10: An LEA was not required to conduct a single-audit for FY24 as required, per 2 CFR §200.501.
- I-11: An LEA was monitored by FFM and SMD and had disallowed costs in the final report for FY20-FY24.
- I-12: An LEA was referred during FY22-FY25 for Non-Compliance Resolution for 2-years Non-Compliant for Random Validation and/or an LEA was referred during FY25 for Non-Compliance Resolution for 2-years Non-Compliant for Program Monitoring Validation.
- I-13: An LEA had Federal Program Non-Compliance issues due to Late or No submission of their ESSA Consolidated Compliance Report for FY22-FY24.
- I-14: An LEA had Federal Program Non-Compliance issues due to Not in Compliance on their ESSA Consolidated Compliance Report Program Compliance Self-Check Requirements for FY22-FY24.
- I-15: An LEA has not been fiscally monitored by the Department of Grant Compliance and Administration within the past six years.
- I-16: An LEA's historical Risk Status Designation for FY21-FY25.
- I-17: An LEA has been appointed a Board of Managers by the Commissioner of Education under TEC §39A.004, and the Board of Managers still governs the district.

- I-18: An LEA is currently being served by the Fiscal Conservator, appointed by the Commissioner of Education under TEC §39A.002.
- I-19: Ad Hoc Referrals to the Federal Compliance Officer for the following projects:
  - Year Five USDE ESSER Delinquent Reporting
  - Programmatic Non-Compliance
  - Open Investigations for misuse of federal funds
  - Other referrals
- I-20: Number of overdue refunds or non-compliance documents from required non-compliance resolution actions past the requested deadline for FY23-FY25.
- I-21: An LEA had a large percentage of federal expenditures compared to their total operating costs for FY24.
- I-22: An LEA has not drawn down federal grant funds by January 31, 2025, for the following grants:
  - IDEA-B Formula
  - Perkins V
  - Title I, Part A
- I-23: An LEA TECHY sub-grantee has an OSP McKinney-Vento Results Driven Accountability (RDA) Designation Level of 3 or 4 for FY24.
- I-24: An LEA TECHY sub-grantee has identified zero homeless students for FY24 *(Identification must occur between July 1, 2023, and June 30, 2024)*.
- I-25: Per 2 CFR §3474.10, TEA is authorized to designate a federal grant subrecipient as a high-risk grantee.