

# State Performance Plan Indicator (SPPI) Clarification Process

## STATE PERFORMANCE PLAN INDICATORS 11A, 11B, 12, AND 13

LEAs (Local Educational Agencies) must submit **valid, timely, and reliable** data for State Performance Plan Indicators (SPPI) 11, 12, and 13. TEA considers TSDS Child Find data (SPPI 11 and 12) in **“Complete”** status and SPPI 13 data in **“Certified”** status to be final—**complete and accurate**—and uses these data in the process of assigning annual special education determinations to LEAs.

Important note:

- LEAs that do not submit data for an indicator are not eligible to participate in clarifications process for the respective indicator(s).
- LEAs that fail to submit their data by the published deadline are also ineligible for clarifications. However, if an LEA was unable to submit data by the deadline due to a **technical issue attributable to TEA** and has a submitted TIMS ticket, the submission is not considered late.
- If an LEA submits data by the deadline but subsequently requires an extension to correct and resubmit, that submission is considered untimely and does not meet the requirement for timely data submission.

Any issues related to the submission of inaccurate data, or the non-submission of data are addressed by the Division of Monitoring, Review and Support.

## CLARIFICATIONS

The SPPI Clarification period allows LEAs an opportunity to submit pre-finding corrections before findings are issued by the TEA for SPPI 11a (Timely Initial Evaluations), SPPI 11b (Eligibility Determination), SPPI 12 (Early Childhood Transition), or SPPI 13 (Secondary Transition) with less than 100% compliance. Unclassified SPPI 11a, 11b, 12, or 13 noncompliance will result in a corrective action plan (CAP).

Pre-finding correction may occur during the clarification process when the LEA has violated an IDEA (Individuals with Disabilities Education Act) requirement, but the TEA has not yet issued a finding. If the TEA can verify before issuing a finding that an LEA:

- is correctly implementing the specific regulatory requirements (i.e., achieved 100 percent compliance with the relevant IDEA requirements) based on a review of updated data such as data subsequently collected through monitoring (evidence of policies and procedures, training, and self-monitoring).
- if applicable, has corrected each individual case of child-specific noncompliance, unless the child is no longer within the jurisdiction of the LEA; and
- has no existing corrective action under a TEA complaint or due process hearing decision for the child (child-specific compliance); then this would be considered “pre-finding correction.” (OSEP 23-01, B-11)

LEAs are not required to participate in clarifications. LEAs who opt to participate in the clarification process are not guaranteed pre-finding correction of noncompliance.

# State Performance Plan Indicator (SPPI) Clarification Process

The following three sections will assist LEAs in determining if their data supports participation in the clarification process for SPPI 11a, 11b, 12, and 13.

---

## SECTION 1: REVIEW THE QUESTIONS BELOW AND ANSWER YES OR NO BASED ON THE LEA DATA REGARDING SPPI 11A, 11B, 12, AND 13 TO DETERMINE IF THE DATA SUPPORTS PARTICIPATION IN THE CLARIFICATION PROCESS.

Did the LEA have incorrect absence reporting data contained in the TSDS (Texas Student Data System) report that resulted in noncompliance?

☐ Yes ☐ No

Were there data entry errors (i.e. calendar entry errors, incorrect entry of consent or eligibility dates, weather days for 11a, SPPI 13 clerical error, etc.) that resulted in noncompliance?

☐ Yes ☐ No

Did any students transfer into the LEA who were already out of compliance?

☐ Yes ☐ No

Can the LEA provide documentation to assist in correcting the errors that would result in correcting the identified noncompliance?

☐ Yes ☐ No

If answering yes to any or all of the above, the LEA may have sufficient data to participate in the clarification process.

---

## SECTION 2: THE FOLLOWING QUESTIONS ONLY APPLY TO YOUR LEA IF YOU DISCOVERED NONCOMPLIANCE PRIOR TO THE SUBMISSION OF TSDS DATA ON JULY 31, 2025.

Did the LEA find noncompliance with SPPI indicators during routine self-monitoring, prior to the TSDS data submission on July 31, 2025?

☐ Yes ☐ No

If you answered yes to the above question, continue to the next question.

Have the self-identified instances of child-specific noncompliance been addressed including the discussion of FAPE (Free and Appropriate Public Education) and compensatory education and services with the family?

☐ Yes ☐ No

Has the LEA completed training, reviewed, and revised the policies and procedures, if needed, and created a self-monitoring system for required staff in the area of noncompliance?

☐ Yes ☐ No

Has the LEA met all Child Find timelines since the first instance of noncompliance was identified?

☐ Yes ☐ No

Section 2 only: If answering yes to the above, the LEA may have enough data to participate in the clarification process.

# State Performance Plan Indicator (SPPI) Clarification Process

## SECTION 3: ANSWER THE FOLLOWING QUESTIONS AS THEY APPLY TO YOUR LEA'S TSDS DATA SUBMISSION

Did the district utilize codes 01, 02, or 03 as a reason for noncompliance?

☐ Yes ☐ No

Did the LEA participate in clarifications for the last 3 years?

☐ Yes ☐ No

Did a weather day or parent delay keep the district from being compliant for 11B?

☐ Yes ☐ No

Does the LEA still need to complete Child-Specific corrections?

☐ Yes ☐ No

Section 3: If answering yes to any of the above, the LEA may not have sufficient data to participate in the clarification process.

## PARTICIPATION IN CLARIFICATION FOR SPPI 11A, 11B, 12, AND 13

When participating in the clarification process the LEA must upload the following evidence based on their identified reason(s) to participate in clarifications:

### Data Entry Discrepancies Required Evidence:

SPPI Evidence of Child-Specific Correction Verification Workbook

School Calendar for 2023-2024 and 2024-2025 school year

Evidence of compliant data (electronic IEP print out indicating compliance)

PEIMS data related to absences and transfer students.

### LEA Identified Noncompliance Required Evidence:

Evidence of Child-Specific Correction

Revised Policy and Procedures

Training Artifacts

Self-Monitoring

Attestation Form

SPPI Evidence of Child-Specific Correction Verification Workbook

Calendar for 2023-2024 and 2024-2025 school year

- Upload supporting data using the file transfer widget in the Ascend Texas Application via [Texas Education Agency Login \(TEAL\)](#).
- While on the ASCEND Dashboard scroll down to File Transfer Select Upload Documents Select document type "Supporting Evidence" Select Add Documents.
- Complete this step for each file and each indicator.

# State Performance Plan Indicator (SPPI) Clarification Process

## CLARIFICATION DATA REVIEW

The TEA Review and Support reviewer will pull all data submitted for each SPPI indicator identified as noncompliant.

The assigned reviewer will:

- Review and verify data submitted by LEA.
- Verify timelines using the school board approved calendar from 2023-2024 and 2024-2025.
- Review prior year SPPI compliance and engagement with Review and Support
- Request additional data to evidence clarification when applicable.

## DETERMINATION OF NONCOMPLIANCE AND SPPI NOTIFICATION

Following the data review, the TEA Review and Support reviewer will determine if the data submitted clarified the noncompliance. There are two potential outcomes from participation in clarifications:

- Pre-finding corrections: Evidence demonstrates all child-specific and systemic noncompliance has been corrected prior to data submissions and issuance of a finding of noncompliance. Please refer to [OSEP QA 23-01](#), Questions B-11 and B-12, for additional information on pre-finding corrections. No further action is required.
- Noncompliance: LEAs with noncompliance that do not participate in or did not provide evidence demonstrating all child-specific and systemic noncompliance had been corrected prior to data submission will receive a citation of noncompliance and are required to participate in the Division of Review and Support corrective action process.

LEAs with findings of noncompliance for SPPI 11a, 11b, 12, or 13 will receive a Notification of Noncompliance from the Division of Monitoring, Review and Support in October.