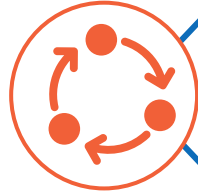


State Performance Plan Indicator (SPPI) Data Overview

LEA Training for SPPI 11a ,11b, 12, and 13

Objectives



Purpose of Clarifications



Clarification Participation Decision Making



Clarification Requirements



Clarification Submission Procedures



Next Steps For LEAs Electing Not To Participate In Clarifications

After the annual TSDS/SPPI Application close date, LEAs that certified less than 100% can submit clarifications containing evidence of any pre-finding corrections for
SPPI 11a, 11b, 12, and 13.

34 CFR § 300.600(e); OSEP QA 23-01, B-11



The LEAs who certify less than 100% have two choices for State Performance Plan Indicator 11a, 11b, 12 and 13 clarification:

Participate in clarifications



Do Nothing





Preparing for Clarifications



Verify and, if applicable, update the points of contact, email addresses, and phone numbers in AskTed.



All LEAs who certified at less than 100% should receive a notification on August 28th with all of the information necessary to participate in the clarification process.



Check your email (including spam folders) to ensure you have not missed an email from your TEA point of contact.



If you have not received a notification, reach out to your ESC contact



If TEA staff has not heard back from LEA representatives, the LEA may miss the opportunity to participate in the clarification process.





Clarification Participation Decision Making

PRE-FINDING CORRECTION

Pre-finding correction may occur during the clarification process when the LEA has violated an IDEA requirement, but the TEA has not yet issued a finding.

If the TEA can verify before issuing a finding that an LEA:

- (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100 percent compliance with the relevant IDEA requirements) based on a review of updated data such as data subsequently collected through monitoring (evidence of policies and procedures, training, and self-monitoring);
- (2) if applicable, has corrected each individual case of child-specific noncompliance, unless the child is no longer within the jurisdiction of the LEA; and
- (3) has no existing corrective action under a TEA complaint or due process hearing decision for the child (child-specific compliance);

then this would be considered “pre-finding correction.” (OSEP 23-01, B-11)

DATA SYSTEM CORRECTIONS

Data entry event that resulted in noncompliance.

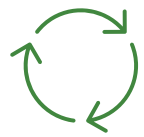
- Absence report discrepancies
- Calendar corrections
- Data system errors
- Transfer student coded incorrectly
- Documentation indicating LEA data is compliant



Clarification Requirements



Every LEA MUST Submit:



Revised Procedures



Training Evidence



Self-Monitoring Plan



SPPI Evidence of Student Level Correction Workbook
Attestation of Compliance



2022-23 & 2023-24 School Calendar



✓ **Child Specific Correction**

- Complete the sheet in the *SPPI Verification of Child Specific Corrections* workbook relevant to the SPP Indicator(s) for which the LEA is providing clarifications.

✓ **Procedures**

- The LEA should refine and submit procedures that are responsive to the root cause of the noncompliance.

✓ **Training Artifacts for the Specific SPP Indicator(s) the LEA is Clarifying**

- Presentation agenda, handouts, and sign-in sheets that include personnel position, etc.

✓ **Self-Monitoring Plan for the Specific SPP Indicator(s) the LEA is Clarifying**

- Evaluation tracking system, logs, and evidence of implementation.
- Self-Monitoring plan should be responsive to the root cause of the potential noncompliance.

✓ **Current Compliance Evidence for the Specific SPP Indicator(s) the LEA is Clarifying**

- Clarifications attestation of sustained compliance following the last incidence of noncompliance and training.

State Performance Plan Indicator (SPPI) Evidence of Student Level Correction Workbook

- The purpose of the SPPI Evidence of Student Level Correction Workbook is to detail each incidence of child specific noncompliance.
- ✓ Note that the root cause for the delay must be addressed.
- ✓ Evidence of child specific corrections from student files will be verified in the attestation.



Student files are not required for upload because completion of this form documents FAPE and compensatory services decisions.

State Performance Plan Indicators (SPPI) Verification of Child Specific Corrections								
District Name:						CDN:		
<p>Instruction: For verification of correction under requirements found in Office of Special Education Programs (OSEP) OA 23-01, provide the following information for any student where the initial FIE was not conducted within the state established timeline following parental consent as reported in the most recent SPP 11 collection and cited as noncompliance. The LEA's corrective action will indicate the processes and procedures that will be taken to ensure that initial timelines are met.</p>								
	Student Name	Campus/School	Date Parental Consent Signed	Date Eval Completed	Date Eligibility determined	Compensatory Education Decision Date	Comp-Ed required (Y/N)	Root Cause for Missed Timeline
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								
17								
18								
19								
20								
21								
22								
23								



Important Note About Procedures

The LEA should **refine, revise** and **submit** procedures, training, and self-monitoring that are responsive to the **root cause** of the noncompliance.

Example: if an LEA is determined to be noncompliant due to missing TSDS data upload dates, they should have procedures aligned to meeting data submission timelines, training for staff involved with the TSDS submission, and self-monitoring that addresses data uploads.





Receipt of Notification: Next Steps



Your TEA point of contact will provide your LEA with the requirements for each applicable State Performance Plan indicator the LEA intends to clarify.



All supporting clarification documentation submissions for SPPI 11a, 11b, 12 and 13 must be uploaded using the File Transfer widget on the Ascend Dashboard.



If your TEA point of contact has questions about the submissions, they will reach out to request additional clarifying information.



Participating in the Clarification Process does not guarantee the noncompliance is resolved. All submissions will be reviewed. LEAs will receive written notification of SPPI noncompliance in October.





Clarification Submission Procedures



Uploading Clarification Requirements in Ascend

- Select 2024-2025 School Year
- Access the Dashboard
- Scroll to the bottom
- In the File Transfer section click on Upload Document
- Select your LEA using the drop-down
- Select Document Type “SPED Supporting Documentation”
- Select Add Documents choose the file that is to be uploaded

The screenshot displays the 'FILE TRANSFER' section of the Ascend system. At the top right, there is a 'Close Upload Form' button. Below this, there are two dropdown menus: 'Select an LEA' and 'Select Document Type'. The 'Select an LEA' dropdown is currently set to 'No LEA assigned (NP/RF ONLY)'. The 'Select Document Type' dropdown is open, showing a list of options: 'BE-ESL and OSP Supporting Documentation', 'BE-ESL Supporting Documentation', 'Dyslexia', 'OSP Self-Assessment', 'OSP Supporting Documentation', and 'SPED Supporting Documentation'. The 'SPED Supporting Documentation' option is highlighted in blue. Below the dropdowns is a teal 'Add Documents' button. In the bottom right corner of the interface, there is an 'Upload Documents' button. Below the main interface, a smaller screenshot shows a 'REGION 00' section with a 'DOWNLOAD REGION ZIP FILE' button and a file entry for '2023 SPP 11 Clarifications_Procedures.docx' with the date 'JUL 6, 2023' and the category 'SUPPORTING DOCUMENTATION'.



SPPI Clarification Required Naming Conventions

Required Evidence	Naming Convention
Revised Procedures	2024 SPPI 11 Clarifications_Procedures 2024 SPPI 12 Clarifications_Procedures 2024 SPPI 13 Clarifications_Procedures
Training Artifacts	2024 SPPI 11 Clarifications_Training 2024 SPPI 12 Clarifications_Training 2024 SPPI 13 Clarifications_Training
Self-Monitoring Plan	2024 SPPI 11 Clarifications_Self Monitoring 2024 SPPI 12 Clarifications_Self Monitoring 2024 SPPI 13 Clarifications_Self Monitoring
Clarification Attestation	2024 SPPI 11 Clarifications_Attestation 2024 SPPI 12 Clarifications_Attestation 2024 SPPI 13 Clarifications_Attestation
Calendars for 2022-2023 and 2023-2024 school year (noting any unexpected school closures)	2024 SPPI 11 Clarifications_School Calendar 2024 SPPI 12 Clarifications_School Calendar 2024 SPPI 13 Clarifications_School Calendar
SPPI Evidence of Student Level Correction Workbook	2024 Clarifications_SPPI Verification Workbook



Submissions: What to Expect

- Once the LEA has submitted clarification requirements via Ascend, the TEA contact will communicate receipt of the submissions.
- If additional clarification data is needed, the TEA contact will communicate with the LEA.
- Successful completion of the clarification process requires all requested submissions be uploaded into Ascend during the submission window, **August 28th- September 12th**.



*Submissions received after 9/12/2024 will NOT be reviewed.

Notifications of Noncompliance, if applicable, will be disseminated in October 2024.



Next Steps For LEAs Electing Not To Participate In Clarifications



Nonparticipation in Clarification Process

- **Verify Noncompliance**

- LEAs may choose to verify their data submitted through TSDS determining noncompliance is present.

- **Do nothing**

- LEAs may choose to do nothing after entering and certifying data during the data entry period.
- Contact ESC for support.

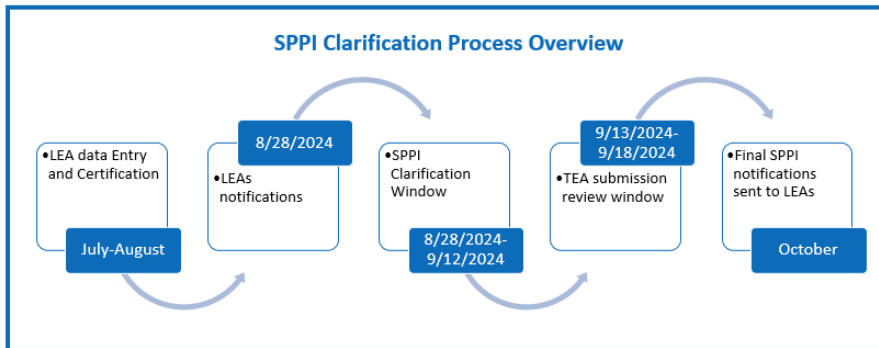
TEA will make compliance findings based on the data available in the database at the close of the clarification application window.

Appendix

SPPI Clarification Resources: Overview of Process

State Performance Plan Indicator (SPPI) Clarification Process

All Local Education Agencies (LEA) that certify below 100% are eligible to participate in the State Performance Plan Indicator (SPPI) Clarification Process with the Texas Education Agency (TEA).



You can find these resources at the bottom of the TEA SPPI website: [State Performance Plan Indicators | Texas Education Agency](#)



Every Clarifying LEA MUST Submit:

- Procedures
- Training Evidence
- Self-Monitoring Plan
- SPPI Verification of Child Specific Corrections & Attestation of Compliance
- 2022-2023 and 2023-2024 School Calendar

For questions about the **SPPI clarifications process**, please contact the Division of Monitoring, Review and Support by email at ReviewandSupport@tea.texas.gov.

For questions about the **TSDS Child Find collection (SPPI-11a, SPPI 11b, and SPPI-12)**, please contact your respective **ESC TSDS Child Find Champion or TSDS Technical Champion (ESC 1-10 or 11-20)**. Support can also be obtained from the Texas Education Agency (TEA) by submitting a TSDS Incident Management System (TIMS) ticket within the TSDS Portal.

For questions about the **SPPI-13 data collection in TEAL**, please contact your respective **ESC** or email spp@tea.texas.gov.



This resource is available in Ascend on the LEA dashboard under “Resource Requirements”.



The LEA will need to download the workbook, fill out the relevant tabs and then upload into the File Transfer window on the LEAs dashboard. This is not a live document, so the LEA needs to be sure they save their work before uploading.

RESOURCE REQUIREMENTS	
State Performance Plan (SPP) Evidence of Student Corrections Workbook	DL
To support LEAs in verifying the correction of State Performance Plan (SPP) Indicators 11, 12, and/or 13.	
SPP Evidence of Student Level Correction Verification Workbook.xlsx	
State Performance Plan (SPP) Clarification Attestation	DL
SPP Clarification Attestation v.2.pdf	



Requesting access in Ascend

- Ascend Texas is an application available through the Texas Education Agency Login (TEAL) to assist local education agencies (LEAs) with the collection and management of data while engaging in required activities with the Differentiated Monitoring and Support (DMS) system.
- To access step-by-step directions on gaining access to the Ascend application in TEAL please use the following link
<https://tea.texas.gov/academics/special-student-populations/review-and-support/ascendtexasaccessguidance.pdf>



ASCEND
T E X A S

State Performance Plan Indicator (SPPI) Clarification Process

STATE PERFORMANCE PLAN INDICATORS 11A, 11B, 12, AND 13

LEAs (Local Educational Agencies) must provide valid, timely, and reliable data reflecting the measurement for each SPPI. TEA (Texas Education Agency) considers data certified and submitted by LEAs to be final and uses the LEA's performance on the indicators in the annual determinations analysis. Any issues related to the submission of inaccurate data, or the non-submission of data are addressed by the Division of Special Education and the Department of Review and Support.

CLARIFICATIONS

The SPPI Clarification period allows LEAs an opportunity to submit pre-finding corrections before findings are issued by the TEA for SPPI 11a (Timely Initial Evaluations), SPPI 11b (Eligibility Determination), SPPI 12 (Early Childhood Transition), or SPPI 13 (Secondary Transition) with less than 100% compliance. Unclarified SPPI 11a, 11b, 12, or 13 noncompliance will result in a corrective action plan (CAP).

Pre-finding correction may occur during the clarification process when the LEA has violated an IDEA (Individuals with Disabilities Education Act) requirement, but the TEA has not yet issued a finding. If the TEA can verify before issuing a finding that an LEA:

- is correctly implementing the specific regulatory requirements (i.e., achieved 100 percent compliance with the relevant IDEA requirements) based on a review of updated data such as data subsequently collected through monitoring (evidence of policies and procedures, training, and self-monitoring).
- if applicable, has corrected each individual case of child-specific noncompliance, unless the child is no longer within the jurisdiction of the LEA; and
- has no existing corrective action under a TEA complaint or due process hearing decision for the child (child-specific compliance); then this would be considered "pre-finding correction." (OSEP 23-01, B-11)

LEAs are not required to participate in clarifications.

The following three sections will assist LEAs in determining if their data supports participation in the clarification process for SPP 11a, 11b, 12, and 13.

Step by Step Guidance on how and what to submit to TEA through the Ascend Texas application in TEAL.

SPPI Clarification Resources:

The following resources provide guidance for the SPPI data collection and measurement requirements:

- [State Performance Plan Indicators](#)
- [TEA SPPI 11 Timely Initial Evaluation and Eligibility Determination](#)
- [TEA SPPI 12 Early Childhood Transition](#)
- [TEA SPPI 13 Secondary Transition](#)

Contact:

- For questions about the SPPI clarification process, please contact the Division of Review and Support by email at ReviewandSupport@tea.texas.gov.
- For questions about the [TSDS Child Find collection \(SPPI-11a & 11b and SPPI-12\)](#), please contact your respective ESC TSDS Child Find Champion or TSDS Technical Champion ([ESC 1-10](#) or [11-20](#)). Support can also be obtained from the Texas Education Agency (TEA) by submitting a TSDS Incident Management System (TIMS) ticket within the TSDS Portal.
- For questions about the SPPI-13 data collection in TEAL, please contact your respective ESC or email spp@tea.texas.gov.



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Thank You!

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