

## Item 5:

### Update on Divisions of Educator Leadership and Quality Department

#### UPDATE AND DISCUSSION

**SUMMARY:** This item provides the State Board for Educator Certification (SBEC) an opportunity to discuss updates provided by the Division of Educator Certification and Testing; Educator Preparation and Program Accountability; Educator Investigations; and Legal Services for the Fiscal Year (FY) 2016-2017.

**BACKGROUND INFORMATION:** The SBEC receives updates from the Division of Educator Certification and Testing; Educator Preparation and Program Accountability; Educator Investigations; and Legal Services in their Board packets that are mailed to them prior to each meeting. This item will allow staff to update the Board on issues relating to certification, testing, preparation, and applicant and certified educator investigations opened and completed FY 2016-2017.

**Staff Members Responsible:**

- Marilyn Cook, Director  
Educator Certification and Testing
- Tim Miller, Director  
Educator Preparation and Program Accountability
- Doug Phillips, Director  
Educator Investigations
- Laura Moriaty, Director  
Legal Services for Educator Leadership and Quality

**Attachments:**

- I. Update on Educator Certification and Testing
- II. Update on Educator Preparation and Program Accountability
- III. Update on Educator Investigations
- IV. Update on Legal Case Docket

**ATTACHMENT I**

**Educator Leadership and Quality  
Division of Educator Certification and Testing**

<b>Certification Statistics for Fiscal Year 2017 (September 1, 2016 - August 31, 2017)</b>						
<b>Total Certificates Issued/Renewed/Reviewed</b>	<b><u>Quarter 1</u></b>	<b><u>Quarter 2</u></b>	<b><u>Quarter 3</u></b>	<b><u>Quarter 4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
<b>Standard Certificates</b>	10,808	11,333	15,250	22,613	60,004	65,674
<b>Renewed Certificates</b>	23,377	31,154	36,898	32,363	123,792	104,855
<b>Temporary Credentials</b>	6,892	2,328	827	12,102	22,149	23,697
<b>Review of Credentials</b>	701	851	1,593	1,852	4,997	4,859
<b>One-Year Certificates</b>	666	931	1,009	1,664	4,270	6,631
<b>OOS/OOC Standard Certificates</b>	511	818	1,286	1,216	3,831	4,048
<b>FY 2017 YTD</b>	42,955	47,415	56,863	71,810	219,043	209,764
<b>Testing Statistics for Fiscal Year 2017 (September 1, 2016 - August 31, 2017)</b>						
	<b><u>Quarter 1</u></b>	<b><u>Quarter 2</u></b>	<b><u>Quarter 3</u></b>	<b><u>Quarter 4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
<b>Total Tests Administered</b>	24,204	29,618	47,898	47,906	149,626	151,782
<b>FY 2017 YTD</b>	24,204	29,618	47,898	47,906	149,626	151,782

**ATTACHMENT II****Educator Leadership and Quality  
Division of Educator Preparation and Program Accountability****Applications for New Programs, Certificates, Out of State Assignments, and Other Requests**

<b>88</b>	Certificates added to EPP inventory
<b>5</b>	Certificates removed from EPP inventory at request of EPP
<b>402</b>	Certificates updated for Post-Baccalaureate/Alternative Definition Transition
<b>13</b>	Out of State Clinical Teaching/Internship/Practicum Applications
<b>29</b>	Legal Authority Change Requests
<b>13</b>	EPP Monitor Applications
<b>2</b>	Clinical Teaching Exception Requests
<b>2</b>	New EPP Applicant Workshops (January 2017 – 24 Attendees; August 2017 – 17 Attendees)
<b>5</b>	New EPP Applications

**Accountability System for Educator Preparation Programs (ASEP) Accreditation Ratings**

<b>153</b>	Educator Preparation Programs
<b>143</b>	Accredited
<b>4</b>	Accredited-Warned
<b>1</b>	Accredited-Probation
<b>0</b>	Accredited-Revoked
<b>5</b>	Accredited-Not Rated

**Continuing Professional Education Audits**

<b>101</b>	Educator Renewal Audits
<b>13</b>	Pending Audits
<b>79</b>	Audits Resulting in No Action (educator met requirements)
<b>4</b>	Audits Resulting in Inactivation (educator did not meet requirements)
<b>5</b>	Audits Resulting in Inactivation (educator does not intend to use certificate)

**Continuing Professional Education Providers**

<b>790</b>	Providers from January 2017 Posting
<b>79</b>	New Providers Approved in June 2017
<b>16</b>	Providers Inactivated in June 2017

## ATTACHMENT III

Educator Leadership and Quality  
Division of Educator Investigations

FY 2017 (September 1, 2016 to August 31, 2017)

<b>INVESTIGATIONS PENDING as of Sept. 1, 2017</b>					<b>1,198</b>
<b>INVESTIGATIONS OPENED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
<b>Number Opened:</b>	251	290	372	289	1202
<b>Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:</b>	60%	53%	64%	56%	59%
<b>Opened by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
1 - Sexual Misconduct	25	21	41	24	111
2 - Violence	67	62	85	64	278
3 - Drugs	39	70	70	70	249
4 - Sexual Harassment	2	8	3	0	13
5 - Official Misconduct	2	0	4	6	12
6 - Fraud	15	15	10	15	55
7 - Burglary	5	3	3	2	13
8 - Theft	19	17	18	14	68
9 - Hazing	0	0	0	0	0
10 - Inapp. Rel. w Student/Minor	56	64	109	73	302
11 - Miscellaneous	20	28	29	20	97
12 - Failure to Report (249.14)	1	2	0	1	4
<b>Opened by Referral Source</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
Applicant	14	7	8	15	44
Supt. Report and other	153	145	245	131	674
Subsequent Hit	84	138	119	143	484

<b>INVESTIGATIONS COMPLETED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
<b>Number of Investigations Completed:</b>	297	247	262	304	1110
<b>Percent of Investigations:</b>					
Resulting in a Sanction or Denial of Certificate	42%	40%	40%	39%	40%
Sent to Legal	30%	31%	31%	31%	31%
Resulting in Admin Closure or Issuance of Certificate	28%	29%	29%	30%	29%
<b>Average Number of Days to Complete Cases:</b>					<b>120</b>

<b>PRELIMINARY CRIMINAL HISTORY EVALUATIONS</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
<b>Number of Requests for Evaluations Opened:</b>	33	26	39	48	146
<b>Number of Evaluations Completed:</b>	42	28	38	48	156
<b>Completed by Category:</b>					
Eligible	38	20	33	43	134
Ineligible	3	6	5	3	17
Request Withdrawn	1	2	0	2	5
<b>COMPLAINTS / INTRA-AGENCY REFERRALS</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>
<b>Number of Received:</b>	74	67	70	63	274
<b>Number Completed:</b>	94	57	66	61	278

## ATTACHMENT IV

Educator Leadership and Quality  
Legal Services

FY 2017 (September 1, 2016 – August 31, 2017)						
<b>CASES PENDING as of August 31, 2017</b>					240	
<b>LEGAL CASES OPENED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
<b>Number of Cases Opened:</b>	126	129	97	104	456	400
<b>Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:</b>	34%	33%	42%	48%	40%	26%
<b>Opened by Category:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
Referred from Investigations Categories 1-12	86	81	83	97	347	239
13 – Contract	39	48	12	7	106	153
14 – Testing	1	1	2	0	4	8
<b>LEGAL CASES CLOSED (by Quarter)</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
<b>Number of Cases Closed:</b>	91	93	127	153	464	445
<b>Number of Cases Closed by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
1 - Sexual Misconduct	6	5	4	14	29	20
2 - Violence	10	14	23	21	68	42
3 - Drugs	11	17	21	20	69	62
4 – Sexual Harassment	0	0	3	3	6	0
5 – Off Mis	0	0	0	0	0	2
6 - Fraud	1	5	10	12	28	26
7 - Burglary	0	1	0	0	1	3
8 - Theft	5	5	8	10	28	23
10 - Inapp. Rel. w Student/Minor	9	8	16	19	52	46
11 - Miscellaneous	5	3	12	8	28	21
12 – Failure to Report	0	0	0	2	2	0
13 - Contract	43	35	28	40	146	186
14 - Testing	1	0	2	3	6	14

<b>Number of Cases Closed by Disposition:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 Total</u></b>	<b><u>FY16 Total</u></b>
Administrative Closure	7	3	6	6	22	26
Administrative Denial	0	0	1	4	5	2
No Action Taken	1	0	4	3	8	2
Non-Jurisdiction	1	2	1	0	4	7
Non-Inscribed Reprimand	0	0	2	0	2	4
Inscribed Reprimand	5	7	13	8	33	22
Probated Suspension	0	1	4	2	7	8
Suspension	49	39	40	60	188	231
Rescind	0	6	1	0	7	0
Revocation	17	25	44	57	153	102
Voluntary Surrender	9	9	10	12	40	40
Voluntary Withdrawal	1	1	1	1	4	1