**Item 5:**

**Update on Divisions of Educator Leadership and Quality Department**

**UPDATE AND DISCUSSION**

**SUMMARY:** This item provides the State Board for Educator Certification (SBEC) an opportunity to discuss updates provided by the Division of Educator Certification and Testing; Educator Preparation and Program Accountability; Educator Investigations; and Legal Services for the Fiscal Year (FY) 2016-2017.

**BACKGROUND INFORMATION:** The SBEC receives updates from the Division of Educator Certification and Testing; Educator Preparation and Program Accountability; Educator Investigations; and Legal Services in their Board packets that are mailed to them prior to each meeting. This item will allow staff to update the Board on issues relating to certification, testing, preparation, and applicant and certified educator investigations opened and completed FY 2016-2017.

**Staff Members Responsible:** Marilyn Cook, Director

Educator Certification and Testing

Tim Miller, Director

Educator Preparation and Program Accountability

Doug Phillips, Director

Educator Investigations

Laura Moriaty, Director

Legal Services for Educator Leadership and Quality

**Attachments:** I. Update on Educator Certification and Testing

II. Update on Educator Preparation and Program Accountability

III. Update on Educator Investigations

IV. Update on Legal Case Docket

**ATTACHMENT I**

**Educator Leadership and Quality**

**Division of Educator Certification and Testing**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Certification Statistics for Fiscal Year 2017 (September 1, 2016 - August 31, 2017)** | | | | | | |
| **Total Certificates Issued/Renewed/Reviewed** | **Quarter 1** | **Quarter 2** | **Quarter 3** | **Quarter 4** | **FY17 Total** | **FY16 Total** |
|  |  |  |  |  |  |  |
| **Standard Certificates** | 10,808 | 11,333 | 15,250 | 22,613 | 60,004 | 65,674 |
| Renewed Certificates | 23,377 | 31,154 | 36,898 | 32,363 | 123,792 | 104,855 |
| **Temporary Credentials** | 6,892 | 2,328 | 827 | 12,102 | 22,149 | 23,697 |
| **Review of Credentials** | 701 | 851 | 1,593 | 1,852 | 4,997 | 4,859 |
| **One-Year Certificates** | 666 | 931 | 1,009 | 1,664 | 4,270 | 6,631 |
| **OOS/OOC Standard Certificates** | 511 | 818 | 1,286 | 1,216 | 3,831 | 4,048 |
| **FY 2017 YTD** | 42,955 | 47,415 | 56,863 | 71,810 | 219,043 | 209,764 |
|  | | | | | | |
| **Testing Statistics for Fiscal Year 2017 (September 1, 2016 - August 31, 2017)** | | | | | | |
|  | **Quarter 1** | **Quarter 2** | **Quarter 3** | **Quarter 4** | **FY17 Total** | **FY16 Total** |
| **Total Tests Administered** | 24,204 | 29,618 | 47,898 | 47,906 | 149,626 | 151,782 |
| **FY 2017 YTD** | 24,204 | 29,618 | 47,898 | 47,906 | 149,626 | 151,782 |

**ATTACHMENT II**

**Educator Leadership and Quality**

**Division of Educator Preparation and Program Accountability**

**Applications for New Programs, Certificates, Out of State Assignments, and Other Requests**

**88** Certificates added to EPP inventory

**5** Certificates removed from EPP inventory at request of EPP

**402** Certificates updated for Post-Baccalaureate/Alternative Definition Transition

**13** Out of State Clinical Teaching/Internship/Practicum Applications

**29** Legal Authority Change Requests

**13** EPP Monitor Applications

**2** Clinical Teaching Exception Requests

**2** New EPP Applicant Workshops (January 2017 – 24 Attendees;

August 2017 – 17 Attendees)

**5** New EPP Applications

**Accountability System for Educator Preparation Programs (ASEP) Accreditation Ratings**

**153** Educator Preparation Programs

**143**  Accredited

**4** Accredited-Warned

**1**  Accredited-Probation

**0**  Accredited-Revoked

**5**  Accredited-Not Rated

**Continuing Professional Education Audits**

**101** Educator Renewal Audits

**13** Pending Audits

**79** Audits Resulting in No Action (educator met requirements)

**4** Audits Resulting in Inactivation (educator did not meet requirements)

**5** Audits Resulting in Inactivation (educator does not intend to use certificate)

**Continuing Professional Education Providers**

**790** Providers from January 2017 Posting

**79** New Providers Approved in June 2017

**16** Providers Inactivated in June 2017

**ATTACHMENT III**

**Educator Leadership and Quality**

**Division of Educator Investigations**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **FY 2017 (September 1, 2016 to August 31, 2017)** | | |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| **INVESTIGATIONS PENDING as of Sept. 1, 2017** | | |  |  |  |  | **1,198** |
|  |  |  |  |  |  |  |  |
| **INVESTIGATIONS OPENED** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 YTD*** |
|  |  |  |  |  |  |  |  |
| **Number Opened:** | |  | 251 | 290 | 372 | 289 | 1202 |
|  |  |  |  |  |  |  |  |
| **Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:** | | | 60% | 53% | 64% | 56% |  |
| 59% |
|  |
|  |  |  |  |  |  |  |  |
| **Opened by Case Code:** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 YTD*** |
|  | 1 - Sexual Misconduct | | 25 | 21 | 41 | 24 | 111 |
|  | 2 - Violence | | 67 | 62 | 85 | 64 | 278 |
|  | 3 - Drugs | | 39 | 70 | 70 | 70 | 249 |
|  | 4 - Sexual Harassment | | 2 | 8 | 3 | 0 | 13 |
|  | 5 - Official Misconduct | | 2 | 0 | 4 | 6 | 12 |
|  | 6 - Fraud |  | 15 | 15 | 10 | 15 | 55 |
|  | 7 - Burglary | | 5 | 3 | 3 | 2 | 13 |
|  | 8 - Theft |  | 19 | 17 | 18 | 14 | 68 |
|  | 9 - Hazing | | 0 | 0 | 0 | 0 | 0 |
|  | 10 - Inapp. Rel. w Student/Minor | | 56 | 64 | 109 | 73 | 302 |
|  | 11 - Miscellaneous | | 20 | 28 | 29 | 20 | 97 |
|  | 12 - Failure to Report (249.14) | | 1 | 2 | 0 | 1 | 4 |
|  |  |  |  |  |  |  |  |
| **Opened by Referral Source** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 YTD*** |
|  | Applicant |  | 14 | 7 | 8 | 15 | 44 |
|  | Supt. Report and other | | 153 | 145 | 245 | 131 | 674 |
|  | Subsequent Hit | | 84 | 138 | 119 | 143 | 484 |
|  |  |  |  |  |  |  |  |
| **INVESTIGATIONS COMPLETED** | | | **Q1** | **Q2** | **Q3** | **Q4** | ***FY17 YTD*** |
|  |  |  |  |  |  |  |  |
| **Number of Investigations Completed:** | | | 297 | 247 | 262 | 304 | 1110 |
|  |  |  |  |  |  |  |  |
| **Percent of Investigations:** | | |  |  |  |  |  |
|  |  | Resulting in a Sanction or | 42% | 40% | 40% | 39% | 40% |
| Denial of Certificate |
|  |  | Sent to Legal | 30% | 31% | 31% | 31% | 31% |
|  |  | Resulting in Admin Closure | 28% | 29% | 29% | 30% | 29% |
| or Issuance of Certificate |
|  |  |  |  |  |  |  |  |
| **Average Number of Days to Complete Cases:** | | |  |  |  |  | **120** |
|  |  |  |  |  |  |  |  |
| **PRELIMINARY CRIMINAL HISTORY EVALUATIONS** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 YTD*** |
|  |  |  |  |  |  |  |  |
| **Number of Requests for Evaluations Opened:** | | | 33 | 26 | 39 | 48 | 146 |
|  | | |  |  |  |  |  |
| **Number of Evaluations Completed:** | | | 42 | 28 | 38 | 48 | 156 |
|  |  |  |  |  |  |  |  |
| **Completed by Category:** | | |  |  |  |  |  |
|  |  | Eligible | 38 | 20 | 33 | 43 | 134 |
|  |  | Ineligible | 3 | 6 | 5 | 3 | 17 |
|  |  | Request Withdrawn | 1 | 2 | 0 | 2 | 5 |
|  |  |  |  |  |  |  |  |
| **COMPLAINTS / INTRA-AGENCY REFERRALS** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 YTD*** |
|  |  |  |  |  |  |  |  |
| **Number of Received:** | | | 74 | 67 | 70 | 63 | 274 |
| **Number Completed:** | | | 94 | 57 | 66 | 61 | 278 |

**ATTACHMENT IV**

**Educator Leadership and Quality**

**Legal Services**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **FY 2017 (September 1, 2016 – August 31, 2017)** | | | | | | | | |
| **CASES PENDING as of August 31, 2017** | | | |  | |  | 240 |  |
|  |  |  | | | | | |  |
| **LEGAL CASES OPENED (by Quarter)** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 Total*** | ***FY16 Total*** |
| **Number of Cases Opened:** | | | 126 | 129 | 97 | 104 | 456 | 400 |
|  |  |  | | | | | |  |
| **Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:** | | | 34% | 33% | 42% | 48% | 40% | 26% |
|  |  |  | | | | | |  |
| **Opened by Category:** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 Total*** | ***FY16 Total*** |
|  | Referred from Investigations  Categories 1-12 | | 86 | 81 | 83 | 97 | 347 | 239 |
|  | 13 – Contract | | 39 | 48 | 12 | 7 | 106 | 153 |
|  | 14 – Testing | | 1 | 1 | 2 | 0 | 4 | 8 |
| **LEGAL CASES CLOSED (by Quarter)** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 Total*** | ***FY16 Total*** |
| **Number of Cases Closed:** | | | 91 | 93 | 127 | 153 | 464 | 445 |
|  |  |  | | | | | |  |
| **Number of Cases Closed by Case Code:** | | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 Total*** | ***FY16 Total*** |
|  | 1 - Sexual Misconduct | | 6 | 5 | 4 | 14 | 29 | 20 |
|  | 2 - Violence | | 10 | 14 | 23 | 21 | 68 | 42 |
|  | 3 - Drugs | | 11 | 17 | 21 | 20 | 69 | 62 |
|  | 4 – Sexual Harassment | | 0 | 0 | 3 | 3 | 6 | 0 |
|  | 5 – Off Mis | | 0 | 0 | 0 | 0 | 0 | 2 |
|  | 6 - Fraud | | 1 | 5 | 10 | 12 | 28 | 26 |
|  | 7 - Burglary | | 0 | 1 | 0 | 0 | 1 | 3 |
|  | 8 - Theft | | 5 | 5 | 8 | 10 | 28 | 23 |
|  | 10 - Inapp. Rel. w Student/Minor | | 9 | 8 | 16 | 19 | 52 | 46 |
|  | 11 - Miscellaneous | | 5 | 3 | 12 | 8 | 28 | 21 |
|  | 12 – Failure to Report | | 0 | 0 | 0 | 2 | 2 | 0 |
|  | 13 - Contract | | 43 | 35 | 28 | 40 | 146 | 186 |
|  | 14 - Testing | | 1 | 0 | 2 | 3 | 6 | 14 |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Number of Cases Closed by Disposition:** | | ***Q1*** | ***Q2*** | ***Q3*** | ***Q4*** | ***FY17 Total*** | ***FY16 Total*** |
|  | Administrative Closure | 7 | 3 | 6 | 6 | 22 | 26 |
|  | Administrative Denial | 0 | 0 | 1 | 4 | 5 | 2 |
|  | No Action Taken | 1 | 0 | 4 | 3 | 8 | 2 |
|  | Non-Jurisdiction | 1 | 2 | 1 | 0 | 4 | 7 |
|  | Non-Inscribed Reprimand | 0 | 0 | 2 | 0 | 2 | 4 |
|  | Inscribed Reprimand | 5 | 7 | 13 | 8 | 33 | 22 |
|  | Probated Suspension | 0 | 1 | 4 | 2 | 7 | 8 |
|  | Suspension | 49 | 39 | 40 | 60 | 188 | 231 |
|  | Rescind | 0 | 6 | 1 | 0 | 7 | 0 |
|  | Revocation | 17 | 25 | 44 | 57 | 153 | 102 |
|  | Voluntary Surrender | 9 | 9 | 10 | 12 | 40 | 40 |
|  | Voluntary Withdrawal | 1 | 1 | 1 | 1 | 4 | 1 |
|  | | |  |  |  |  |  |