

**Item 16:**  
**Update on Educator Investigations  
and Legal Case Docket**

**UPDATE AND DISCUSSION**

**SUMMARY:** This item provides the State Board for Educator Certification (SBEC) an opportunity to discuss updates provided by the Division of Educator Investigations and the Division of Legal Services for the second quarter of Fiscal Year (FY) 2016-2017.

**BACKGROUND INFORMATION:** The SBEC receives updates from the Division of Educator Investigations and the Division of Legal Services in their Board packets that are mailed to them prior to each meeting. This item will allow staff to update the Board on issues relating to applicant and certified educator investigations opened and completed for the second quarter of FY 2016-2017.

**Staff Members Responsible:** David Rodriguez, Director  
Educator Investigations Support

Laura Moriaty, Director  
Legal Services for Educator Leadership and Quality

**Attachment:** Update on Educator Investigations and Legal Case Docket

**EDUCATOR LEADERSHIP AND QUALITY  
DIVISION OF EDUCATOR INVESTIGATIONS  
Board Report June 9, 2017**

**FY 2017 (September 1, 2016 to August 31, 2017)**

<b>INVESTIGATIONS PENDING as of March 1, 2017</b>					<b>1119</b>
<b>INVESTIGATIONS OPENED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u> <u>YTD</u></b>
<b>Number Opened:</b>	251	290			541
<b>Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:</b>	60%	53%			56%
<b>Opened by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u> <u>YTD</u></b>
1 - Sexual Misconduct	25	21			46
2 - Violence	67	62			129
3 - Drugs	39	70			109
4 - Sexual Harassment	2	8			10
5 - Official Misconduct	2	0			2
6 - Fraud	15	15			30
7 - Burglary	5	3			8
8 - Theft	19	17			36
9 - Hazing	0	0			0
10 - Inapp. Rel. w Student/Minor	56	64			120
11 - Miscellaneous	21	28			49
12 - Failure to Report (249.14)	0	2			2
<b>INVESTIGATIONS COMPLETED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u> <u>YTD</u></b>
<b>Number of Investigations Completed:</b>	297	247			544
<b>Percent of Investigations:</b>					
Resulting in a Sanction or Denial of Certificate	42%	40%			40%
Sent to Legal	30%	31%			31%
Resulting in Admin Closure or Issuance of Certificate	28%	29%			29%
<b>Average Number of Days to Complete Cases:</b>					<b>121</b>

<b>PRELIMINARY CRIMINAL HISTORY EVALUATIONS</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u></b> <b><u>YTD</u></b>
<b>Number of Requests for Evaluations Opened:</b>	33	26			59
<b>Number of Evaluations Completed:</b>	42	28			70
<b>Completed by Category:</b>					
Eligible	38	20			58
Ineligible	3	6			9
Request Withdrawn	1	2			3
<b>COMPLAINTS / INTRA-AGENCY REFERRALS</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u></b> <b><u>YTD</u></b>
<b>Number of Received:</b>	74	67			141
<b>Number Completed:</b>	94	57			151

**EDUCATOR LEADERSHIP AND QUALITY  
DIVISION OF LEGAL SERVICES  
Board Report June 9, 2017**

**FY 2017 (September 1, 2016 – August 31, 2017)**

<b>CASES PENDING as of March 1, 2017</b>						<b>216</b>
<b>LEGAL CASES OPENED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>	
<b>Number of Cases Opened:</b>	126	129			255	
<b>Percent Investigations Opened on a Report of Sexual Misconduct, Violence, Sexual Harassment or Inappropriate Relationship with a Student or Minor:</b>	34%	33%				
<b>Opened by Category:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>	
Referred from Investigations Categories 1-12	86	81			167	
13 – Contract	39	48			87	
14 – Testing	1	1			2	
<b>LEGAL CASES CLOSED</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>	
<b>Number of Cases Closed:</b>	91	93			184	
<b>Number of Cases by Case Code:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17 YTD</u></b>	
1 - Sexual Misconduct	6	5			11	
2 - Violence	10	14			24	
3 - Drugs	11	17			28	
5 – Off Misconduct	0	0			0	
6 - Fraud	1	5			6	
7 - Burglary	0	1			1	
8 - Theft	5	5			10	
10 - Inapp. Rel. w Student/Minor	9	8			17	
11 - Miscellaneous	5	3			8	
12 – Failure to Report (249.14)	0	0			0	
13 - Contract	43	35			78	
14 - Testing	1	0			1	

<b>Number of Cases by Disposition:</b>	<b><u>Q1</u></b>	<b><u>Q2</u></b>	<b><u>Q3</u></b>	<b><u>Q4</u></b>	<b><u>FY17</u></b> <b><u>YTD</u></b>
Administrative Closure	7	3			10
Administrative Denial	0	0			0
No Action Taken	1	0			1
No Jurisdiction	1	2			3
Non Inscribed Reprimand	0	0			0
Inscribed Reprimand	5	7			12
Probated Suspension	0	1			1
Suspension	49	39			88
Rescind	0	6			6
Revocation	17	25			42
Voluntary Surrender	9	9			18
Voluntary Withdrawal	1	1			2