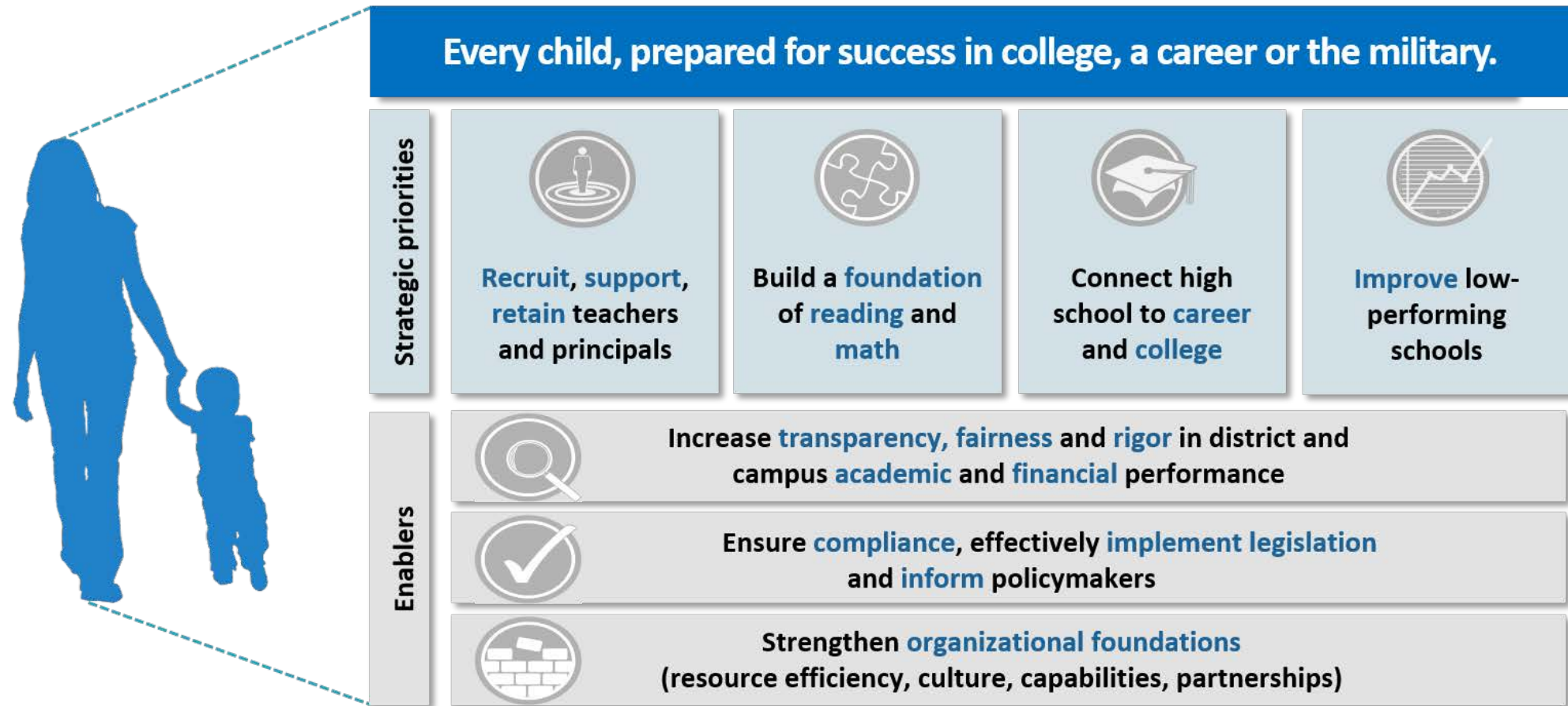


# Grants Compliance and Oversight Update

Cory Green, Associate Commissioner  
Department of Grants Compliance and Oversight  
Texas Education Agency

ACET Conference  
April 19, 2017

# TEA Strategic Priorities



# Focusing on Improving Student Achievement<sup>1</sup>

Focus grants on identifying needs

Focus grants on prioritizing the uses of funds

Focus grants on student outcomes

Focus grants on 3-5 year goals

# ESSA State and Local Agency Requirements

- ▶ NCLB: Top-down, one-size-fits-all with strong federal controls:
  - ED academic achievement goals;
  - Annual Yearly Progress (AYP); and
  - Mandated, 5-stage school improvement interventions.
- ▶ ESSA: Increased flexibility, authority, and **responsibility** for State and local agencies.

# ESSA State and Local Agency Requirements

## New Roles for State and Local Agencies

### EDGAR

States	Local Agencies
Flexibility	Flexibility
Monitoring	Financial management systems
Oversight	Internal controls

*2 CFR 200.302-303*

### ESSA

*EDGAR and ESSA =  
codifying best practices*

States	Local Agencies
Flexibility	Flexibility
Performance management	Guidance, technical assistance
Oversight	Performance monitoring

*2 CFR 200.327-328*

# ESSA State and Local Agency Requirements

## New Roles for State and Local Agencies

TEA	Local Education Agencies
<ul style="list-style-type: none"><li>• Performance management;</li><li>• Guidance (accelerate student outcomes); and</li><li>• Oversight.</li></ul>	<ul style="list-style-type: none"><li>• School performance management;</li><li>• Guidance and technical assistance for improvement planning; and</li><li>• Coordinated use of funds.</li></ul>

*How will we increase local agencies' capacity to offer guidance and tech—support to schools?*

# Schedule PS3001 Flow Chart

## Focusing ESSA Funding on 3-5 Program Outcome Goals for Improving Student Academic Achievement

### Schedule PS3001—Needs Assessment, Priorities, and Program Outcomes

LEAs will report 3-5 (total) *Program Outcome* goals for their use of ESSA funding on Schedule PS3001. Using ESSA funds to address more than 3-5 goals often lessens the effectiveness of the funding for improving student achievement.

If the LEA does not address at least one of the TEA Strategic Priority areas, then the LEA must include 3-5 *Program Outcome* goals for the local priorities the LEA will list in Part 5 of Schedule PS3001.

There is no correlation between budget schedules and PS3001's funding estimates, other than ensuring the total amount reflected on PS3001 for the LEA's 3-5 goals does not exceed the total budgeted amount on the budget schedules.



# Focusing on Improving Student Achievement

2017-2018

- ▶ PS3001 in the Consolidated ESSA application
- ▶ PS3002 in the RLISP and Priority Schools grant applications

2018-2019

- ▶ Expand PS2001 into IDEA and Perkins applications



# EDGAR – Purchasing Cooperatives

- ▶ Ensure cooperative is compliant with EDGAR requirements
- ▶ When not totally sure, get three quotes, which may be from three separate purchasing cooperatives
- ▶ EDGAR FAQ being updated

# Federal Transition Process

# Key Edit to PS3099

PS3099 - Private School Services					
Part 1: Private Schools Consultation					<a href="#">Help</a>
1. Are any private nonprofit schools located within the LEA's boundaries?					<input type="radio"/> Yes <input type="radio"/> No
2. Does the LEA have any Title I, Part A eligible students attending private nonprofit schools outside the boundaries?					<input type="radio"/> Yes <input type="radio"/> No
3. Are any private nonprofit schools participating?					
Title I, Part A	Title I, Part C	Title II, Part A	Title III, Part A-ELA	Title III, Part A-Immigrant	Title IV, Part A
<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Assurances					
<input type="checkbox"/>	The LEA assures that it discussed all consultation requirements as listed in Section. 1117(b)(1) and/or Section. 8501(c)(1), as applicable, with all eligible private nonprofit schools located within the LEA's boundaries.				
<input type="checkbox"/>	The LEA assures the appropriate Affirmations of Consultation will be provided to the TEA Private Schools Ombudsman in the manner and timeline to be requested.				
Part 2: Equitable Services Calculation for Title I, Part A					<a href="#">Help</a>
1.	Total low-income enrollment in LEA from participating Title I attendance areas				



# ESSA Application Training Schedule

- ▶ 4/28 ESC 4, Houston
- ▶ 5/2 ESC 16, Amarillo
- ▶ 5/4 ESC 13, Austin
- ▶ 5/8 ESC 10, Richardson
- ▶ 5/18 ESC 15, San Angelo
- ▶ 5/25 ESC 1, Edinburg



# ESSA Private School Services Training Schedule

- ▶ 4/24      ESC 13, Austin
- ▶ 5/1      ESC 20, San Antonio
  
- ▶ 1-2 additional private school trainings TBD
  - D/FW Area
  - Houston Area



# PNP Ombudsman's Office

- ▶ PNP Ombudsman: Cory Green
- ▶ Contact Person: Gean Wilkerson,  
Executive Assistant to Cory Green
- ▶ Email: [PNPombudsman@tea.texas.gov](mailto:PNPombudsman@tea.texas.gov)
- ▶ Phone: (512) 463-8992

# PNP Ombudsman's Office

Required to participate in the state's Title I Committee of Practitioners and, as applicable, nonprofit schools working group.

- ▶ Title I COP
- ▶ TEPSAC

# PNP Ombudsman's Office

Required to establish a process for receiving documentation of the equitable services agreement from each LEA, consistent with the consultation requirement that the results of such agreement shall be transmitted to the Ombudsman.

- ▶ GFFC Reports and Data Collections



# PNP Ombudsman's Office

Required to provide information on the amount of funds, by program, allocated for equitable services to private school officials annually.

- ▶ Expect posting of LEA equitable services amounts to TEA web by October, 2017.

# PNP Ombudsman's Office

Required to provide equitable services directly or through contracts with public or private agencies, organizations, or institutions, if appropriate private school officials have 1) requested that TEA provide such services directly; and 2) demonstrated that an LEA has not met applicable equitable services requirements in accordance with the procedures for making such a request, as prescribed by TEA.

# PNP Ombudsman's Office

Required to monitor and enforce equitable services requirements, as an integrated part of the state's monitoring process.

- ▶ FFM process

# PNP Ombudsman's Office

Required to respond to any complaint from parents, teachers, or other individuals concerning violations of ESEA section 8501 regarding the participation by private school children and teachers within 45 days.

# Amendment Deadlines

- ▶ June 2 – NCLB Consolidated Application  
Submit early, if possible
- ▶ June 2 – RLISP
- ▶ July 17 – PFS

# Federal Shutdown?

- ▶ Potentially
- ▶ Continuing Resolution expires 4/28/2017
- ▶ Need budget for remainder of federal fiscal year
- ▶ President has sent Congress his spending proposal
  - Reduces Title II for teaching programs

# Federal Shutdown?

- ▶ Limited impact to most LEAs
- ▶ Impact to TEA: no federal staff available for questions
- ▶ Would immediately impact some programs
  - Impact Aid
  - Head Start

# Title II Allocation Update

- ▶ 3% reduction off the top – new discretionary program
- ▶ Formula change, no hold harmless for previous Eisenhower and Class-Size Reduction amounts
  - 20% age 5-17 population
  - 80% age 5-17 poverty



# Title II Use of Funds

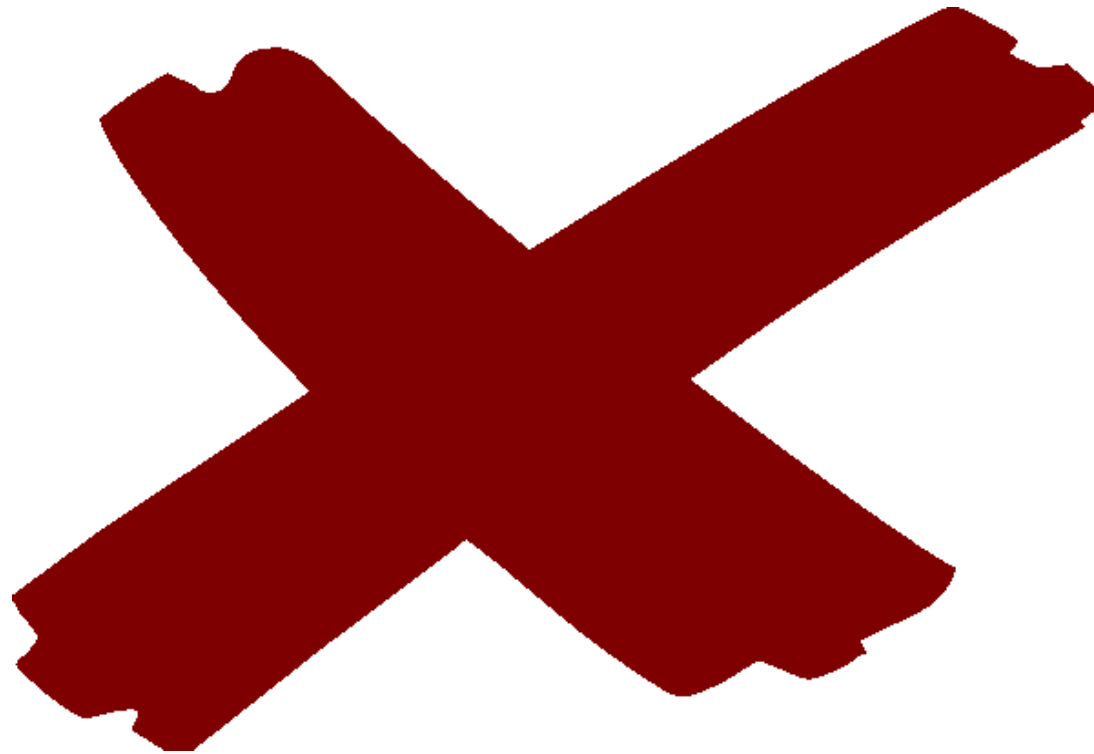
- ▶ Class-size reduction teachers
- ▶ “Reducing class size to a rate that is evidence based.... through recruiting and hiring additional effective teachers”

# Frequently Asked Questions



**What is the least amount of information I have to submit to get my application approved?**

**What is the least amount of information I have to submit to get my application approved?**



# What are the responsibilities of districts that join SSAs, including any changes in the responsibilities of the LEAs and the ESC?

- ▶ New focus on LEA responsibilities
- ▶ Level of responsibility depends on written SSA agreement
- ▶ Submit PS3001 and PS3099 for all fund sources for which the LEA applies individually or as part of an SSA

# Can we still pay for paraprofessionals and teachers, as we did under NCLB?

Depends.

- ▶ What need for the paraprofessional or teacher was identified in the CNA?
- ▶ Is the paraprofessional or teacher the best use of funds to meet the identified need?

# When will Title IV funding be available to LEAs?

Title IV, Part A SSAEP funding will be available in 2017-2018, as long as appropriated by Congress, as expected.

Program area working on web site that will include draft planning amounts. Updated(?) planning amounts will be available with the release of the application.

# Title IV-A—Student Support and Academic Enrichment Program

- ▶ Student Support and Academic Achievement Grants
- ▶ New "block grant"
- ▶ Formula grant to LEAs based on equitable share of Title I, Part A funds within the state; district allocation
- ▶ Minimum \$10,000 award

*Starting  
2017-2018*



# Title IV-A—Student Support and Academic Enrichment Program

- ▶ If grant is greater than \$30,000—Comprehensive needs assessment required
  - 20% focus on one or more activities for "well-rounded educational opportunities"
  - 20% focus on one or more "safe and healthy students" activity
  - Support effective use of technology; limited to 15% on purchasing technology infrastructure

# Title IV-A—Student Support and Academic Enrichment Program

- ▶ If grant is less than \$30,000—
  - LEA only required to meet one of the set-asides (well-rounded educational opportunities, safe and healthy students, or support effective use of technology)

# Title IV-A—Student Support and Academic Enrichment Program

- ▶ Assuming \$50,000,000 to the state (this is an estimated amount)
- ▶ Approximately 125 LEAs over \$30,000
- ▶ Approximately 434 LEAs \$30,000 - \$10,000
- ▶ Approximately 666 LEAs only receive \$10,000

# Is Title IV only allowed to be spent on Title I campuses?

No, the only correlation to Title I is the funding formula.

Title IV allocations are determined by a formula based on the LEAs' prior year's Title I, Part A allocations.

If LEA does not receive Title I, Part A, then it will not receive a Title IV, Part A allocation.

# **Will there be guidance questions that LEAs can use to determine proper use of funds under ESSA?**

GCO will provide guidance documents including recommended uses of funds and best practices.

# What kind of accountability is tied to the local flexibility with ESSA?

TEA is required to monitor performance as part of oversight responsibilities. The LEA is responsible for school performance management.

Schedule PS3001 is the accountability piece for ESSA.

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TEA is required to monitor performance as part of oversight responsibilities. The LEA is responsible for school performance management.

Schedule PS3001 is the accountability piece for ESSA.

# Year 1 (2017-2018)

- ▶ Collect baseline data on needs assessment and ESSA priorities for improving student performance.





## Year 2 (2018-2019)

- ▶ Collect actual data on LEA's major priorities for student achievement from Year 1.
- ▶ Review progress toward meeting the 1-year SMART measures.
- ▶ Submit improvement plan if not making progress toward goals.



## Year 3 (2019-2020)

- ▶ Review progress toward meeting the 1-year SMART measures for Year 2.
- ▶ Require corrective actions, if not making progress toward goals.
- ▶ Corrective actions can be accelerated into Year 2 to address poor performance.



# Are LEAs going to lose funding under ESSA?

Allocations are determined by formula.

Variable hold-harmless in Title I, Part A impacts allocations.

Some LEAs will benefit; other LEAs will see some reductions.

# Is ESSA staying or going away?

For now, ESSA will be effective July 1, 2017.

The accountability regulations were formally repealed under the Congressional Review Act, which was signed by President Trump Monday, April 17<sup>th</sup>.

There is a proposed bill to rescind ESEA and replace with a large block grant. (HR610)

# What are the responsibilities of districts that join SSAs, including any changes in the responsibilities of the LEAs and the ESC?

- ▶ New focus on LEA responsibilities
- ▶ Level of responsibility depends on written SSA agreement
- ▶ Submit PS3001 and PS3099 for all fund sources for which the LEA applies individually or as part of an SSA

# Can we still pay for paraprofessionals and teachers, as we did under NCLB?

Depends.

- ▶ What need for the paraprofessional or teacher was identified in the CNA?
- ▶ Is the paraprofessional or teacher the best use of funds to meet the identified need?

# **Will 2017-2018 be a transition year? What will be communicated to districts/campuses regarding changes for next year?**

LEAs will be expected to be compliant with ESSA requirements. The statute has been available for over a year.

# **Will TEA be doing validations in the 2017-2018 school year for ESSA programs? Or do LEAs get a free pass this first year?**

FFCR Division will conduct fiscal validations.

Program validations will be determined by program divisions.

LEAs are expected to be compliant. No free passes.



# Can Title IV pay for TSI, IP, AP testing?

Reimbursing low-income students for exams for accelerated instruction courses is allowable.

Special rule in statute allows LEAs to reimburse themselves in 2017-2018 for expenditures incurred in 2016-2017.

# Other Questions

# Contact Information

Department of Grants Compliance and Oversight  
Texas Education Agency

[grants@tea.texas.gov](mailto:grants@tea.texas.gov)  
(512) 463-8992

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