

# APPENDIX 15

## Appendix 15

# Funding Formulas

### Title I, Part A

Program Statute Requirements for Allocating Title I, Part A Funds to Local Education Agencies:

#### Census Updates

The U. S. Department of Education (USDE) allocates, through the state education agency, Title I, Part A funds by LEA using the Census Bureau's 2009 school district estimates of poor school-age children (NOT free and reduced price lunch) and the annual update of neglected and foster home children.

#### Hold-Harmless Amounts

As required by Public Law 107-110, Section 1122(c), the USDE will allocate basic, concentration, targeted, and Education Finance Incentive Grant (EFIG) funds using a variable hold harmless based on the percent the LEA's formula children are of the LEA's 5-17 population as reflected below:

- 95% of prior-year entitlement if formula children are 30% or greater;
- 90% of prior-year entitlement if formula children are 15% and less than 30%;
- 85% of prior-year entitlement if formula children are less than 15%.

#### Basic Grant

1. Children to be Counted (ages 5-17, inclusive) – An LEA's Basic Grant shall be based on the number of formula children residing within the LEA boundaries. Formula children are defined as:
  - a. low-income children as documented by the Census Bureau's poverty criteria (**not** free/reduced-price lunch criteria);
  - b. children who live in foster homes as reported by the Department of Family and Protective Services; and
  - c. children who live in facilities for neglected children as reported on the Annual Survey of Children in Local Facilities for Neglected Children (SC9000 through eGrants).
2. LEA Eligibility – in order to be eligible for Title I, Part A Basic Grant funds, an LEA must have:
  - a. at least ten formula children; and
  - b. the number of formula children must be greater than two percent of the LEA's population (ages 5-17, inclusive, as documented by the Census Bureau's poverty criteria).

#### Concentration Grant

1. Children to be Counted – same formula children as required for a Basic Grant.
2. LEA Eligibility – in order to be eligible for Title I, Part A Concentration Grant funds, an LEA must:
  - a. be eligible for a Basic Grant; and
  - b. have total formula children that exceed 6,500 or the number must exceed 15 percent of the total population in the LEA (ages 5-17, inclusive).

Exception: An LEA that does not meet the minimum eligibility criteria for a Concentration Grant for four consecutive years shall no longer be eligible to receive a hold-harmless amount for a Concentration Grant.

**Appendix 15—continued**

**Targeted Grant**

1. Children to be Counted – same **formula** children as required for a Basic Grant.
2. LEA Eligibility – in order to be eligible for Title I, Part A Targeted Grant funds, an LEA must have:
  - a. at least ten formula children; and
  - b. the number of formula children must be five percent or greater of the LEA's population (ages 5-17, inclusive, as documented by the Census Bureau's poverty criteria).

**Education Finance Incentive Grant (EFIG)**

1. Children to be Counted – same **formula** children as required for a Basic Grant.
  2. LEA Eligibility – in order to be eligible for Title I, Part A EFIG Grant funds, an LEA must have:
    - a. at least ten formula children; and
    - b. the number of formula children must be five percent or greater of the LEA's population (ages 5-17, inclusive, as documented by the Census Bureau's poverty criteria).
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## Title I, Part A—Eligibility and Allocation to Charter Schools

Charter schools (LEAs) must be determined eligible for Title I, Part A basic, concentration, targeted, and incentive grants based upon the same eligibility requirements of *resident* LEAs. See detailed eligibility requirements on the previous two pages. Charter schools are public schools but not school districts; therefore, they do not have established census LEA boundaries. A charter school's eligibility and entitlement (*if eligible*) are determined based on *actual* enrollment data submitted by the charter school on eGrants form SC5010—NCLB Data Request Form for Federal Funding for school year 2011-2012. Enrollment data reported on this form are used to prorate the number of census low-income students from the *resident* LEA to the charter school. **Steps 1 through 3** below determine the charter school's *eligibility* for a Title I, Part A grant. **Steps 4 through 6** on the following page reflect calculation of entitlement.

Step 1		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
		Resident LEA Low-Income 2009 Census	Total Free/Reduced Lunch Living in Resident LEA	Free/Reduced Lunch Enrolled In Charter School	Adjusted Free/Reduced Lunch (or equivalent)	Charter School Percent of Free/Reduced Lunch	Prorated Census Low-Income to Charter School	Adjusted Census Low-Income After Prorated	Foster Home	Neglected	Adjusted Formula Children
			PEIMS	SC5010	(b) - (c)	(c) / (b)	(e) x (a)	(a) - (f) (for resident LEA) Sum of (f) (for Charter School)	DFPS	SC9000	(g) + (h) + (i)
000900	Resident LEA (A)	622	2,092	4	2,088	0.00191204589	1	621	8	0	629
000901	Resident LEA (B)	1,201	2,512	36	2,476	0.01433121019	17	1,184	10	0	1,194
000801	Charter School				40			18			18
		1,823	4,604	40	4,604		18	1,823	18	0	1,841

Step 2		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
		Resident LEA Foster Home	Foster Home Enrolled in Charter School	Prorated Census Low-Income Percent of Charter School Prorated Low-Income	Prorated Foster Home to Charter School	Adjusted Census Low-Income after Prorated	Adjusted Foster Home after Prorated	Neglected	Adjusted Formula Children
		DFPS	SC5010	Step 1 (f) / sum of (f)	(c) x (b)	Step 1 (g)	(a) - (d)	SC9000	(e) + (f) + (g)
000900	Resident LEA (A)	8	0	0.944444444	0	621	8	0	629
000901	Resident LEA (B)	10	2		2	1,184	8	0	1,192
000801	Charter School					18	2		20
		18	2		2	1,823	18	0	1,841

Step 3		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
		Resident LEA 5-17 Population 2009 Census	Reported Enrollment in Charter School	Adjusted 5-17 Population	Adjusted Formula Children	Formula Children Percentage	Eligible for Basic	Eligible for Concentration	Eligible for Targeted	Eligible for Incentive
			SC5010	(a) - (b) (for resident LEA) Sum of (b) (for Charter School)	from Step 2 (h)	(d) / (c) (Charter School)				
000900	Resident LEA (A)	5,071	5	5,066	629	33.33%	yes	yes	yes	yes
000901	Resident LEA (B)	4,752	55	4,697	1,192					
000801	Charter School			60	20					
		9,823	60	9,823	1,841					

Title I, Part A— Eligibility and Allocations to Charter Schools (continued)

Step 4		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(k)
		Prorated Census Low-Income to Charter School	Foster Home to Charter School	Neglected to Charter School	Adjusted Formula Children to Charter School	Basic Entitlement	Basic Neglected Share	Concentration Entitlement	Concentration Neglected Share	Basic Hold Harmless (prior year ent.)	Concentration Hold Harmless (prior year ent.)
		from Step 1 (f)	from Step 2 (d)	SC9000	(a) + (b) + (c)	(d) x Resident LEA Basic Per Pupil	(c) x Resident LEA Basic Per Pupil	(d) x Resident LEA Conc. Per Pupil	(c) x Resident LEA Conc. Per Pupil	(charter school)	(charter school)
000900	Resident LEA (A)	1	0	0	1	538	0	0	0		
000901	Resident LEA (B)	17	2	0	19	10,230	0	3,118	0		
000801	Charter School	18	2	0	20	10,768	0	3,118	0	9,500	2,500

Step 5		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
		Prorated Census Low-Income to Charter School	Prorated Foster Home to Charter School	Neglected to Charter School	Adjusted Formula Children to Charter School	Targeted Entitlement	Targeted Neglected Share	Incentive Entitlement	Incentive Neglected Share	Targeted Hold Harmless (prior-year ent.)	Incentive Hold Harmless (prior-year ent.)
		from Step 1 (f)	from Step 2 (d)	SC9000	(a) + (b) + (c)	(d) x Resident LEA Targeted Per Pupil	(c) x Resident LEA Targeted Per Pupil	(d) x Resident LEA Incentive Per Pupil	(c) x Resident LEA Incentive Per Pupil	(charter school)	(charter school)
000900	Resident LEA (A)	1	0	0	1	12	0	7	0		
000901	Resident LEA (B)	17	2	0	19	323	0	340	0		
000801	Charter School	18	2	0	20	335	0	347	0	409	328

Step 6		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
		Prorated Census Low-Income to Charter School	Prorated Foster Home to Charter School	Neglected to Charter School	Adjusted Formula Children to Charter School	Basic Entitlement	Basic Neglected Share	Concentration Entitlement	Concentration Neglected Share	Targeted Entitlement	Targeted Neglected Share	Incentive Entitlement	Incentive Neglected Share
		from Step 1 (f)	from Step 2 (d)	SC9000	(a) + (b) + (c)	from Step 4	from Step 4	from Step 4	from Step 4	from Step 5	from Step 5	from Step 5	from Step 5
000900	Resident LEA (A)	1	0	0	1	538	0	0	0	19	0	7	0
000901	Resident LEA (B)	17	2	0	19	10,230	0	3,118	0	370	0	340	0
000801	Charter School	18	2	0	20	10,768	0	3,118	0	389	0	347	0

## Appendix 15—continued

### Title I, Part C—Education of Migratory Children (including charter schools)

Allocation of Funds to Local Education Agencies:

Of the total funds available for planning amounts for local education agencies:

- 40 percent is allocated for the Priority for Services category;
- 55 percent is allocated for the Number and Needs category;
- 5 percent is allocated for the Other Sources of Funds category, which includes Title I, Part A, Title III, Part A, and State Compensatory Education.

LEA Eligibility—A local education agency with identified Migrant students in the New Generation System (NGS) may apply for funds under the Title I, Part C—Migrant Education Program.

Local education agencies wanting more information regarding Migrant student eligibility should contact their regional education service center (ESC).

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### Title I, Part D, Subpart 2—Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent or At-Risk (including charter schools)

LEA Eligibility—in order to be eligible for Title I, Part D, Subpart 2 funds, an **LEA must have at least ten children** aged 5-17, inclusive, reported as residing in facilities for delinquent youth through the Survey of Children in Local Facilities for Neglected and/or Delinquent Children (SC9000 through eGrants).

The Title I, Part D, Subpart 2 entitlement is based on a formula per-pupil amount. The total statewide number of delinquent youth aged 5 through 17, inclusive, reported through the Survey of Children in Local Facilities for Neglected and/or Delinquent Children (SC9000) is divided into the available Part D, Subpart 2 funds to establish a per-pupil amount. An LEA's entitlement is calculated by multiplying its number of reported delinquent youth by the per-pupil amount. All youth in local correctional facilities or facilities for the delinquent through the age of 21 are eligible to be served.

The LEA administrator certified on the annual survey (SC9000) that a physical verification of the facility's auditable documentation which supports the October 2010 caseload information was conducted. Texas Education Agency monitoring teams and auditors will be verifying the documentation, which supports the number of children residing in the facility during October 2010.

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### Title II, Part A—Teacher and Principal Training and Recruiting Fund

Allocation of Funds to Local Education Agencies:

Hold-harmless amounts based on entitlements LEAs received in school year 2001-2002 for:

- Title II, Part B—Eisenhower Professional Development Program; and
- Title VI—Class Size Reduction Program

Additional Funds Appropriated:

- 20 percent based on the LEA's total population [ages 5-17, inclusive, as documented by the Census Bureau's 2009 census estimates]; and
  - 80 percent based on the LEA's low-income children as documented by the Census Bureau's 2009 census poverty estimates (not free/reduced-price lunch students).
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**Title II, Part A- Eligibility and Allocation to Charter Schools**

The charter school enrollment data submitted on eGrants form SC5010—NCLB Data Request Form for Federal Funding” for school year 2011-2012 are used to determine eligibility and entitlement amounts for the 2001-2002 Title II, Part B and Class-Size components of the Title II, Part A formula. Data submitted on the form also provides for the 5-17 enrollment component and determines prorated census low income for the low-income component. **Steps 1 through 5** determine the 2001-2002 Title II, Part B entitlement amount. **Steps 6 and 7** determine the 2001-2002 Class-Size entitlement amount. **Step 8** determines census low-income entitlement and 5-17 enrollment entitlement and sums the charter school’s total Title II, Part A entitlement.

Step 1		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
		Resident LEA Low-Income 1995 Census	Total Free/Reduced Lunch Living in Resident LEA PEIMS	Free/Reduced Lunch Enrolled In Charter School SC5010	Adjusted Free/Reduced Lunch (or equivalent) (b) - (c)	Charter School Percent of Free/Reduced Lunch (c) / (b)	Prorated Census Low-Income to Charter School (e) x (a)	Adjusted Census Low-Income After Prorated (a) - (f) (for resident LEA) Sum of (f) (for Charter School)	Foster Home DFPS	Neglected SC9000	Adjusted Formula Children (g) + (h) + (i)
000900	Resident LEA (A)	622	2,092	4	2,088	0.00191204589	1	621	8	0	629
000901	Resident LEA (B)	1,201	2,512	36	2,476	0.01433121019	17	1,184	10	0	1,194
000801	Charter School				40			18		0	18
		1,823	4,604	40	4,604		18	1,823	18	0	1,841

Step 2		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
		Resident LEA Foster Home DFPS	Foster Home Enrolled in Charter School SC5010	Prorated Census Low-Income Percent of Charter School Prorated Low-Income Step 1 (f) / sum of (f)	Prorated Foster Home to Charter School (c) x (b)	Adjusted Census Low-Income after Prorated from Step 1 (g)	Adjusted Foster Home after Prorated (a) - (d)	Neglected SC9000	Adjusted Formula Children (e) + (f) + (g)
000900	Resident LEA (A)	8	0	0.9444444444	0	621	8	0	629
000901	Resident LEA (B)	10	2		2	1,184	8	0	1,192
000801	Charter School					18	2	0	20
		18	2		2	1,823	18	0	1,841

Step 3		(a)	(b)	(c)	(d)	(e)	(f)	(g)
		Resident LEA 5-17 Population 1995 Census	Reported Enrollment in Charter School SC5010	Adjusted 5-17 Population (a) - (b) (for resident LEA) Sum of (b) (for Charter School)	Adjusted Formula Children from Step 2 (h)	Formula Children Percentage (d) / (c) (Charter School)	Eligible for Basic	Eligible for Concentration
000900	Resident LEA (A)	5,071	5	5,066	629	33.33%	yes	yes
000901	Resident LEA (B)	4,752	55	4,697	1,192			
000801	Charter School			60	20			
		9,823	60	9,823	1,841			

Step 4		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
		Prorated Census Low-Income to Charter School from Step 1 (f)	Prorated Foster Home to Charter School from Step 2 (d)	Neglected to Charter School SC9000	Adjusted Formula Children to Charter School (a) + (b) + (c)	Basic Entitlement (d) x Res. LEA basic per pupil	Concentration Entitlement (d) x Res. LEA concentration per pupil	Basic and Concentration to Charter School (e) + (f)	Charter School's Percent 2000-2001 Title I, Part A of State Total Title I, Part A (g) / state total Title I, Part A	Charter School's Title I, Part A Percent of 50 Percent Title II, Part B Amount for State (h) x 50 percent amount per 2000-2001 Title I, Part A
000900	Resident LEA (A)	1	0	0	1	538	0	538	0.00000086913	9
000901	Resident LEA (B)	17	2	0	19	9,153	2,790	11,943	0.00001929382	192
000801	Charter School	18	2	0	20	9,691	2,790	12,481		201

Title II, Part A- Eligibility and Allocation to Charter Schools (continued)

Step 5		(a)	(b)	(c)	(d)
		Reported Enrollment in Charter School	Enrollment Entitlement	Charter School's Entitlement per 2000-2001 Title I, Part A	2001-2002 Title II, Part B Total Entitlement to Charter School
		SC5010	(a) X enrollment per pupil	Charter School's percent from Step 4 (h) X Title II, Part B 50 percent amount for state	(b) + (c)
000900	Resident LEA (A)	5	10	9	19
000901	Resident LEA (B)	55	110	192	302
000801	Charter School	60	120	201	321

Step 6		(a)	(b)	(c)	(d)	(e)	(f)	(g)
		Resident LEA Low-Income 1997 Census	Total Free/Reduced Lunch Living in Resident LEA	Free/Reduced Lunch Enrolled In Charter School	Adjusted Free/Reduced Lunch (or equivalent)	Charter School Percent of Free/Reduced Lunch	Prorated Census Low-Income to Charter School	Adjusted Census Low-Income After Prorated
			PEIMS	SC5010	(b) - (c)	(c) / (b)	(e) x (a)	(a) - (f) (for resident LEA) Sum of (f) (for Charter School)
000900	Resident LEA (A)	622	2,092	4	2,088	0.00191204589	1	621
000901	Resident LEA (B)	1,201	2,512	36	2,476	0.01433121019	17	1,184
000801	Charter School				40			18
		1,823	4,604	40	4,604		18	1,823

Step 7		(a)	(b)	(c)	(d)	(e)
		Prorated Census Low-Income to Charter School	Reported Enrollment in Charter School	Census Low-Income Entitlement	Enrollment Entitlement	2001-2002 Class Size Total Entitlement to Charter School
		from Step 6 (f)	SC5010	(a) x census low-income per pupil	(b) x enrollment per pupil	(c) + (d)
000900	Resident LEA (A)	1	5	80	5	85
000901	Resident LEA (B)	17	55	1,361	84	1,445
000801	Charter School	18	60	1,441	89	1,530

Step 8		(a)	(b)	(c)	(d)	(e)	(f)	(g)
		2001-2002 Title II, Part B to Charter School	2001-2002 Class Size to Charter School	Prorated Census Low Income to Charter School	Charter School 5-17 Enrollment	Low-Income Entitlement to Charter School	5-17 Enrollment Entitlement to Charter School	Title II, Part A Total Entitlement to Charter School
		from Step 5 (d)	from Step 7 (e)	from Title I, Part A Step 1 (f) 2009 Census	SC5010	(c) x low-income per pupil	(d) x 5-17 enrollment per pupil	(a) + (b) + (e) + (f)
000900	Resident LEA (A)	19	85	1	5	54	3	161
000901	Resident LEA (B)	302	1,445	17	55	919	50	2,716
000801	Charter School	321	1,530	18	60	973	53	2,877



Appendix 15—continued

**Title III, Part A—English Language Acquisition, Language Enhancement, and Academic Achievement**

(includes charter schools based on enrollment data submitted on eGrants form SC5010—NCLB Data Request Form for Federal Funding for school year 2011-2012).

Allocation of LEP Funds to Local Education Agencies:

- Number of students identified as limited English proficient and served with parent permission as reported to PEIMS in fall 2010.
- Count of LEP students is based on students with parental permission codes A, B, D, E and F and served in any one of the following programs: transitional bilingual/early exit, transitional bilingual/late exit, dual language immersion/two-way, dual language immersion/one-way, ESL/content based or ESL/pull-out programs.

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**Title III, Part A—English Language Acquisition, Language Enhancement, and Academic Achievement**

(includes charter schools based on enrollment data submitted on eGrants form SC5010—NCLB Data Request Form for Federal Funding for school year 2011-2012).

Percentage of Title III, Part A State Allocation Set Aside for Immigrant Grants: 6%

Two Methods for Meeting 'Significant Increase' of Immigrant Students Under the Title III, Part A - Immigrant Grant:

**Method 1 – Significant Increase in Number of Immigrant Students**

1. Total Number of students identified in PEIMS as immigrant in fall 2010, fall 2009 and fall 2008\*.
2. An average number is obtained from fall 2009 and fall 2008 immigrant data. This average is subtracted from the number of immigrant students reported for fall 2010 and the difference must be a *significant increase*.
3. A 'significant increase' of immigrant students for a LEA to qualify for immigrant funds is defined as at least 100 or more immigrant students.

OR

**Method 2 – Significant Increase in Percentage of Immigrant Students**

1. Total Number of students identified in PEIMS as immigrant in fall 2010, fall 2009 and fall 2008\*.
2. An average number is obtained from fall 2009 and fall 2008 immigrant data. This average is subtracted from the number of immigrant students reported for fall 2010 to determine a difference and the difference must be a *significant increase* relative to the average (the difference divided by the average).
3. A 'significant increase' of immigrant students for a LEA to qualify for immigrant funds is defined as at least a 50% increase .
4. If a significant increase of 50% or greater does occur, the LEA will need to have a total of at least 30 immigrant students enrolled in fall 2010 in order to qualify for funding under this method.

**Calculation of FY 2012 Allocation:**

Immigrant Set Aside amount/Total current year Immigrant counts from Eligible LEAs=Per pupil amount  
Total No. Immigrant Students in LEA reported to PEIMS fall 2010 x \$\_\_ (Per pupil Amount) = Total LEA Allocation

**Sample Maximum Entitlement Summary**

At the release of maximum entitlements for the 2011-2012 school year, TEA staff will attach the LEA's maximum entitlement summary to the Document Library in eGrants for the 2011-2012 NCLB Consolidated Federal Grant Application. Only those 2011-2012 NCLB grant programs for which the LEA is eligible will be listed on the summary.

Texas Education Agency  
Division of Formula Funding  
NCLB Maximum Entitlement Summary  
Fiscal Year 2012

Program	2009 Census Low Income	Foster Home	Neglected	Basic	Concentration	Targeted	Incentive	Neglected Student Proportionate Share	Maximum Entitlement
<b>Title I, Part A</b>	65,901	1,127	0	\$40,259,359	\$10,216,372	\$28,915,311	\$26,973,216	\$0	<b>\$106,364,258</b>

Program	Maximum Planning Amount
<b>Title I, Part C Migrant</b>	<b>\$641,493</b>

Program	2009 Census Low Income	2009 Census 5-17 Population	Hold - Harmless Grant Amount for Title II, Part B 2001-2002	Hold - Harmless Grant Amount per Title VI - Class Size Reduction 2001-2002	Low-Income Grant Amount	5-17 Grant Population	Maximum Entitlement
<b>Title II, Part A</b>	65,901	243,303	\$1,854,526	\$8,953,256	\$4,106,776	\$787,593	<b>\$15,702,151</b>

Program	LEP Students	Maximum Entitlement
<b>Title III, Part A - LEP</b>	53,182	<b>\$6,455,017</b>

Program	Immigrant Students	Maximum Entitlement
<b>Title III, Part A - Immigrant</b>	2,100	<b>\$1,131,654</b>